
APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

This appendix lists common error messages, the reason the error message was generated and how to resolve each error.

Appendix A describes the most commonly encountered error messages that are generated by the Automated Export System (AES) to ensure the integrity and completeness of data input or revisions. Corrective actions are also presented to help users resolve the errors. Appendix Q of the AESTIR presents recommended edits that should be incorporated into software developed for AES. These recommended edits would prevent many of the error messages from ever being encountered by the filer.

Each error message begins with a 3-position numeric code. The messages in this appendix are arranged in numerical order by these codes.

Error Messages 001 to 020 indicates a fatal error exists in the transmission of the data from the transmitter to AES. The entire transmission is rejected when an error message 001-020 is encountered.

Error Messages 021 to 300 indicates a fatal error exists in the commodity shipment data transmitted to AES. These fatal errors result in a rejected shipment and must be corrected and resubmitted.

Error Messages 301 to 600 indicates a warning or informational error has occurred that requires corrective action on the part of the filer. While these warning errors will not cause the shipment to reject, a correction is required within four days after departure. The informational messages may or may not require corrective action depending on if an error actually exist. Ignoring warning or informational error messages could result in fines and/or penalties.

Error Messages 601 to 799 indicates that the export shipment information reported by the Ocean Carrier contains error(s). These are commonly referred to as transportation errors. AES will reject information submitted by the carrier if any of the fatal errors occur. Fatal errors must be corrected and resubmitted. AES will accept information if a warning error is generated but warning errors must be corrected.

Error Messages in the 800 range indicates Census verify errors. Census error messages result when discrepancies occur between what Census usually finds for a particular commodity and the data given on the export shipment transaction. Census error messages do not always mean an export shipment transaction is in error, just that the information given is unlikely to be true. If the 'unlikely' information is in error, then the export information must be corrected and resent. If the 'unlikely' information is verified as

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

accurately reported, then no changes need to be made to the export shipment. While the 800 series messages do not cause the shipment to reject from AES, six of the messages are warnings and require responses. All verify messages should be reviewed.

The **900 series** are messages returned with the specific error messages.

Reminder messages, beginning with the letter **R**, are generated to alert the filer that warning messages have not been corrected in the allotted timeframe (4 days after exportation of the shipment).

These common error messages have a three-part explanation:

- *Data Elements* lists the individual items with which AES has found an error or discrepancy;
- The *Reason* for the error message discusses the most common causes of the error; and,
- The *Resolution* provides guidelines for resolving the error.

If applicable, relevant data sources (appendices and directives) are also provided.

In some cases more than one error message is returned.

Note: This appendix makes reference to a number of codes, such as in bond codes, export information code, domestic/foreign code, etc. Please be aware that the codes listed in this appendix are acceptable for the Customs Proprietary Commodity Record Format. The Customs Proprietary Transportation Record Formats and ANSI-X12 formats must be checked to ensure the proper code is submitted for these formats.

The Data Universal Numbering System (DUNS) is a registered trademark of the Dun & Bradstreet Corporation.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

The following messages (001-020) occur when an error exists in the transmission of the data from the transmitter to AES. The entire transmission will be rejected if an error message 001 to 020 is generated.

001 FATAL ERR - INVALID FILER ID TYPE

Data Elements: Filer ID, Filer ID Type (A record)

Reason: The fatal error message occurs when the Filer ID Type is *not* valid. Valid Filer ID Type are *S* for Social Security Number, *D* for DUNS Number or *E* for Employers ID Number.

Resolution: The filer must report the valid Filer ID Type that corresponds to the Filer ID.

002 FATAL ERR - INVALID USPPI ID TYPE

Data Elements: USPPI ID Number, USPPI ID Type (B and Y records)

NOTE: Effective October 2000, the term exporter is replaced with USPPI. The U.S. Principal Party in Interest (identified as USPPI through out this document) is the person in the United States that receives the primary benefit, monetary or otherwise, of the transaction. Generally that person is the U.S. seller, manufacturer, order party or foreign entity.

Reason: The fatal error message occurs when the USPPI ID Number is entered and the USPPI ID Type is invalid or missing.

Resolution: Provide or correct the USPPI ID Type. The USPPI ID Type is a one digit alphabetic code that identifies the type of number reported to identify the exporter (USP PI). Valid codes are *D* for DUNS Number, *S* for Social Security Number (SSN), *E* for Employer Identification Number (EIN) and *T* for Foreign Entity code.

The number reported as *T* (Foreign Entity) may be an identification number contained in an official document such as a border crossing number, passport number, an alien registration card number or if an embassy is making the shipment, an embassy code. An embassy code is made up of the ISO country code followed by the word EMBASSY (Example: GBEMBASSY).

003 FATAL ERR - INVALID APPLICATN IDENTIFIER

Data Elements: Application Identifier (A and Z records)

Reason: A fatal message occurs when the Application Identifier is missing or invalid. The valid Application Identifiers are XP = USPPI Data Input, XT = Output from Customs, XN = Transaction Status.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Provide the correct Application Identifier as listed above.

004 FATAL ERR - INVALID PARTY ID

Data Elements: Party ID, Party ID Type, Party Type (N01 record)

Reason: The fatal error message occurs when the Party ID is invalid when reporting the Forwarding agent (Party Type *F*). Acceptable Party ID includes *E* for EIN, *D* for DUNS or *S* for SSN. This message also generates when the Party Type is *C* (consignee) or *I* (intermediate consignee) and the Party ID Type is not *D* for Duns. Party ID is not required for Consignee or Intermediate Consignee, however a DUNS number may be reported if known. Otherwise, Party ID and Party ID Type should not be reported for Consignee or Intermediate Consignee.

Resolution: The transmitter must provide a valid Party ID.

The Party ID is an 11 position alphanumeric field. The two positions at the end signify the location of the party. If these two positions are not needed, the transmitter must enter 00 as the suffix. When the Party ID is *E*, *D*, or *S* only the 10 and 11 positions can be alphanumeric.

005 FATAL ERR - INVALID PARTY ID TYPE

Data Element: Party ID Type, Party Type (N01 record)

Reason: The fatal error message occurs when the Party ID Type is not valid and the Party ID is reported for the forwarding agent (Party Type *F*). Party ID Type can be *S* for SSN, *E* for EIN, *D* for DUNS, *X* for Data Entry Center or *T* for Foreign Entity code. The message will also be generated if the Party ID is reported for Party Types *C* (consignee) or *I* (intermediate consignee) and the Party ID Type is not *D* for DUNS.

Resolution: The transmitter must provide a valid Party ID Type (*S*, *E*, *D*, *X* or *T*) that corresponds to the Party ID reported.

006 FATAL ERR - INVALID EXPORT/ARRIVAL DATE

Data Elements: Date of Exportation (Date of Arrival for exports to Puerto Rico)
(SC1 record)

Reason: The fatal message occurs when the date format is invalid or missing. Note: The thirty-first day of the month cannot be reported for shortened months.

Resolution: Commercial documentation or other competent information should be examined to verify the proper date of exportation (or date of arrival for exports to Puerto Rico) is reported. The Date must be numeric and the format must be in

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

century/year/month/day or YYYYMMDD.

007 FATAL ERR - INVALID PARTY TYPE

Data Elements: Party Type (N01 record)

Reason: The fatal error message occurs when the Party Type is missing or invalid.

Resolution: The transmitter must provide a valid Party Type. Valid Party Types are *E* (USPPI), *F* (Forwarding Agent), *C* (Consignee), *I* (Intermediate Consignee), or *D* (Data Entry Center).

008 FATAL ERR – INVALID AES-PASS SEQUENCE

Data Elements: Filing Option Indicator (SC1 record)

Reason: The fatal error occurs when the filer identifies the shipment as AES-PASS. The AES-PASS filing option was discontinued.

Resolution: The filer should verify and correct the filing option indicator.

009 FATAL ERROR - NOT AUTHORIZED TO TRANSMIT

Data Elements: Filer ID, Transmitter ID

Reason: The fatal error occurs when the transmitter and filer reported do not match, or the filer is not authorized to report to AES utilizing the services of the transmitter reported. This message is also generated when a non-authorized Data Entry Center (DEC) is indicated.

Resolution: The transmitter and filer identification numbers in the header records must be verified and compared to the profile of the filer. Only authorized parties can transmit as a DEC. *This message only goes back to internal users. External users are unable to receive this message since the error occurs in the header.*

010 FATAL ERROR - FOR FUTURE USE**013 FATAL ERROR - FOR FUTURE USE**

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

014 FATAL ERR - HDR "A" TRAILER "Z" NO MATCH

Data Elements: Batch Control Number (A and Z records)

Reason: The fatal error message occurs when the batch control number in the "A" and "Z" records do not match. The batch control numbers must match.

Resolution: Verify the batch control numbers in records "A" and "Z" records and correct as appropriate.

015 FATAL ERR - NON-NUMERIC LINE NUMBER**016 FATAL ERR - NON-NUMERIC NET FIRST QTY****017 FATAL ERR - NON-NUMERIC NET SECOND QTY****018 FATAL ERR - NON-NUMERIC VALUE OF GOODS****019 FATAL ERR - NON-NUMERIC GROSS SHIP WGHT**

Data Elements: Line Number (CL1 record); Quantity 1, Quantity 2, Value of Goods, Shipping Weight (CL2 record)

Reason: The fatal error message occurs when the Line Number, Quantity 1, Quantity 2, Value of Goods, or Shipping Weight is transmitted in an invalid non-numeric format.

Resolution: The transmitter of the data must correct any non-numeric values reported for the Line Number, Quantity 1, Quantity 2, Value of Goods, or Shipping Weight. Round to a whole number, omit decimals.

020 FATAL ERR - DATA RECORDS OUT OF SEQUENCE

Data Elements: All commodity records (A, B, SC1, SC2, SC3, N01, N02, N03, CL1, CL2, ODT, CL3, EV1, Y, AND Z.), Filing Option Indicator

Reason: The fatal error message occurs when the data records listed above are transmitted out of sequence. This message is also generated when the shipment is filed Pre-departure Option 3 and the Filing Option Indicator is missing or invalid.

Resolution: The transmitter of the data must report the data records in the following sequence: A, B, SC1, SC2, SC3, N01, N02, N03, CL1, CL2, ODT, CL3, EV1, Y, AND Z.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

The following messages occur when the USPPI, or the Forwarding Agent, Data Entry Center, Carrier or NVOCC acting on behalf of the USPPI, reports the export shipment information with errors or omissions. These fatal error messages result in a rejected shipment. Fatal errors must be corrected and resubmitted.

021 FATAL ERR-INVALID USPPI IRS NUM FORMAT

Data Elements: USPPI IRS Number, USPPI ID Type (B record)

Reason: The fatal error occurs when the USPPI IRS Number contains less than 9 positions or contains alphanumeric characters.

Resolution: The transmitter of the data must report a valid 9 digit USPPI IRS Number in the B record. The USPPI IRS Number is required when the exporter (USPPI) is identified by something other than an EIN or SSN.

022 FATAL ERR - NON-NUMERIC ODTQ QUANTITY

Data Elements: ODTQ Quantity (ODT record)

Reason: The fatal error occurs when the ODTQ quantity contains non-numeric characters.

Resolution: The transmitter of the data must correct any non-numeric value reported in the ODTQ Quantity field.

023 FATAL ERR - SHIPMENT CANNOT BE DELETED

Data Elements: Correction Flag (SC1 record)

Reason: The fatal error message occurs when an attempt is made to delete a shipment that cannot be deleted.

Resolution: Contact your AES Client Representative.

024 FATAL ERR - MISSING USPPI ID NUMBER

Data Elements: USPPI ID Number (B and Y record)

Reason: The fatal error message occurs when the number identifying the USPPI is missing.

Resolution: Commercial documentation or other competent information should be examined to verify the USPPI ID Number. The correct USPPI ID Number should be reported in the form of an EIN, SSN, DUNS or Foreign Entity code.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Note: If the DUNS is unknown, there is no charge to obtain one, call Dun & Bradstreet at 1-800-333-0505. The Foreign Entity code is used to identify a foreign principal party in interest when a DUNS is not available.

025 FATAL ERR -COMMODITY CANNOT BE DELETED

Data Elements: Line Correction Flag (CL1 record)

Reason: The fatal error occurs when an attempt is made to delete a Commodity line that cannot be deleted.

Resolution: Verify the Line Correction Flag is properly set. If in fact you are attempting to delete the commodity line, contact your AES Client Representative for further assistance.

026 FATAL ERR - MISSING USPPI IRS NMBR TYPE**027 FATAL ERR - INVALID USPPI IRS NMBR TYPE**

Data Elements: USPPI IRS Number, IRS Number Type, USPPI ID Number, USPPI ID Type (B record)

Reason: The fatal error message occurs when the USPPI IRS Number Type is missing and 1.) the USPPI IRS Number is entered *or* 2.) the USPPI identification information was left blank.

Resolution: Commercial documentation or other competent information should be examined to verify the one-digit alphabetic IRS Number Type is reported as *S* for SSN, or *E* for EIN.

028 FATAL ERR - MISSING USPPI IRS NUMBER

Data Elements: USPPI IRS Number (B record)

Reason: The fatal error message occurs when the IRS Number for the exporter (USPPI) is missing *and* the USPPI ID Number has not been entered.

Resolution: Commercial documentation or other competent information should be examined to verify the USPPI IRS Number is correctly reported.

Note: The IRS Number is nine alphanumeric characters, the letter O, I, and Z cannot be used in an IRS suffix (however, there are some exceptions for numbers assigned prior to this ACS rule), and dashes are not required.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

029 FATAL ERR - USPPI IRS NUMBR NOT ON FILE

Data Elements: USPPI IRS Number, USPPI Name, Address, City, State, Postal Code
(B record; N01, N02, N03 records)

Reason: The fatal error message occurs when the USPPI IRS Number is not on file in AES.

Resolution: The transmitter must verify the USPPI IRS Number. If AES does not recognize the USPPI IRS Number, then it is not on file in AES, and the transmitter must establish a new profile in the Party Profile Information Records (N01, N02, and N03). Submit Company Name, IRS Number, Street Address, City, State, Postal Code, Contact Person, and Contact Person's Phone Number. If a DUNS Number has been submitted as the USPPI ID Number, the reported IRS Number must correspond to only *one* DUNS.

030 FATAL ERR - MISSING USPPI NAME

Data Elements: USPPI Name, USPPI ID Number (B record; N01 record)

Reason: The fatal error message occurs when the USPPI ID Number is missing or not on file in AES *and* the Name is missing.

Resolution: Commercial documentation or other competent information should be examined and the Name of the USPPI correctly submitted.

031 FATAL ERR - MISSING USPPI STR ADDRESS

Data Elements: Address Line 1, Address Line 2, USPPI ID Number (B record; N02 record)

Reason: The fatal error message occurs when the USPPI ID Number is missing or not on file in AES *and* the Street Address is missing.

Resolution: Provide the Street Address of the USPPI.

032 FATAL ERR - MISSING USPPI CITY

Data Elements: City, USPPI ID Number (B record; N03 record)

Reason: The fatal error message occurs when the USPPI ID Number is missing or not on file in AES *and* the City is missing.

Resolution: Provide the City of the USPPI.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

033 FATAL ERR - MISSING USPPI STATE**034 FATAL ERR - INVALID USPPI STATE**

Data Elements: State, USPPI ID Number, USPPI ID Type
(B record, N03 record)

Reason: The fatal error message occurs when the USPPI ID Number is missing or not on file in AES *and* the two-character abbreviation for U.S. state is missing or invalid.

Resolution: The transmitter must provide the two-character abbreviation for the U.S. state, territory or possession where the USPPI, Forwarder, Foreign Entity or DEC resides. If the USPPI resides in Puerto Rico, report *PR* as the state code and US as the country. If the USPPI ID Type is T for Foreign Entity, report the state of the temporary residence being used at the time of export.

035 FATAL ERR - MISSING USPPI POSTAL CODE

Data Elements: Postal Code, Party Type, USPPI ID Number
(B record; N03 record)

Reason: The fatal error message occurs when the USPPI ID Number is missing or not on file in AES **and** the Postal Code is missing.

Resolution: Provide the Postal Code of the city/state of the USPPI (9-digit number left justified, first 5 digits must be numeric, last 4 digits must be numeric or blank).

036 FATAL ERR - MISSING USPPI CONTACT PERSON

Data Elements: Contact Name, USPPI ID Number (B record; N01 record)

Reason: The fatal error message occurs when the USPPI ID Number is missing or not on file in AES **and** the Contact Name is missing.

Resolution: Provide the Name of the person to contact for verification of the export shipment.

037 FATAL ERR - MISSING USPPI PHONE NUMBER

Data Elements: Contact Phone, USPPI ID Number (B record; N02 record)

Reason: The fatal error message occurs when the USPPI ID Number is missing or not on file in AES **and** the Contact Phone number is missing.

Resolution: Provide the phone number of the Contact Person.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

038 FATAL ERR-INVALID USPPI ID NUM FORMAT

Data Elements: USPPI ID Number, USPPI ID Type (B record: Y record)

Reason: The fatal error message occurs when the USPPI ID Number contains less than 11 positions or contains alphanumeric characters in invalid positions. When the USPPI ID Type is a D, S, or E, the first 9 positions must be numeric. The 10 and 11 positions can be alphanumeric. If the USPPI ID Type is T for Foreign Entity, any of the 11 positions can be alphanumeric.

Resolution: The transmitter of the data must report a valid USPPI ID Number in the correct format.

042 FATAL ERR - INVALID USPPI ISO CTRY

Data Elements: Country (N03 record)

Reason: The fatal error occurs when the USPPI reported is not subject to the jurisdiction of the United States. The USPPI must always have an address in the United States.

Resolution: An address in the United States must be reported for the USPPI.

043 FATAL ERR – INVALID USPPI POSTAL CODE

Data Elements: Party Type (N01 record), Postal Code (N03 record)

Reason: The fatal error message occurs when the Party Type is 'E' for USPPI and the postal code of the city/state of the USPPI is invalid.

Resolution: Provide the Postal Code of the city/state of the USPPI. Report the Postal Code as a 9-digit number left justified: first 5 digits must be numeric, last 4 digits must be numeric or blank.

044 FATAL ERR - FOR FUTURE USE**045 FATAL ERR - FOR FUTURE USE****050 FATAL ERR - MISSING ULT CONSIGNEE NAME**

Data Elements: Party Name (N01 record)

Reason: The fatal error message occurs when the Consignee identification number is not reported or is not on file in AES when reported *and* the Name is missing.

Resolution: Commercial documentation or other competent information should be examined to verify the Name of the Consignee is correctly submitted. The Ultimate

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

consignee known at the time of export must be reported. For goods sold en route, report 'SOLD EN ROUTE' and then send a correction as soon as the ultimate consignee is known. (Consignee correction flag is located on the N02 record)

051 FATAL ERR - MISSING ULT CONSIG STR ADDRESS

Data Elements: Address Line 1, Address Line 2 (N02 record)

Reason: The fatal error message occurs when the Consignee identification number is not reported or not on file in AES when reported *and* the Street Address is missing.

Resolution: Provide the Street Address of the consignee.

052 FATAL ERR - MISSING ULT CONSIGNEE CITY

Data Elements: City (N03 record)

Reason: The fatal error message occurs when the Consignee identification number is not reported or not on file in AES when reported **and** the City is missing.

Resolution: Provide the City of the consignee.

053 FATAL ERR - MISSING ULT CONSIGNEE STATE**054 FATAL ERR - INVALID ULT CONSIGNEE STATE**

Data Elements: State, Country (N03 record)

Reason: The fatal error message occurs when the Consignee resides in Mexico (MX) and the consignee identification number is not on file in AES or is not reported *and* the Mexican State is either missing or invalid.

Resolution: When the Consignee resides in Mexico, report the Mexican State Code. Commercial documentation or other competent information should be examined to verify the correct Mexican State of the Consignee when the Country of Ultimate Destination is "MX" for Mexico.

058 FATAL ERR - MISSING ULT CONSIGNEE ISO CTRY**059 FATAL ERR - INVALID ULT CONSIGNEE ISO CTRY**

Data Elements: Country (N03 record)

Reason: The fatal error message occurs when the Consignee identification number is not reported or is not on file in AES when reported, *and* the Country code is missing or invalid.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Verify and provide the two-position alpha ISO standard international country code, as given in the Harmonized Tariff Schedule or Schedule B, or Appendix C of this document.

062 FATAL ERR - FOR FUTURE USE

063 FATAL ERR - FOR FUTURE USE

064 FATAL ERR - FOR FUTURE USE

065 FATAL ERR - FOR FUTURE USE

070 FATAL ERR - MISSING AGENT NAME

Data Elements: Party Name (for forwarding agent if any) (N01 record)

Reason: The fatal error message occurs when the Forwarding Agent identification number is not on file in AES when reported *and* the Name is missing.

Resolution: Commercial documentation or other competent information should be examined and the Name of the forwarding agent should be correctly submitted. The forwarding agent may also be identified by the Filer ID in the A and Z records).

071 FATAL ERR - MISSING AGENT STREET ADDRESS

Data Elements: Address Line 1, Address Line 2 (N02 record)

Reason: The fatal error message occurs when the Forwarding Agent identification number is not on file in AES when reported *and* the Street Address for the forwarding agent is missing.

Resolution: Verify and provide the Street Address of the forwarding agent.

072 FATAL ERR - MISSING AGENT CITY

Data Elements: City (N03 record)

Reason: The fatal error message occurs when the Forwarding Agent identification number is not on file in AES when reported *and* the City is missing.

Resolution: Verify and provide the City of the forwarding agent.

073 FATAL ERR - MISSING AGENT STATE

074 FATAL ERR - INVALID AGENT STATE

Data Elements: State (N03 record)

Reason: The fatal error message occurs when the Forwarding Agent identification

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

number is not on file in AES when reported *and* the two-character abbreviation Postal State Code or Province is invalid or missing.

Resolution: Provide the two-character abbreviation for the U.S. State where the forwarding agent resides.

075 FATAL ERR - MISSING AGENT POSTAL CODE

Data Elements: Postal Code (N03 record)

Reason: The fatal error message occurs when the Forwarding Agent identification number is not on file in AES when reported *and* the Postal Code is missing.

Resolution: Provide the Postal Code for the city and state of the Forwarding Agent (9-digit number left justified; first 5 digits must be numeric, last 4 digits must be numeric or blank).

076 FATAL ERR - MISSING AGENT CONTACT PERSON

Data Elements: Contact Name (N03 record)

Reason: The fatal error message occurs when the Forwarding Agent identification number is not on file in AES when reported *and* the Contact Name is missing.

Resolution: Provide the Name of the person to contact for verification of the export shipment.

077 FATAL ERR - MISSING AGENT CONTACT PHONE NO

Data Elements: Contact Phone Number (N02 record)

Reason: The fatal error message occurs when the Forwarding Agent identification number is not on file in AES when reported *and* the Contact Phone Number is missing.

Resolution: Provide the Phone Number of the person to be contacted for verification of the export shipment.

078 FATAL ERR - MISSING AGENT ISO COUNTRY**079 FATAL ERR - INVALID AGENT ISO COUNTRY**

Data Elements: Country (N03 record)

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Reason: The fatal error message occurs when the Forwarding Agent identification number is not on file in AES when reported *and* the Country code is missing or invalid.

Resolution: The forwarding agent must reside in the United States. Provide the two-character ISO standard international country code 'US'.

082 FATAL ERR - FOR FUTURE USE083 FATAL ERR - FOR FUTURE USE084 FATAL ERR - FOR FUTURE USE085 FATAL ERR - FOR FUTURE USE090 FATAL ERR - MISSING INT CONSIGNEE NAME

Data Elements: Party Name (N01 record)

Reason: The fatal error message occurs when the Intermediate Consignee identification number is not on file in AES when reported *and* the Name is missing.

Resolution: Commercial documentation or other competent information should be examined to verify the Name of the intermediate consignee is correctly submitted.

091 FATAL ERR - MISSING INT CONSIG STR ADDRESS

Data Elements: Address Line 1, Address Line 2 (N02 record)

Reason: The fatal error message occurs when the Intermediate Consignee identification number is not on file in AES when reported *and* the Street Address is missing.

Resolution: Provide the Street Address for the intermediate consignee.

092 FATAL ERR - MISSING INT CONSIGNEE CITY

Data Elements: City (N03 record)

Reason: The fatal error message occurs when the Intermediate Consignee identification number is not on file in AES when reported *and* the City is missing.

Resolution: Provide the City of the intermediate consignee.

093 FATAL ERR - MISSING INT CONSIGNEE STATE094 FATAL ERR - INVALID INT CONSIGNEE STATE

Data Elements: Country, State (N03 record)

Reason: If the Intermediate Consignee Country is "MX" for Mexico, the fatal error

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

message occurs when the Intermediate Consignee identification number is not on file in AES when reported *and* the Mexican State is either missing or invalid.

Resolution: Commercial documentation or other competent information should be examined to verify the correct Mexican State of the Intermediate Consignee is reported when the Intermediate Consignee Country is "MX" for Mexico.

098 FATAL ERR - MISSING INT CONSIGNEE ISO CTRY099 FATAL ERR - INVALID INT CONSIGNEE ISO CTRY

Data Elements: Country Code (N03 record)

Reason: The fatal error message occurs when the Intermediate Consignee identification number is not on file in AES when reported *and* the Country Code is missing or invalid.

Resolution: Provide the two-character ISO standard international country code of the intermediate consignee. (ISO codes are listed in the Harmonized Tariff Schedule or Schedule B, or Appendix C of this document)

100 FATAL ERR – FOR FUTURE USE105 FATAL ERR - MISSING SCAC/IATA NUMBER106 FATAL ERR - SCAC/IATA NUMBER NOT ON FILE

Data Elements: SCAC/IATA(Carrier ID) (SC1 record)

Reason: The fatal error message occurs when the SCAC or IATA number is missing or not on file in AES. The SCAC for vessel and truck is issued by the NMFTA (National Motor Freight Traffic Association). The SCAC for rail is issued by the AAR (American Association of Railroads). IATA (International Air Transport Association) issues air carrier codes.

Resolution: The NMFTA, AAR or IATA should be contacted or other competent information should be examined to determine the correct SCAC or IATA to report. The SCAC is the standard identification for vessel, rail, and truck carriers. The IATA is the standard identification for air carriers. *F, *C, *U, or *M will be accepted in cases where the IATA or SCAC codes are not known for air and truck shipments. ** or 990 should be reported for flyaway aircraft, HS 8802. If the ultimate carrier is unknown, report the consolidator's SCAC or IATA.

108 FATAL ERR - INVALID CONSIGNEE CORRECTION FLAG

Data Elements: Consignee Correction Flag, Party Type (N01; N02 records)

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Reason: The fatal error message occurs when the Consignee Correction Flag on the N02 record is invalid. The valid values for the Consignee Correction Flag is 'C' for change or 'blank'. The add and delete functions are not available here.

Resolution: The Consignee Correction Flag should be either 'blank' or 'C'. Verify if the consignee is being changed that the Party Type is reported as *C* for Consignee and that the Consignee Correction Flag contains a valid value of *C* for correction.

109 FATAL ERR – VALUE EXCEEDS \$2501 (LC or LV)

Data Elements: Export Information Code, Value of Goods (CL1; CL2 record)

Reason: The fatal error message occurs when the Export Information Code is 'LC' or 'LV' identifying shipments valued \$2500 or less per classification number *and* the value of the goods is over \$2501. See Appendix E for a full definition of Export Information Codes.

Resolution: Review the value of the goods and determine if the appropriate Export Information Code was reported. 'LC' identifies a low value shipment with licensing requirements that requires full export information reported. 'LV' identifies low value shipment which do not require full export information. Correct as necessary.

110 FATAL ERR - MISSING SHIPMENT REF NUMBER

Data Elements: Shipment Reference Number (SC1 record)

Reason: The fatal error message occurs when the Shipment Reference Number is missing.

Resolution: Provide the Shipment Reference Number that uniquely identifies the export shipment with the filer. This number, created by the filer, may consist of a Bill of Lading Number, an Invoice Number, a Company's Internal Reference Number or any other number that would unique identify the shipment for the filer. The filer is the company responsible for the data and can verify the shipment by this unique Shipment Reference Number.

111 FATAL ERR - DUP EXPORT TRANSACTION NUMBER

Data Elements: Filer ID, Shipment Reference Number (A/Z; SC1 record)

Reason: The fatal error message occurs for new shipments when the Filer ID plus the Shipment Reference Number created an Export Transaction Number (XTN) which already exists on file in AES. This XTN must be unique for **FIVE** years.

Resolution: For a new shipment, provide a different Shipment Reference Number in

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

order to create a unique Export Transaction Number.

Filer ID + Shipping Reference Number = XTN

112 FATAL ERR - EXPORT TRANS NUMBER NOT FOUND

Data Elements: Filer ID, Shipment Reference Number, Correction Flag
(A/Z; SC1; CL1 record)

Reason: The fatal error message occurs when the Correction Flag (SC1) or Line Correction Flag (CL1) is reported as 'C' for change or 'D' for delete, but the Export Transaction Number is invalid or not on file in AES. Therefore, AES can not determine what record is being changed or deleted.

Resolution: When changing or deleting a shipment or line the Filer ID and Shipment Reference Number (referred to as the Export Transaction Number (XTN)) must match the number on the original "ADD" shipment or line.

113 FATAL ERR - INVALID HEADER CORRECTION FLAG

Data Elements: Correction Flag, Filing Option Indicator (SC1 record)

Reason: The fatal error message occurs when the Correction Flag on the SC1 record is invalid. The Correction Flag is a one-character alphabetic code that indicates what action is being performed for the shipment.

Resolution: For Filing Option 2, Post-departure Filing Option 3, and Filing Option 4, valid values for the Correction Flag are: 'blank' or 'A' when adding a shipment, 'C' when changing a shipment, or 'D' when deleting a shipment.

For pre-departure Option 3, valid correction flags are 'P' for Add, 'Q' for Change, or 'R' for Delete.

114 FATAL ERR - INVALID LINE CORRECTION FLAG

Data Elements: Line Correction Flag (CL1 record)

Reason: The fatal error message occurs when the Line Correction Flag on the CL1 record is invalid. The Line Correction Flag indicates the action to be performed for the commodity line.

Resolution: The valid values for the Line Correction Flag are: 'blank' or 'A' when adding a line, 'C' when changing a line, or 'D' when deleting a line, for all filing options.

Determine what value should be reported here dependant on the action desired.

115 FATAL ERR - LINE NUMBER NOT FOUND**116 FATAL ERR - DUPLICATE LINE NUMBER**

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Data Elements: Line Number, Line Correction Flag (CL1 record)

Reason: The fatal error message occurs for a new line item when the Line Number being reported already exists in AES; or for an update to a line item when the Line Number is not found as part of the specific shipment. *The Line Number reported on Pre-departure and Post-departure Option 3 lines must match.*

Resolution: Verify that each line number is unique per shipment and the correct line number exists in AES when doing an update.

117 FATAL ERR - MISSING MODE OF TRANSPORTATION**118 FATAL ERR - INVALID MODE OF TRANSPORTATION**

Data Elements: Mode of Transportation (SC1 record)

Reason: The fatal error message occurs when the Mode of Transportation is invalid or missing.

Resolution: Verify the MOT and report the correct code.

Valid MOT codes are: 10-vessel (non-containerized), 11-vessel (containerized), 12-vessel barge, 20-rail (non-containerized), 21-rail (containerized), 30-truck (non-containerized), 31-truck (containerized), 40-air (non-containerized), 41-air (containerized), 32-auto, 33-pedestrian, 34-road, other, 50-mail, 60-passenger-hand carried, 70-fixed transport (pipeline, electrical powerhouse).

119 FATAL ERR - MISS CNTRY OF ULT DESTINATION**120 FATAL ERR - INVALID CNTRY OF ULT DESTINATION**

Data Elements: Country of Ultimate Destination, Port of Exportation
(SC1 record)

Reason: The fatal error message occurs when the ISO Country Code is invalid or missing. The Country of Ultimate Destination is the country in which the merchandise is to be consumer, manufactured or further processed at the time of shipment. The Country of Ultimate Destination must be reported using the two-character ISO Country Code. See the Harmonized Tariff Schedule or Appendix C of the AESTIR for a list of these codes. *The country of ultimate destination cannot be the 'US' unless the Port of Exportation is one in Puerto Rico.*

Resolution: Commercial documentation or other competent information should be examined to verify the correct Country of Ultimate Destination. Resubmit the shipment with the correct ISO Country Code.

121 FATAL ERR - MISSING PORT OF UNLADING

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

122 FATAL ERR - INVALID PORT OF UNLADING

Data Elements: Port of Unlading, Mode of Transportation, Country of Ultimate Destination (SC1 record)

Reason: The fatal error message occurs when the Mode of Transportation is 10, 11 or 12 *and* the Port of Unlading is invalid or missing. The Port of Unlading represents the foreign port and country where the merchandise will be unladen from the exporting carrier. For ocean shipments the Port of Unlading must be a five-digit Schedule K code, except for shipments between the US and Puerto Rico. For both ocean and air shipments between the U.S. and Puerto Rico, report the four-digit Schedule D code (left justified). If the MOT is other than vessel, and the trade is not between the US and Puerto Rico, the port of unlading must be blank.

Resolution: Commercial documentation or competent information should be examined to determine the Method of Transportation and the Port of Unlading. Schedule K, "Classification of Foreign Ports by Geographic Trade Area and Country", presents the port of unlading codes used in AES. Schedule K codes can be obtained from the Maritime Administration Department of Transportation (<http://www.dot.gov/statistics/>). For trade between U.S. and Puerto Rico, the Schedule D Customs Port code must be submitted. The Schedule D codes can be obtained from the Harmonized Tariff Schedule or Schedule B or Appendix D of the AESTIR.

123 FATAL ERR - MISSING PORT OF EXPORTATION**124 FATAL ERR - INVALID PORT OF EXPORTATION**

Data Elements: Port of Exportation, Mode of Transportation, Country of Ultimate Destination (SC1 record)

Reason: The fatal error message occurs when the Port of Exportation code is invalid or missing or the port is inactive for exports. Schedule D codes are reported here to designate the port from which merchandise is last laded for vessel or air. For land borders the port of exportation indicates the actual border crossing port. For shipments between the US and Puerto Rico the following rules apply: If the Country of Ultimate Destination is the US, the Port of Exportation must be a port in Puerto Rico. If the Country of Ultimate Destination is PR, you cannot have a PR port of exportation. If the Method of Transportation is Code 50 (mail), report Schedule D code '8000' *or* any valid Port code is acceptable.

Resolution: Commercial documentation or other competent information should be examined to verify the US port of exportation. The four position numeric port codes are presented in Schedule D of the Harmonized Tariff Schedule and Schedule B or in Appendix D of the AESTIR.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

125 FATAL ERR - MISSING HAZMAT INDICATOR126 FATAL ERR - INVALID HAZMAT INDICATOR

Data Elements: Hazmat Indicator, HS *or* Schedule B Number (SC1; CL1; CL2 records)

Reason: The fatal error message occurs when the Hazardous Materials Indicator is missing or reported as anything other than Y for Yes or N for No.

Resolution: Commercial documentation or other competent information should be examined to verify whether or not the shipment contains Hazardous Material. The only acceptable values are **Y** for Yes or **N** for No.

127 FATAL ERR - MISSING INBOND CODE128 FATAL ERR - INVALID INBOND CODE

Data Elements: In Bond Code (SC3 record)

Reason: The fatal error message occurs when the In Bond Code reported is invalid or missing.

Resolution: **AES does not closeout any in bond shipments.** The default code of “70” Not In Bond should be reported here. Other in bond codes may be reported for **informational purposes only**. The two-digit numeric codes accepted are: 70=Not In Bond; 36=Warehouse Withdrawal for IE; 37=Warehouse Withdrawal for T&E; 67=IE from a (FTZ) Foreign Trade Zone; 68=T&E from a (FTZ) Foreign Trade Zone. Shipments requiring Form 7513 (codes 62=T&E or 63=IE) are not acceptable in AES.

129 FATAL ERR - MISSING ENTRY NUMBER130 FATAL ERR - INVALID ENTRY NUMBER FORMAT

Data Elements: Entry Number, In Bond Code, Export Information Code
(SC2; CL1 record)

Reason: The fatal error message occurs when an In Bond Code (other than ‘70’) is reported or when the Export Information Code for Drawback (‘DB’) is reported and the Entry Number has too few numbers, inserted dashes, spaces or other special characters, or is missing entirely.

Resolution: Commercial Documentation or competent information should be examined to verify when the In Bond Code is reported or for Drawback Exports, a valid fifteen-digit Import Entry Number or Drawback Entry Number is correctly reported. Reporting In Bond code other than ‘70’ is for informational purposes only. AES does not close out the In Bond movement.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

131 FATAL ERR - MISSING EQUIPMENT NUMBER

Data Elements: Equipment Number, Mode of Transportation (SC1; SC3 record)

Reason: The fatal error message occurs when the Mode of Transportation is 11, 21, 31, or 41 (indicating a containerized shipment) and the equipment number is missing.

Resolution: When the Mode of Transportation is reported as 11, 21, 31, or 41 (for containerized cargo) correctly report the container number in the Equipment Number field.

132 FATAL ERR - MISSING EXP INFORMATION CODE**133 FATAL ERR - INVALID EXP INFORMATION CODE**

Data Elements: Export Information Code (CL1 record)

Reason: The fatal error message occurs when the Export Information Code is invalid or missing. The Export Information Codes used in AES are presented in Appendix E of this document. These codes determine the amount of information required to be reported in AES (all information required, limited information required, or no shipment information required) and what editing will be performed.

Resolution: Commercial documentation or other competent information should be examined to determine which of the Export Information Codes applies to the shipment.

134 FATAL ERR - MISSING HS OR SCHED B NUMBER**135 FATAL ERR - INVALID HS OR SCHED B NUMBER**

Data Elements: HS/Schedule B Number, Commodity Description, Export Information Code, Filing Option Indicator, Country (SC1; CL1; CL2 records)

Reason: The fatal error message occurs when the HS/Schedule B Number is missing or is improperly formatted or invalid. The HS/Schedule B Numbers are 10-digit numeric codes that represent the commodity being exported. Pre-departure Option 3 filings may report the commodity description in lieu of the HS or Schedule B number. Schedule B numbers '0000000001 through 0000000009' are used for American goods returned from Puerto Rico only.

Resolution: Commercial documentation or other competent information should be examined to verify the HS or Schedule B Number is correctly reported. For assistance, consult the Harmonized Tariff Schedule or the Schedule B - Classification of Exports, AES file, or call the *Foreign Trade Division, Bureau of the Census, Washington, DC* (301) 457-2981 for classification assistance.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

136 FATAL ERR - OUTDATED HS OR SCHED B NUMBER

Data Elements: HS or Schedule B Number (CL2 record)

Reason: The error message occurs when the HS or Schedule B Number being reported expired over 30 days from the date of export. Classification numbers change from time to time. It is the responsibility of the filer to provide an up-to-date HS or Schedule B Number. AES gives filers a 30-day grace period to update their HS/Schedule B reference files before generating a fatal error for invalid HS/Schedule B numbers.

Resolution: Commercial documentation or other competent information should be examined to determine the correct HS or Schedule B Number to report. For assistance, consult the Harmonized Tariff Schedule or the Schedule B - Classification of Exports or call the *Foreign Trade Division, Bureau of the Census, Washington, DC* (301) 457-2981.

137 FATAL ERR - ZERO VALUE UNACCEPTABLE

Data Elements: Value of Goods (CL2 record)

Reason: The fatal error message occurs when the Value of Goods is reported as zero.

Resolution: Commercial documentation or other competent information should be examined. The Value of Goods reported is the selling price or cost if not sold, including inland freight, insurance, and other charges to U.S. Port of Exportation, but excluding unconditional discounts and commissions. The Value of Goods must be rounded to the nearest whole dollar, omit cents.

138 FATAL ERR - ZERO GROSS SHIP WGHT UNACCEPT

Data Elements: Shipping Weight, Method of Transportation (SC1; CL2 record)

Reason: The fatal error message occurs when the gross Shipping Weight is reported as zero. The gross shipping weight is required for MOTs of sea, air, rail or truck.

Resolution: Commercial documentation or other competent information should be examined to verify the gross Shipping Weight is correctly reported in KILOGRAMS, including the weight of containers, but excluding carrier equipment. [Multiply Pounds (LBS) by .4536 to get Kilograms (KG)]. Gross Shipping Weight may be estimated, but must be rounded to whole numbers, omit decimals. A Shipping Weight less than 1-kilogram must be rounded up to 1 kg.

139 FATAL ERR - MISS VEHICLE ID NUMBER (VIN)**140 FATAL ERR - INVAL VEHICLE ID NUMBER (VIN)****141 FATAL ERR - MISSING VEHICLE TITLE NUMBER**

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

142 FATAL ERR - INVALID VEHICLE TITLE NUMBER**143 FATAL ERR - MISS VEHICLE STATE OF TITLE****144 FATAL ERR - INVAL VEHICLE STATE OF TITLE**

Data Elements: Vehicle Identification Number (VIN), Vehicle Title Number, Vehicle State of Title, HS or Schedule B Number, Commodity Description (CL1; CL2; EV1 record)

Reason: The fatal error message occurs when the shipment contains USED, self-propelled vehicles, and the VIN, Vehicle Title Number, and Vehicle State of Title are invalid or missing. The VIN must be in an acceptable format as given by the manufacturer.

Resolution: Commercial documentation or competent information should be examined. When certain HS or Schedule B Numbers pertaining to *USED* vehicles are reported, requiring VINs, verify that the VIN(s), Vehicle Title Number(s), and Vehicle State of Title(s) are correctly reported and in the correct format. If the used vehicle being shipped has diplomatic tags (no state title), the filer can report "US" as the Vehicle State of Title.

145 FATAL ERR - MISSING PRODUCT ID NUMBER

Data Elements: Product Identification Number (PIN), HS/Schedule B Number, Commodity Description (CL1; CL2; EV1 record)

Reason: The fatal error message occurs when the shipment contains *USED*, self-propelled vehicles not having a VIN, and the PIN is missing.

Resolution: Commercial documentation or competent information should be examined to verify when certain HS or Schedule B Numbers pertain to USED vehicles not having VINs, that the PIN(s) are correctly reported.

146 FATAL ERR - USED VEHICLE NOT FOUND

Data Elements: Vehicle Identification Number (VIN), Product Identification Number (PIN), Vehicle ID Qualifier, Vehicle Correction Flag (EV1 record)

Reason: The fatal error message occurs when the transmitter attempts to change or delete a VIN or PIN and the VIN or PIN is not on file in AES.

Resolution: Verify that the Vehicle Correction Flag is correctly set. Valid values for the Vehicle Correction Flag are 'A' or 'blank' for add, 'D' for delete or 'C' for change. Commercial documentation or competent information should be examined to verify that the correct VIN or PIN has been reported when making the change or deletion.

148 FATAL ERR - MISS US STATE OF ORIGIN CODE

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

149 FATAL ERR - INVAL US STATE OF ORIGIN CODE

Data Elements: State/Province Code (SC1 record)

Reason: A fatal error message occurs when the U.S. State of Origin two-position code is invalid or missing.

Resolution: Commercial documentation or other competent information should be examined to determine the correct two-position U.S. Postal Service abbreviation of the State or U.S. territory in which the merchandise actually starts its journey to the port of export.

150 FATAL ERR – FOR FUTURE USE**155 FATAL ERR - MISSING COMMODITY LINES**Data Elements: Correction Flag, Line Correction Flag, Line Number
(SC1; CL1 records)

Reason: A fatal error message occurs when no commodity lines are submitted with a new shipment.

Resolution: When adding a new shipment, submit at least one commodity line item. The correction flag should be set to 'blank' or 'A' when adding.

160 FATAL ERR - USPPI PROFILE NOT SETUP

Data Elements:

Reason: A fatal error message occurs when the USPPI profile has not been setup on the AES system.

Resolution: Contact your Client Representative.

162 FATAL ERR - FOR FUTURE USE**163 FATAL ERR - FOR FUTURE USE****164 FATAL ERR - OPTION 4 NOT YET ACTIVE**

Data Elements: USPPI ID Number, Filing Option Indicator (B; SC1 records)

Reason: A fatal error message occurs when the approved USPPI's Option 4 privilege is not yet active. The USPPI cannot send Option 4 shipments prior to the start-up date scheduled by the Client Representative.

Resolution: Contact your AES Client Representative.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

165 FATAL ERR - OPT4 PRIVILEGE REVOKED

Data Elements: USPPI ID Number, Filing Option Indicator (B; SC1 records)

Reason: A fatal error message occurs when the USPPI's Option 4 privilege has been revoked.

Resolution: Contact your AES Client Representative.

166 FATAL ERR-USPPI NOT OPT4 ELIGIBLE

Data Elements: USPPI ID Number, Filing Option Indicator (B; SC1 records)

Reason: A fatal error message occurs when the USPPI has not been approved for Option 4 filing privilege.

Resolution: Contact your AES Client Representative.

167 FATAL ERR - FOR FUTURE USE**168 FATAL ERR - FOR FUTURE USE****169 FATAL ERR - INVALID FILING OPT. INDICATOR**

Data Elements: Filing Option Indicator (SC1 record)

Reason: A fatal error message occurs when the Filing Option Indicator contains an invalid value. The Filing Option Indicator identifies which of the three electronic filing options that filer is using to report the commodity data (For a definition of the Filing Options see AESTIR Part II, Section 1).

Resolution: Valid values are 'Blank' for Option 2, '3' for Option 3 (both pre-departure and post-departure), and '4' for Option 4 shipments. One of these values must be reported in the Filing Option Indicator field.

170 FATAL ERR – MISSING BOOKING NUMBER

Data Elements: Transportation Reference Number, Method of Transportation
(SC1; SC3 records)

Reason: A fatal error message occurs when the Method of Transportation is sea (MOT 10, 11 or 12) and the transportation reference number, which is the Booking Number, is missing.

Resolution: Verify the Method of Transportation is sea and if so, report the booking number provided by the carrier or consolidator.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

171 FATAL ER - DEC NOT OPT4 ELIGIBLE

Data Elements: Filing Option Indicator, Party ID Type, Party Type
(SC1; N01 records)

Reason: The fatal error message occurs when a Data Entry Center (DEC) attempts to file in AES using Option 4. The DEC can only report to AES using Filing Option 2 or Option 3 as per signed agreement with Census.

Resolution: Verify the Filing Option used to report the shipment to AES. If an approved Option 4 USPPI request the DEC to file on their behalf, then the DEC must file as a freight forwarder.

178 FATAL ERR - PRE-DEPARTURE FILING INCOMPLET

Data Elements: USPPI ID, Forwarder ID, Carrier ID, Country of Ultimate Destination, Name of Ultimate Consignee, Commodity Description *or* Harmonized Number, Unique Identifying Number, Intended U.S. Port of Export, Estimated Date of Export, Transportation Reference Number, Mode of Transportation Code, HAZMAT, License Code, License Number

Reason: The fatal error message occurs when all the mandatory data elements for a particular commodity reported Pre-departure Option 3 were not included for the shipment.

Resolution: Verify that the filer has transmitted the mandatory (up to 14) data elements pre-departure. Note that while all commodities require a license *code*, not all commodities require a license *number*. The commodity description may be reported in lieu of the Harmonized Schedule Number. The Transportation Reference Number is only required when the MOT is 10, 11, or 12. If the Method of Transportation is 32,33,34,50,60, or 70, the Carrier ID (SCAC/IATA) is not required.

179 FATAL ERR - STATE DEPART SHIPMENT INELIG

Data Elements: Filing Option Indicator (SC1 record) License Code (CL2 record)

Reason: The fatal error message occurs when a commodity shipment that requires a State Department license (License Codes: SAG, S05, S61, S73, S85, S94) is reported as an Option 3 filing.

Resolution: Commercial documentation or other competent information should be examined to verify that a State Department license is required. If the shipment requires a State Department license, the filer should revert to AES Option 2 filing (full pre-departure information). AES will capture this message and make it available for compliance reporting purposes.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

180 FATAL ERR – PREDEPARTURE DATA NOT ACCEPTED

Data Elements: Filing Option Indicator (SC1 record)

Reason: The error message occurs when a filer tries to transmit pre-departure data after the post-departure filing has been received and accepted in AES.

Resolution: No action required. Filers may switch between filing options. In the future, when full information is known and reported pre-departure, the filer should report using Option 2.

181 FATAL ERR – INVALID COMMODITY DESCRIPTION

Data Elements: Commodity Description (SC1; CL1 records)

Reason: Using Option 3, the pre-departure filing can contain a commodity description in lieu of reporting the Schedule B/HS number. However, the description must be complete enough to allow Customs Inspectors to verify the shipment if necessary. Therefore, AES has been programmed to reject descriptions that are too general, such as FAK or Freight of All Kinds, etc..

Resolution: Commercial documentation or other competent information should be examined to verify what commodity is being shipped. A more specific description of the commodity should be entered in the Commodity Description field.

184 FATAL ERR – ODTL LICENSE NUMBER INVALID

Data Elements: License Code, Export License Number (CL2 record)

Reason: The State Department/ODTC DSP-5 license number reported does not exist.

Resolution: Commercial documentation or other competent information should be examined to determine the correct license number to reported. For further clarification, contact the licensing agency. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700. Verify the license number and correct as necessary.

186 FATAL ERR - MISSING LICENSE NUMBER**187 FATAL ERR - INVALID LICENSE NUMBER**

Data Elements: Export License Number (CL2 Record)

Reason: The license code reported requires an Export License Number and the Export License Number is missing or invalid.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Approved licenses or competent information should be examined to verify the correct License Code is reported with a valid Export License Number. For further clarification, contact the licensing agency. The Department of Commerce, Division of Outreach and Education Services can be reached at 202-482-4811. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700.

188 FATAL ERR - MISSING ROUTED EXPORT TRANSACTION**189 FATAL ERR - INVALID ROUTED EXPORT TRANSACTION**

Data Elements: Routed Export Transaction (SC2 Record)

Reason: A routed export transaction is where the foreign principal party in interest authorizes a U.S. forwarding or other agent to facilitate the export of items from the United States. The filer must identify where the shipment is a routed export transaction or not. Valid values are "Y" for yes or "N" for no.

Resolution: The filer is required to identify whether or not an export shipment is a routed transaction. Questions on routed export transactions should be addressed to your Census client representative.

190 FATAL ERR – LICENSE DISAPPROVED – NO DECREMENT

Data Elements: Export License Number (CL2 Record)

Reason: The export license number reported was not approved.

Resolution: Commercial documentation or other competent information should be examined to determine that the correct export license number was reported. For further clarification, contact the licensing agency. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700.

191 FATAL ERR – LICENSE SUSPENDED – NO DECREMENT

Data Elements: Export License Number (CL2 Record)

Reason: The export license number reported has been suspended.

Resolution: Commercial documentation or other competent information should be examined to determine that the correct export license number was reported. For further clarification, contact the licensing agency. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700.

192 FATAL ERR – LICENSE RETURNED WITHOUT ACTION

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Data Elements: Export License Number (CL2 Record)

Reason: The export license number reported has been return without action.

Resolution: Commercial documentation or other competent information should be examined to determine that the correct export license number was reported. For further clarification, contact the licensing agency. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700.

193 FATAL ERR – LICENSE REVOKED – NO DECREMENTATION

Data Elements: Export License Number (CL2 Record)

Reason: The export license number reported has been revoked.

Resolution: Commercial documentation or other competent information should be examined to determine that the correct export license number was reported. For further clarification, contact the licensing agency. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700.

194 FATAL ERR – LICENSE WITHDRAWN – NO DECREMENTATION

Data Elements: Export License Number (CL2 Record)

Reason: The export license reported has been withdrawn.

Resolution: Commercial documentation or other competent information should be examined to determine that the correct export license number was reported. For further clarification, contact the licensing agency. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700.

195 FATAL ERR – UNLIKELY DATE OF EXPORT

Data Elements: Estimate Date of Export/Arrival (SC1 Record)

Reason: The fatal error occurs when the Estimated Date of Export/Arrival exceeds 120 days from the current system date.

Resolution: AES will accept estimated dates of export/arrival that are within 120 days of the actual date of the shipment. Verify that the correct Estimated Date of Export/Arrival is reported.

196 FATAL ERR – VALUE EXCEEDS \$499,999,999

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Data Elements: Value of Goods, HS/Schedule B Number (CL2 Record)

Reason: The fatal error occurs when the value of goods is equal to or greater than \$500,000,000 and the HS/Schedule B classification is not from Chapter 88.

Resolution: Commercial documentation or other competent information should be examined to determine the correct value of the goods. Check for keying error. If the value of goods is actually greater than \$500,000,000, contact your client representative for assistance. Note: Value should be reported in whole US dollars only.

197 FATAL ERR – VALUE EXCEEDS \$999,999,999

Data Elements: Value of Goods, HS/Schedule B Number (CL2 Record)

Reason: The fatal error occurs when the value of goods is equal to or greater than \$1,000,000,000 and the HS/Schedule B classification is from Chapter 88.

Resolution: Commercial documentation or other competent information should be examined to determine the correct value of the goods. Check for possible keying error. If the value is actually greater than \$1,000,000,000 contact your client representative for assistance. Note: Value should be reported in whole US dollars only.

198 FATAL ERR – NOT ELIGIBLE CANADIAN ITAR EXEMPTION

Data Elements: Country of Destination (SC1 record), License Code (CL2 record)

Reason: The fatal error occurs when the License Type Code is “SCA” and the Country of Destination is not Canada.

Resolution: Commercial documentation or other competent information should be examined to determine if the correct export license code and country of destination were reported. For further clarification, contact the licensing agency. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700.

199 FATAL ERR – INVALID COUNTRY FOR LICENSE CODE

Data Elements: Country of Destination (SC1 record), License Code, Export License Number (CL2 record)

Reason: The fatal error occurs when the license code is “C51” and the country of destination is not Cuba. License code “C51” indicates a License Exception for Agricultural Commodities (AGR) exported or re-exported to Cuba.

Resolution: Commercial documentation or other competent information should be

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

examined to verify the country of destination is Cuba when the license code is “C51”. For further clarification on the licensing requirements, contact the licensing agency. The Department of Commerce, Division of Outreach and Education Services can be reached at 202-482-4811.

200 FATAL ERR – LICENSE NUMBER INVALID FOR CANADIAN ITAR EXEMPTION

Data Elements: Country of Destination (SC1 record), License Code, Export License Number (CL2 record)

Reason: The fatal error occurs when an Export License Number is reported with the License Type Code “SCA” and the Country of Destination is Canada. A license number is not required on shipments claiming the Canadian ITAR exemption (SCA).

Resolution: Commercial documentation or other competent information should be examined to determine the correct license code to report if the license number is valid. If the license code is in fact “SCA” and the country of destination is Canada then no license number is required. For further clarification on the licensing requirements, contact the licensing agency. The Department of State, Office of Defense Trade Controls (ODTC) can be reached at 202-663-2700.

201 FATAL ERR – DATE OF EXPORT EXCEEDS LICENSE

Data Elements: Date of Exportation (SC1 record) License Code, Export License Number (CL2 record)

Reason: The fatal error message occurs when the Export License for this commodity has expired prior to the date of exportation.

Resolution: Approved licenses or competent information should be examined to verify the estimated Date of Exportation is within the time period that the license covers. Verify that the license number has been correctly reported and is valid during the time period in question. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. The Department of Commerce, Division of Outreach and Education Services can be reached at 202-482-4811.

202 FATAL ERR – LICENSE IS EXHAUSTED

Data Elements: Value, License Code, Export License Number (CL2 record)

Reason: The fatal error occurs when the remaining value on the license is zero.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: The license filed at Customs port, commercial documentation and/or other competent information should be examined to verify the value remaining on the license. Verify that the license number has been reported correctly. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

203 FATAL ERR – VALUE EXCEEDS LICENSE LIMIT

Data Elements: Value, License Code, Export License Number (CL2 record)

Reason: The fatal error occurs when the value reported for the shipment exceeds the value on the license.

Resolution: The license filed at Customs port, commercial documentation and/or other competent information should be examined to verify the value remaining on the license. Verify that the license number and value have been reported correctly. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

204 FATAL ERR – ODT DATA NOT ALLOWED

Data Elements: License Code (CL2 record), ODT record

Reason: ODT record can not be reported when the License Code reported on the CL2 record is other than 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94'.

Resolution: Commercial documentation or other competent information should be examined to determine the type of license or license exemption required for the shipment. License Codes to report on the CL2 record are listed in Appendix F of the AESTIR. Correct and retransmit as appropriate.

205 FATAL ERR – ODT DATA REQUIRED

Data Elements: License Code (CL2 record), ODT record

Reason: The ODT record must be reported when the License Code reported on the CL2 record is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94'.

Resolution: Commercial documentation or other competent information should be examined to verify the type of license or license exemption required for the shipment. License Codes to report on the CL2 record are listed in Appendix F of the AESTIR. If

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

the license code is correctly reported as 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94', then the ODT record is required. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

206 FATAL ERR – FRGN MIL SALE MUST BE DSP-94

Data Elements: Export Information Code (CL1 record), License Code (CL2 record)

Reason: The fatal error occurs when the Export Information Code on the CL1 record is not 'FS' (Foreign Military Sales) and the License Code reported on the CL2 record is 'S94'.

Resolution: Commercial documentation or other competent information should be examined to verify the type of license or license exemption required for the shipment. Consult the AESTIR Appendix E to verify the export information code and Appendix F to verify the license code is reported correctly. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

207 FATAL ERR – DSP-94 NOT ALLOWED FOR EIC

Data Elements: Export Information Code (CL1 record), License Code (CL2 record)

Reason: The fatal error occurs when the License Code reported on the CL2 record is 'S94' and the Export Information Code on the CL1 record is other than 'FS' (Foreign Military Sales).

Resolution: Commercial documentation or other competent information should be examined to verify the type of license or license exemption required for the shipment. Consult the AESTIR Appendix E to verify the correct export information code and Appendix F to verify the correct license code is reported. If the shipment is made under the Foreign Military Sales program, the Export Information Code is 'FS' and the license code is 'S94'. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

208 FATAL ERR – DSP-94 FORMAT: AA-A-AAA

Data Elements: License Code, Export License Number (CL2 record)

Reason: This error occurs when the License Code reported on the CL2 is 'S94' and the Export License Number is not properly formatted. The correct format for the 'S94' license number is 'AA-A-AAA ' (where 'A' = alphanumeric character, '-' = dash, ' ' =

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

space).

Resolution: Verify the Export License Number and correct the reporting format. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

209 FATAL ERR – ODTC REGISTRANT MISSING

Data Elements: License Code (CL2 record), ODTC Registration Number (ODT record)

Reason: The error occurs when any ODTC license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODTC Registration number is not reported on the ODT record.

Resolution: Report the registration identifier assigned by ODTC to the registered manufacturer or exporter that has authorization from ODTC (through a license or exemption) to export the article. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

210 FATAL ERR – ODTC REGISTRANT INVALID

Data Elements: License Code (CL2 record), ODTC Registration Number (ODT record)

Reason: The error occurs when any ODTC license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODTC Registration number reported on the ODT record is not valid.

Resolution: Report the registration identifier assigned by ODTC to the registered manufacturer or exporter that has authorization from ODTC (through a license or exemption) to export the article. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

211 FATAL ERR – ODTC REGISTRANT EXPIRED

Data Elements: Estimated Date of Export/Arrival (SC1 record), License Code (CL2 record), ODTC Registration Number (ODT record)

Reason: The error occurs when any ODTC license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODTC Registration Number reported on the ODT record has expired.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Report the registration identifier assigned by ODTC to the registered manufacturer or exporter that has authorization from ODTC (through a license or exemption) to export the article. The Registration Number expiration month and year are compared to the Estimated Date of Departure/Arrival month and year. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

212 FATAL ERR – ODTC SME IND MISSING

Data Elements: License Code (CL2 record), ODTC Significant Military Equipment Indicator (ODT record)

Reason: The error occurs when any ODTC license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODTC Significant Military Equipment (SME) Indicator is not reported.

Resolution: The ODTC Significant Military Equipment Indicator is an indication that the articles reported warrant special export controls because of their capacity for substantial military utility of capability (see 22 CFR 121.1 for SME articles). 'N' indicates that the articles do not warrant special controls. 'Y' indicates that the articles do warrant special export controls. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

213 FATAL ERR – ODTC SME IND MUST BE Y OR N

Data Elements: License Code (CL2 record), ODTC Significant Military Equipment Indicator (ODT record)

Reason: The error occurs when any ODTC license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODTC Significant Military Equipment (SME) Indicator is reported as other than 'Y' or 'N'.

Resolution: The ODTC Significant Military Equipment Indicator is an indication that the articles reported warrant special export controls because of their capacity for substantial military utility of capability (see 22 CFR 121.1 for SME articles). 'N' indicates that the articles do not warrant special controls. 'Y' indicates that the articles do warrant special export controls. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

214 FATAL ERR – ODT USML CATEGORY MISSING

Data Elements: License Code (CL2 record), ODT USML Category Code (ODT record)

Reason: The error occurs when any ODT license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODT USML Category Code is not reported.

Resolution: Consult Appendix L, ODT USML Category Codes, to determine the ODT U.S. Munitions List (USML) category of article, service, or related technical data that applies to the article reported. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

215 FATAL ERR – ODT USML CATEGORY INVALID

Data Elements: Estimated Date of Export/Arrival (SC1 record), License Code (CL2 record), ODT USML Category Code (ODT record)

Reason: The error occurs when any ODT license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODT USML Category Code is not valid.

Resolution: Consult 'Appendix L - ODT USML Category Codes' to determine the ODT U.S. Munitions List (USML) category of article, service, or related technical data that applies to the article reported. Verify that the estimated date of export is within the effective date of the USML category code. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

216 FATAL ERR – ODT UOM MISSING

Data Elements: License Code (CL2 record), ODT Unit of Measure Code (ODT record)

Reason: The error occurs when any ODT license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODT Unit of Measure Code is not reported.

Resolution: Report the unit of measure provided on the export authorization. Valid ODT Unit Measure Codes are listed in Appendix W of the AESTIR. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

217 FATAL ERR – ODTU UOM INVALID

Data Elements: Estimated Date of Export/Arrival (SC1 record), License Code (CL2 record), ODTU Unit of Measure Code (ODT record)

Reason: The error occurs when any ODTU license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODTU Unit of Measure Code is not valid.

Resolution: Consult 'Appendix W – ODTU Unit of Measure Codes' to determine the correct code for the unit of measure required on the export authorization. Verify that the estimated date of export/arrival is within the effective date of the UOM code. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

218 FATAL ERR – ODTU QUANTITY MUST BE > 0

Data Elements: License Code (CL2 record), ODTU Unit of Measure Code, ODTU Quantity (ODT record)

Reason: The error occurs when any ODTU license claim is made (License Code is 'SAG', 'SCA', 'S00', 'S05', 'S61', 'S73', 'S85', or 'S94') and the ODTU Quantity is not more than zero.

Resolution: Report the total number of units that correspond to the ODTU unit of measure reported. The value reported must be greater than zero. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

219 FATAL ERR – LIC# NOT ALLOWED FOR EXMPTN

Data Elements: License Code, Export License Number (CL2 record), ODTU ITAR Exemption Number (ODT record)

Reason: The error occurs when the License Code is 'SAG', 'SCA', or 'S00' and a license number is reported.

Resolution: License codes 'SAG', 'SCA', or 'S00' indicate an exemption from the requirement for a license. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

220 FATAL ERR – ODTU ITAR EXMPTN# MISSING

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Data Elements: License Code (CL2 record), ODTIC ITAR Exemption Number (ODT record)

Reason: The error occurs when the License Code is 'SAG', 'SCA', or 'S00' and the ODTIC ITAR Exemption Number is not reported.

Resolution: Report the specific citation (exemption number) under the International Traffic in Arms Regulation (ITAR) from the Code of Federal Register (see CFR 120-130) that exempts the shipment from the requirements for a license or other written authorization from ODTIC. Do not cite the title number or include the string 'CFR'. Eliminate all parentheses, but include all periods (i.e. dots). See 'Appendix O - ODTIC ITAR Exemption Codes' for a list of these codes. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

221 FATAL ERR – ODTIC ITAR EXMPTN# INVALID

Data Elements: Estimated Date of Export/Arrival (SC1 record), License Code (CL2 record), ODTIC ITAR Exemption Number (ODT record)

Reason: The error occurs when the License Code is 'SAG', 'SCA', or 'S00' and the ODTIC ITAR Exemption Number is not valid.

Resolution: Report the specific citation (exemption number) under the International Traffic in Arms Regulation (ITAR) from the Code of Federal Register (see CFR 120-130) that exempts the shipment from the requirements for a license or other written authorization from ODTIC. Do not cite the title number or include the string 'CFR'. Eliminate all parentheses, but include all periods (i.e. dots). See 'Appendix O - ODTIC ITAR Exemption Codes' for a list of these codes. Verify the estimated date of export/arrival is within the effective date of the exemption. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

222 FATAL ERR – EXMPTN# NOT ALLOWED FOR LIC

Data Elements: License Code (CL2 record), ODTIC ITAR Exemption Number (ODT record)

Reason: The error occurs when the License Code is 'S05', 'S61', 'S73', 'S85', or 'S94' and there is an ODTIC ITAR Exemption Number reported.

Resolution: License Code is 'S05', 'S61', 'S73', 'S85', or 'S94' require a Export License Number rather than an exemption number. Approved licenses or competent information

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

should be examined and the correct Export License Number reported. The ODTIC ITAR Exemption Number should be space filled. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

223 FATAL ERR – LIC NOT ISSUED TO REGSTRNT

Data Elements: License Code, Export License Number (CL2 record), ODTIC Registration Number (ODT record)

Reason: For License Codes 'S05', 'S61', 'S73', or 'S85', the Export License Number must match the ODTIC Registration Number.

Resolution: Approved licenses or competent information should be examined to verify the Export License Number and the Registration Number are reported correctly. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

224 FATAL ERR – ODTIC ELIG PARTY IND MISSING

Data Elements: License Code (CL2 record), ODTIC Eligible Party Certification Indicator (ODT record)

Reason: The error occurs when the License Code is 'SCA' or 'S00' and the ODTIC Eligible Party Certification Indicator is not reported.

Resolution: The ODTIC Eligible Party Certification is a self certification by the exporter for the exemption and a self certification by the exporter that they are an eligible party (see 22 CFR 120.1(c)). For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

225 FATAL ERR – ODTIC ELG PRTY MUST BE Y OR N

Data Elements: License Code (CL2 record), ODTIC Eligible Party Certification Indicator (ODT record)

Reason: The error occurs when the License Code is 'SCA' or 'S00' and the ODTIC Eligible Party Certification Indicator is reported as other than 'Y' or 'N'.

Resolution: The ODTIC Eligible Party Certification is a self certification by the exporter for the exemption and a self certification by the exporter that they are an eligible party

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

(see 22 CFR 120.1(c)). Valid values are 'N' = No, the exporter has not both self certified for the exemption and is an eligible party, or 'Y' = The exporter has both self certified for the exemption and is an eligible party, or ' ' = Space fill the field if not required. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

226 FATAL ERR – ODTc ELIG PARTY NOT ALLOWED

Data Elements: License Code (CL2 record), ODTc Eligible Party Certification Indicator (ODT record)

Reason: The ODTc Eligible Party Certification Indicator is required for License Codes 'SCA' and 'S00' only. The error occurs when the License Code is 'SAG', 'S05', 'S61', 'S73', 'S85', or 'S94' and the ODTc Eligible Party Certification Indicator is not spaces.

Resolution: Verify the license code and correct as necessary. For further clarification of this problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

227 FATAL ERR – DEC NOT ODTc ELIGIBLE

Data Elements: Party ID Type, Party Type (N01 record), License Code, Export License Number (CL2 record)

Reason: The error occurs when a Data Entry Center (DEC) attempts to file a licensable shipment in AES.

Resolution: If a DEC is filing a licensable shipment for a USPPI with a Power of Attorney to do so, then the DEC is acting in the capacity of a freight forwarder. Correct the Party ID Type, Party Type and retransmit.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

The following error messages pertain to licensable shipments. These warning messages will not cause a rejection of the transaction. However, if the information is not correctly re-submitted into AES prior to the exporting carrier receiving the goods, the shipment will automatically be held.

308 WARN ERR - MISSING FORWARDER INFORMATION

Data Elements: Filer ID, USSPI ID, Party ID, Party ID Type, Party Type
(A/Z; B; N01; N02; N03 records)

Reason: The warning error occurs if the Filer ID in the header (A) record is different than the USPPI identified in the USPPI (B) record (indicating a forwarding agent was involved in the shipment) and the Forwarder has not been identified in the profile (N01, 2, 3) records.

Resolution: The filer of the information must submit the forwarder information in the profile records.

309 WARN ERR - OUTDATED LICENSE CODE

Data Elements: Estimated Date of Export (SC1 record), License Code (CL2 record)

Reason: This warning message occurs when the three-position License Code is outdated. If the Estimated Time of Departure is not within the begin and end date range of the License code then it is outdated.

Resolution: Confirm that the Estimated Date of Export. Refer to Appendix F of the AESTIR for an update of the License Codes.

310 WARN ERR - MISSING LICENSE CODE**311 WARN ERR - INVALID LICENSE CODE**

Data Elements: License Code (CL2 record)

Reason: The warning message occurs when the three-position License Code is invalid or missing. The License Code designates the type of license, permit, license exception or no license required.

Resolution: Commercial documentation or other competent information should be examined to determine the correct three-position License Code to report designating the type of License or Permit required for the commodity. Appendix F of the AESTIR contains a list of valid License Codes. For further clarification of the problem, contact the licensing agency.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

312 WARN ERR - MISSING LICENSE NUMBER**313 WARN ERR - INVALID LICENSE NUMBER**

Data Elements: License Code, Export License Number (CL2 record)

Reason: The warning message occurs when the License Code reported requires a license number and the Export License Number is missing or invalid.

Resolution: Approved licenses or competent information should be examined to verify the License Number. For further clarification of the problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls, Licensing Division can be reached at 202-663-2700. The Department of Commerce, Division of Outreach and Education Services can be reached at 202-482-4811. The Nuclear Regulatory Commission can be reached at 301-415-2342. The Department of the Treasury/Office of Foreign Assets Control can be reached at 202-622-2520.

314 WARN ERR - POST/PRE DEP LIC DOESN'T MATCH

Data Elements: Filing Option Indicator (SC1 record), Export License Number (CL2 record)

Reason: The warning message occurs when the export license number on the post-departure filing does not match the license number reported on the pre-departure filing.

Resolution: Verify the license number is reported correctly on both the pre-departure and the post-departure Option 3 filing. It should be the same number on both. AES will capture this message and make it available for compliance reporting purposes.

315 WARN ERR - MISSING ECCN**316 WARN ERR - INVALID ECCN**

Data Elements: Export Control Classification Number (ECCN), License Code (CL2 record)

Reason: The warning message occurs when a License Code requiring an ECCN is reported, and the ECCN is missing or invalid. The format for the ECCN may be invalid or the use of 'EAR99' is not acceptable.

Resolution: The Department of Commerce, Bureau of Industry and Security approved licenses or competent information should be examined to verify the correct ECCN is reported. For further clarification of the problem, contact the licensing agency. The Department of Commerce, Division of Outreach and Education Services can be reached at 202-482-4811. AES will capture the error message and make it available for compliance reporting.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

317 WARN ERR - INVAL DEST CNTRY FOR LICENSE

Data Elements: Country of Ultimate Destination (SC1 record), License Code, Export License Number (CL2 record)

Reason: The warning message occurs when a Export License Number is required and the Country of Ultimate Destination reported in AES does not match the Country of Destination specified on the Department of State, ODTC approved License.

Resolution: The Department of State, ODTC approved License or competent information should be examined to verify the Country of Destination listed on the License is the same as the Country of Destination submitted to AES. The correct two-position Standard International Country Code (ISO) must be resubmitted. For further clarification of the problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls, Licensing Division can be reached at 202-663-2700.

318 WARN ERR - DATE OF EXP EXCEEDS LICENSE

Removed Effective 09/05/01

Data Elements: Date of Exportation (SC1 record), License Code, Export License Number (CL2 record)

Reason: The warning error message occurs when the Export License for this commodity has expired prior to the date of exportation.

Resolution: Approved licenses or competent information should be examined to verify that the Date of Exportation and/or the Export License Number has been correctly reported. For further clarification of the problem, contact the licensing agency. The Department of State/Office of Defense Trade Controls, Licensing Division can be reached at 703-875-6637. The Department of Commerce, Division of Outreach and Education Services can be reached at 202-482-4811.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

The following warning and informational messages will not result in a rejected transmission. However, if the transmitter receives a warning message, a correction is required prior to the goods departing the port or up to the fourth day after departure or as soon as possible. The informational messages should be responded to if indeed an error exists. Attempts to continuously ignore these warning and informational messages will be audited and violators may be subject to fines and/or penalties.

319 WARN ERR - OUTDATED EXPORT INFO CODE

Data Elements: Export Information Code, Estimated Date of Export
(SC1; CL1 records)

Reason: This warning message occurs when the two-position Export Information Code is outdated. The code is outdated if the estimated date of export is not within the beginning and ending date range on the export information code.

Resolution: Confirm that the Estimated Date of Export. Consult Appendix E of the AESTIR for an update of the Export Information Codes.

320 WARN ERR – FOR FUTURE USE**321 WARN ERR - USED VEHICLES INELIGIBLE**

Data Elements: Filing Option Indicator, HS/Schedule B or Commodity Description, Country of Ultimate Destination (SC1; CL1; CL2 records)

Reason: The warning message occurs when a used vehicle is reported under Filing Option 3 or Option 4 *and* the Country of Ultimate Destination is not the US or Puerto Rico.

Resolution: Used vehicle exports, other than those traded between the US and Puerto Rico, should not be transmitted under Option 3 or Option 4. The AES will accept the data; however, the above message is captured for monitoring purposes. It is the USPPI's responsibility to file using the appropriate filing option. Continued misuse puts the USPPI at risk of losing their privilege to file using Option 4.

322 WARN ERR - LICENSE SHIPMENT INELIGIBLE

Data Elements: Filing Option Indicator (SC1 record); License Code, Export License Number (CL2 record)

Reason: This warning message occurs when licensable shipments are reported using Filing Option 4.

Resolution: The AES accepts the data, however, this warning message is capture for

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

monitoring purposes. Continued misuse puts the USPPPI at risk of losing Option 4 filing privileges. For further information, the filer may contact the licensing agency. The Department of Commerce, Division of Outreach and Education Services can be reached at 202-482-4811. The Nuclear Regulatory Commission may be reached on 301-415-2342. The Department of the Treasury/Office of Foreign Assets Control may be reached on 202-622-2520. In the future, transmit licensable shipments using the appropriate filing option.

323 WARN ERR - STATE LICENSE INELIGIBLE

Data Elements: Filing Option Indicator (SC1 record); License Code, Export License Number (CL2 record)

Reason: This warning message occurs when a shipment requiring a State Department license is reported using Filing Option 3 or Option 4.

Resolution: Contact your Customs or Census Client Representative.

324 WARN ERR - INVALID PRT OF EXP FOR MAIL SHP

Data Elements: Method of Transportation, Port (SC1 record)

Reason: The port of export reported is not valid for mail shipments.

Resolution: Verify method of transportation and port of exportation. The Port of Export for mail shipments is '8000' or any valid port code.

325 WARN ERR - RELATED PARTY INDICATOR MISSING**326 WARN ERR - INVALID RELATED PARTY INDICATOR**

Data Elements: Related Party Indicator (SC1 record)

Reason: The warning message occurs when the Related Party Indicator is missing or invalid. A 'Related Party Transaction' is one in which either the U.S. principal party in interest (USPPPI) or the foreign Consignee owned (directly or indirectly) at any time during the fiscal year, 10 percent or more of the voting securities of the incorporated business enterprise, or an equivalent interest of an unincorporated business enterprise, including a branch.

Resolution: The indicator should be reported to specify whether or not the USPPPI and Consignee are related. The indicators are 1=USPPPI/Consignee are RELATED; 2=USPPPI/Consignee are NOT RELATED.

327 WARN ERR - SANCTION ON COUNTRY OF ULT DEST

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Data Elements: Country of Ultimate Destination (SC1 record)

Reason: A warning message occurs when the Country of Ultimate Destination reported is on the list of countries under sanction.

Resolution: Commercial documentation or other competent information should be examined to determine the correct Country of Ultimate Destination. Resubmit with the correct ISO Country Code, as presented in the Harmonized Tariff Schedule or Appendix C of this document. The filer should check with local Customs to see what procedures should be followed in each individual case.

328 WARN ERR - OUTDATED COUNTRY CODE

Data Elements: Country of Ultimate Destination, Estimated Date of Export (SC1 record)

Reason: This warning message occurs when the two-character ISO Country Code is outdated. The code is outdated if the Estimated Date of Export is not within the begin and end date range.

Resolution: Confirm that the Estimated Date of Export. Consult Appendix C of the AESTIR for an update of the Country Codes.

329 WARN ERR - OUTDATED PORT OF UNLADNG

Data Elements: Port of Unlading, Estimated Date of Export (SC1 record)

Reason: This warning message occurs when the 5-digit Port of Unlading code is outdated. The code is outdated if the Estimated Date of Export is not within the begin and end date range.

Resolution: Confirm the Estimated Date of Export. Consult the updated version of the Schedule K codes to verify foreign ports of unlading available on the Maritime Administration web site. (<http://www.marad.dot.gov/statistics/>)

330 WARN ERR - MISSING CONVEYANCE NAME**331 WARN ERR - INVALID CONVEYANCE NAME**

Data Elements: Conveyance Name, Mode of Transportation (SC1 record)

Reason: The warning message occurs when the MOT is ocean, air, rail or truck and the Conveyance Name is missing or invalid. It is invalid to report 'vessel', 'airplane', 'train', 'truck', or 'international foot bridge'.

Resolution: Commercial Documentation or competent information should be examined

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

to verify that when the Mode of Transportation is Ocean the Name of the Vessel transporting the merchandise is correctly reported. When the MOT is air, rail or truck, the *carrier* name must be reported.

332 WARN ERR – OUTDATED SCAC/IATA NUMBER

Data Elements: SCAC/IATA (Carrier ID), Estimated Date of Export (SC1 record)

Reason: The warning message occurs when the SCAC or IATA code reported is out of date. The SCAC/IATA is outdated if the Estimated Date of Export is not within the begin and end date range for the code being reported.

Resolution: Confirm the SCAC/IATA code and the Estimated Date of Export. The SCAC for vessel and truck is issued by the National Motor Freight Traffic Association. The SCAC for rail is issued by the American Association of Railroads. The International Air Transport Association (IATA) issues air carrier codes.

333 WARN ERR - INVALID VESSEL FLAG

Data Elements: Vessel Flag, Conveyance Name, Mode of Transportation (SC1 record)

Reason: A warning message occurs when the Mode of Transportation is Ocean and the Vessel Flag is invalid.

Resolution: Provide the ISO Country Code for the country under whose flag the vessel is registered. Resubmit with the correct ISO code (reference the Harmonized Tariff Schedule or Appendix C of this document).

334 WARN ERR-INVALID PORT OF EXP FOR VESSEL

Data Elements: Port of Exportation, Mode of Transportation (SC1 record)

Reason: A warning message occurs when the Port of Exportation code submitted is impossible for shipments exported by vessel (MOT=10, 11 or 12). Customs ports that do not have water access are not considered vessel ports.

Resolution: Commercial documentation or other competent information should be examined to determine the US Port of Exportation reported is an acceptable port of export for vessel shipments. The four-digit port codes can be found in Schedule D of the Harmonized Tariff Schedule or Schedule B or in Appendix D of the AESTIR.

335 INFO ERR - SHIPMENT REPORTED LATE

Data Elements: Date of Exportation (or Date of Arrival for shipments between the U.S. and Puerto Rico), Correction Flag, Line Correction Flag, Filing Option Indicator

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

(SC1; CL1 records)

Reason: The information message occurs when the Correction Flag in the SC1 record is missing and the Line Correction Flag (CL1 record) is missing and the Date of Exportation [or Date of Arrival for exports between the U.S. and Puerto Rico] precedes the system date. This shows the transmission was not made pre-departure as required using Filing Option 2. Option 3 filers have five (5) working days past the date of exportation of the pre-departure shipment to send the complete post-departure data to AES. Approved Option 4 filers have ten (10) working days past the date of exportation to transmit post-departure data.

Resolution: Please ensure that future shipments are reported prior to the carrier's departure or within the filing option time frames. AES will capture this error message and make it available for compliance reporting purposes.

336 WARN ERR – FOR FUTURE USE337 WARN ERR – FOR FUTURE USE338 WARN ERR – FOR FUTURE USE339 WARN ERR - MISSING COMMODITY DESCRIPTION

Data Elements: Commodity Description, HS *or* Schedule B Number, Filing Option Indicator (SC1; CL1; CL2 records)

Reason: The warning message occurs when the Commodity Description is missing.

Resolution: Commercial documentation or other competent information should be examined to verify that a sufficient Commercial Description is reported in common trade terms to permit verification of the HS or Schedule B Number or the description as shown on a export license.

340 WARN ERR – INVALID PORT EXP (FIXED TRANS)

Data Elements: Method of Transportation, Port of Exportation, HS/Schedule B Number (SC1, CL2 records)

Reason: The warning message occurs when the Port of Exportation does not accommodate commodities moving by fixed transport such as a pipeline or an electrical powerhouse.

Resolution: Commercial documentation or other competent information should be examined to determine if the method of transportation (MOT=70) is correctly reported; if the port of exportation is an acceptable port for a fixed transport shipment; and that the commodity being exported can actually move by fixed transport.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

341 WARN ERR – FOR FUTURE USE342 WARN ERR – FOR FUTURE USE343 WARN ERR - MISS NET 1ST UNIT OF MEASURE344 WARN ERR - INVAL NET 1ST UNIT OF MEASURE345 WARN ERR - MISS NET 2ND UNIT OF MEASURE346 WARN ERR - INVAL NET 2ND UNIT OF MEASURE347 WARN ERR - QTY UNITS DO NOT MATCH HS REQ

Data Elements: Unit of Measure 1, Unit of Measure 2, Quantity 1, Quantity 2, HS *or* Schedule B Number (CL2 record)

Reason: The warning message occurs when the Unit of Measure is missing or invalid or the Unit of Measure reported does not correlate to the Unit of Measure required for the HS or Schedule B Number reported.

Resolution: Commercial documentation or other competent information should be examined to determine the Unit of Measure to report. Consult the Harmonized Tariff Schedule or Schedule B to determine the appropriate Unit of Measure to be reported for the specific HS or Schedule B Number. For example, an invoice may read 84 gallons of crude petroleum, but in the HS/Schedule B; crude petroleum requires the Unit of Measure 1 in Barrels (of 42 gallons). Therefore the correct Quantity 1 reported should be 2 (barrels).

348 WARN ERR - MISSING DOMESTIC/FOREIGN CODE349 WARN ERR - INVALID DOMESTIC/FOREIGN CODE

Data Elements: Domestic/Foreign Code (CL2 record)

Reason: The warning message occurs when the Domestic/Foreign Code reads other than 'D' or 'F'. Report 'D' for Domestic exports (defined as merchandise grown, produced, or manufactured (including imported merchandise that has been enhanced in value) in the United States). Report 'F' for Foreign exports (defined as merchandise that has entered the United States and is being re-exported in the same condition as when imported).

Resolution: Commercial documentation or other competent information should be examined to determine whether the shipment is of domestic or foreign origin.

350 WARN ERR – FOR FUTURE USE351 VERIFY - OUTDATED HS OR SCHED B NUMBER

Data Elements: HS or Schedule B Number (CL2 record)

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Reason: The Informational message occurs when the HS or Schedule B Number has expired. However, Census accepts the obsolete number for 30 days to give the filer time to update their classification. It is the filer's responsibility to have an up-to-date HS or Schedule B Number. It is necessary for outdated numbers to be undated in the filer's reference materials within the 30-day grace period allowed.

Resolution: Commercial documentation or other competent information should be examined to determine the current HS or Schedule B number to report. Consult the Harmonized Tariff Schedule or the Schedule B - Classification of Exports or call the *Foreign Trade Division, Bureau of the Census, Washington, DC* (301) 457-2981 for classification assistance.

352 WARN ERR - INVALID PORT EXP FOR AIR

Data Elements: Port of Exportation, Mode of Transportation (SC1 record)

Reason: A warning message occurs when the Port of Exportation code submitted is impossible for shipments exported by Air (MOT=40 or 41). Customs ports that do not have an airport are not considered valid for shipments made by air.

Resolution: Commercial documentation or other competent information should be examined to verify the U.S. Port of Exportation reported is an acceptable airport. The four-digit Schedule D Codes are presented in Schedule D of the Harmonized Tariff Schedule or Schedule B or in Appendix D of the AESTIR.

353 WARN ERR-INVALID PORT EXP FOR OVERLAND (SC1 record)

Data Elements: Port of Exportation, Mode of Transportation

Reason: A warning message occurs when the Port of Exportation code submitted is impossible for overland shipments (MOT=20, 21, 30, 31). Customs ports that are not directly on the border with Canada or Mexico are not considered overland ports.

Resolution: Commercial documentation or other competent information should be examined to verify the US port of exportation reported is an acceptable land border port of export. The four-digit Schedule D Code are presented in Schedule D of the Harmonized Tariff Schedule or Schedule B or Appendix D of the AESTIR.

354 WARN ERR – FOR FUTURE USE**355 WARN ERR - INVALID VIN FORMAT**

Data Elements: Vehicle Identification Number, Vehicle ID Qualifier (EV1 record)

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Reason: A warning message occurs when the Vehicle Identification Number is not in the proper format as specified by the manufacturer of the vehicle.

Resolution: Commercial documentation or other competent information should be examined to verify that the Vehicle Identification Number is properly reported. The VIN reported in AES must be identical to the VIN on the used vehicle.

360 WARN ERR - TRANSPORTATION DATA REQUIRED

Data Elements: Conveyance Name, Vessel Flag, SCAC/IATA, MOT, Port of Export, Port of Unlading, Date of Departure, Equipment Number (SC1; SC3 records)

Reason: A warning message occurs when one or more of the above data elements have not been received for the shipment.

Resolution: Transmit the transportation data.

361 WARN ERR - FOR FUTURE USE**362 WARN ERR - FOR FUTURE USE****363 INFO ERR - LICENSE EXHAUSTED WITH THIS TRANSACTION**

Data Elements: Value of Goods, License (CL2 record)

Reason: The informational message occurs when the current transaction against the license exhausts the value on the license.

Resolution: No action is required, this is an informational notice on the status of the license in question.

370 INFO ERR - FOR FUTURE USE**371 INFO ERR - FOR FUTURE USE****372 INFO ERR - FOR FUTURE USE****373 INFO ERR - POST-DEPARTURE DATA OVERDUE**

Data Elements: Filing Option Indicator, Date of Exportation (*or* Date of Arrival for shipments between the U.S. and Puerto Rico) (SC1 record)

Reason: AES will automatically generate a reminder message every Tuesday to each filer whose post-departure submission is overdue. Filing Option 3 participants have up to five (5) working days to report complete SED information after the date of exportation on the Pre-departure filing before it is considered overdue.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: *Transmit complete post-departure data within the required timeframe.* Any post-departure data that has not been submitted should be sent to AES. It is the filer's responsibility to ensure timely and complete reporting. AES will capture this reminder message and make it available for compliance reporting purposes.

374 INFO ERR - PRE-DEPARTURE DATA NOT FOUND

Date Elements: Filing Option Indicator, Correction Flag, Line Correction Flag, Shipment Reference Number (SC1; CL1 record)

Reason: Upon receipt of a post-departure filing AES will match it with the corresponding pre-departure filing based on the Shipment Reference Number, i.e., the XTN. If a pre-departure record was not created prior to a post-departure filing, AES will generate this message and make it available for compliance reporting purposes.

Resolution: Pre-departure data will not be accepted after the post-departure record has been submitted to AES. It is the filer's responsibility to understand and use the appropriate filing options. Filers may switch between filing options. No further action is required on the part of the filer.

375 WARN ERR - NO PRE-DEPARTURE LICENSE INFO

Data Elements: Filing Option Indicator, License Code (SC1; CL2 records)

Reason: AES compares the license information reported on the pre-departure Option 3 record with the license information reported on the post-departure Option 3 record. If there is no match then AES creates the error message and makes it available for compliance reporting purposes.

Resolution: No further action is required on the part of the filer.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

The following messages occur when the Ocean Carrier has reported the export shipment information with errors. AES will reject the information submitted by the carrier if any of the fatal messages are returned. Fatal errors must be corrected immediately and the information resent. AES will accept the information if a warning error is generated; however the warning must be corrected.

601 FATAL ERR - INVALID APPLICATION ID

Data Element: Application Identifier (ACR record)

Reason: A fatal error message occurs when the Application Identifier is missing or invalid. Valid Application Identifier Codes are EB, EI, XI, HI for Input to Customs and ER, XR, HR for Output from Customs. NC is reported for Output Initiated by Customs.

Resolution: Provide the correct Application Identifier. Valid Application Identifier code are: For Input to Customs: EB = Export Booking Data Input to Customs;

EI = Receipt of Booking; XI = Manifest Create/Manifest amendment

For Output from Customs: ER = Booking/ROB create transmission response;

XR = Manifest Amendment Response; HR = Vessel Departure Response

For Output initiated by Customs: NC = Status Notification

602 FATAL ERR-MISS OR OUT OF SEQUENCE RECRDS

Data Elements: Affects all records.

Reason: The fatal error message occurs when the data records are transmitted out of sequence. If an A01 with an Action Code of 'R' is not followed by B02 and other booking-related records, this error is issued.

Resolution: The data records must be transmitted in sequence. See Figure 2 for samples of data sets.

603 FATAL ERR - INVALID RECORD ID

Data Elements: Data Identifier

Reason: The fatal error message occurs when the Data Identifier is invalid.

Resolution: Each record is identified by a 3-position alphanumeric code. Filer should verify that the correct Data Identifier is reported for each record. (Example: Data Identifier for the Port Record is always P01.)

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

604 FATAL ERR - INVALID DATE**605 FATAL ERR - INVALID TIME**

Data Elements: Date, Time (ACR record), Original Estimated Departure Date (A01)

Reason: The fatal error message occurs when the date and time representing the date and time of processing is invalid. This message also occurs when the original estimated departure date on the A01 record is not valid.

Resolution: The date on the ACR record must be in YYYYMMDD (year, month, day) format and the time must be in HHMMSS (hours, minutes, seconds) formats. The Original Estimated Departure Date reported on the A01 record is formatted MMDDYYYY.

606 FATAL ERR - FIELD MUST BE NUMERIC

Data Elements: Manifest Weight, Manifest Quantity, Booking Weight, Booking Quantity, Booking Container Count, Equipment Length, Hazmat Code, Hazmat Flashpoint

Reason: Only numeric values can be reported for these data elements.

Resolution: Check for non-numeric values in these elements that require a numeric value.

607 FATAL ERR - TRANSMISSION REJECTED

Data Elements: All records

Reason: The whole transmission failed during the initial edits of the data, all record set blocks are in error.

Resolution: Verify, correct as necessary, and retransmit.

608 FATAL ERR - MANIFEST REJECTED**609 FATAL ERR - PORT DATA REJECTED****610 FATAL ERR - ISSUER DATA REJECTED****611 FATAL ERR - BOL/BOOKING REJECTED****612 FATAL ERR - MISSING REQUIRED RECORDS**

Data Elements: Record sets (M01, P01, J01, B02, A01)

Reason: When a fatal error is encountered during the initial edits of the particular record set.

Resolution: Verify, correct as necessary, and retransmit.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

614 FATAL ERR - END OF TRANSMISSION MISSING

Data Elements: ZCR record

Reason: The fatal error message occurs when the Transaction Control trailer (ZCR) record which signals the end of a transaction file is missing.

Resolution: This record is mandatory and must be transmitted.

615 FATAL ERR - CHANGE ACTION NOT IMPLEMENTED

Data Elements: Action Code = 'C' on the A01 record

Reason: The 'change' action code is not yet implemented.

Resolution: To make a change to the existing Bill of Lading, the filer must first delete it, make the change, and re-add the changed record. Bookings can be replaced or changed using the Action Code 'R' on the A01 record.

621 FATAL ERR - INVALID CARRIER CODE

Data Elements: Carrier Code (M01 record)

Reason: This fatal error occurs when the SCAC code representing the exporting carrier is missing or not on file in AES.

Resolution: The SCAC is the standard identification for vessel carriers. Competent information should be examined to verify or correct the SCAC code.

622 FATAL ERR - INVALID TRANSPORTATION MODE

Data Elements: Mode of Transportation (M01 record)

Reason: The fatal error message occurs when the Mode of Transportation is invalid or missing.

Resolution: The transmitter must provide the correct mode of transportation as indicated by the 2-digit code. (See Appendix T)

623 FATAL ERR - INVALID VESSEL COUNTRY CODE

Data Elements: Vessel Country Code (M01 record)

Reason: The fatal error message occurs when the ISO country code representing the flag of the vessel is invalid or missing.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Documentation should be examined to determine the correct ISO country code representing the flag of the vessel. (See Appendix C)

624 FATAL ERR - INVALID VOYAGE/FLIGHT NUMBER

Data Elements: Voyage Number (M01 record)

Reason: The fatal error occurs when the voyage number is missing or invalid.

Resolution: This element is required for input to Customs. Documentation should be reviewed to verify flight or voyage number. If not known, send Julian date.

625 FATAL ERR - INVALID BILL OF LADING COUNT

Data Elements: Number of Bookings or Bills of Lading (M01 record)

Reason: The fatal error message occurs when the total number of bookings or bills of laden for all U.S. ports of lading or unlading on the manifest is missing or does not match.

Resolution: This is a mandatory data element for transmissions to Customs. Documentation should be review and correct made as necessary.

626 FATAL ERR-INVALID WEIGHT UNIT (LB OR KG)

Data Elements: Weight Unit (B01 record)

Reason: The fatal error message occurs when the code representing the unit of measure for the weight value is other than LB (pounds) or KG (kilograms).

Resolution: Documentation should be reviewed to verify the gross weight is in either pounds or kilograms and make appropriate corrections.

627 FATAL ERR – DUPLICATE LINE NUMBER (D01)

Data Elements: Line Number (D01 record)

Reason: The fatal error occurs when the same line number is repeated within a container.

Resolution: The line number must be unique within a container. Correct duplicate line number.

628 FATAL ERR - MISSING ADDRESS

Data Elements: Address (N02 record)

Reason: The fatal error occurs when the address is missing.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Documentation should be reviewed and the appropriate correct made.

629 FATAL ERR-DUPL CONTAINER FOR THE BILL

Data Elements: Container Number (C01 record)

Reason: For containerized freight, a valid container number must be reported. This error occurs when the system detects a duplicate container number.

Resolution: Verify and correct as appropriate.

630 FATAL ERR - INVALID DISTRICT PORT

Data Elements: U.S. Customs Port of Lading Code (P01 record)

Reason: The fatal error message occurs when the U.S. Customs port is invalid or missing.

Resolution: Documentation should be reviewed and the correct Customs port code reported. (See Appendix D)

631 FATAL ERR - INVALID FOREIGN PORT

Data Elements: Foreign Port (B01 record)

Reason: The fatal error occurs when the code representing the foreign port in which exported cargo is to be unladen is invalid.

Resolution: Documentation should be examined and the foreign port code corrected. (Contact MarAD for Schedule K codes: <http://marad.dot.gov.statistics/>)

632 FATAL ERR - BOL OR AWB ALREADY ON FILE

Data Elements: Bill of Lading Control Number (B01 record)

Reason: The fatal error message occurs when trying to add an issuer-assigned sequence number that has been reported on a previous transmission.

Resolution: The BOL control number must be unique for the issuer for a period of **three years**. Documentation should be reviewed and appropriate action taken.

633 FATAL ERR - MANIFEST NOT ON FILE

Data Elements: M01 record

Reason: This fatal error occurs when the carrier/vessel name/voyage was not found when

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

the filer is attempting to update.

Resolution: Verify and correct as appropriate.

634 FATAL ERR - BOL OR AWB NOT ON FILE

Data Elements: B01 record

Reason: This fatal error occurs when the filer attempts to update a Bill of Lading Control number that can not be found.

Resolution: Verify BOL or AWS and correct as appropriate.

635 FATAL ERR - MISSING DESCRIPTION

Data Elements: Description (D01 record)

Reason: This fatal error occurs when the D01 record is missing a description of the cargo. Multiple description lines may be used for one container record. Every D01 record must have a data in the description field.

Resolution: Verify and correct as appropriate.

636 FATAL ERR - MISSING HAZMAT CODE

Data Elements: Hazardous Material Code (V01 record)

Reason: The fatal error occurs when the Standard Transportation Commodity Code assigned to the hazardous material is missing.

Resolution: Verify and supply hazardous material code.

637 FATAL ERR – INVALID PIECE COUNT

Data Elements: Piece Count (D01 record)

Reason: The fatal error message occurs when the piece count reported in the D01 record is invalid. Piece count is the number of the smallest exterior package units. Note: a pallet or a container are not considered piece counts.

Resolution: Verify piece count.

638 FATAL ERR – MISSING/INVALID CONTAINER SCAC

Data Elements: Container SCAC (C01 record)

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Reason: The SCAC of the container is a required element. If the freight is non-containerized, then indicate NC here.

Resolution: Verify and report the Container SCAC.

639 FATAL ERR – MISSING/INVALID CONTAINER NUMBER

Data Elements: Container Number (C01 record)

Reason: This number must be the same as that physically appearing on the container. Do not include the SCAC.

Resolution: Verify the container number associated with the bill of lading. Transmit as many C01 records as necessary to account for all the containers on the bill.

640 FATAL ERR - MISSING MARKS AND NUMBERS

Data Elements: Marks and Numbers (D02 record)

Reason: The fatal error message occurs when the written description of the symbols and markings that are on the outside of the packaging are missing.

Resolution: Users must provide full and complete manifest marks and numbers information.

641 FATAL ERR – MISSING/INVALID VIN NUMBER

Elements: VIN (C02 record)

Reason: The unique vehicle identification number stamped on a vehicle by the manufacturer is missing or invalid.

Resolution: Verify and provide the correct VIN number.

642 FATAL ERR - INVALID ISSUER CODE**643 FATAL ERR - MISSING ISSUER CODE**

Data Elements: Carrier Master Booking/BOL Issuer Code

Reason: The fatal error message occurs when the SCAC code of the issuer of the booking or bill of lading is invalid or missing.

Resolution: Verify and provide the appropriate information.

644 FATAL ERR - MISSING VESSEL NAME OR CODE**645 FATAL ERR - INVALID VESSEL CODE**

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Data Elements: Vessel Name, Conveyance Code (M01 record)

Reason: The fatal error message occurs when the Lloyds of London registry code representing the exporting conveyance or the vessel name are missing or invalid.

Resolution: Documentation should be reviewed and appropriate correct made.

646 FATAL ERR - EXPORT DATE IS IN FUTURE**647 FATAL ERR - EXPORT TIME IS IN FUTURE**

Data Elements: Date, Time (H01)

Reason: The fatal error message occurs when the actual date/time of departure is less than or equal to the current date/time.

Resolution: Verify and correct as appropriate.

648 FATAL ERR – INVALID PORT OF DEPARTURE (H01)

Data Elements: Port of Departure (H01 record)

Reason: The fatal error message occurs when the port of departure is an invalid U.S. Customs port for a vessel departure as reported on the H01 record.

Resolution: Documentation should be reviewed and the correct port code reported. See Appendix D for a list of U.S. Customs Ports.

649 FATAL ERR - MISS EXPORT INFORMATION CODE**650 FATAL ERR -INVL EXPORT INFORMATION CODE**

Data Elements: Export Information Code (B01 record)

Reason: The fatal error message occurs when the export information code that indicates the degree of export transaction data that is required to be reported is missing or invalid.

Resolution: See Appendix E for a list of available codes. Documentation should be reviewed and correction made as appropriate.

651 FATAL ERR - INVALID ACTION CODE

Data Elements: Action Code (A01 record)

Reason: The fatal error message occurs when the code indicating what type of manifest amendment being made is invalid.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Valid action codes are: A = Add a Booking or BOL; D = Delete a Booking or BOL; R = Replace a booking record. Use the appropriate action code.

***652 WARN ERR-MANI NOT FOUND FOR DEPART MESSG**

Data Elements: Carrier Code, Vessel Name, Voyage Number (M01)

Reason: This warning message occurs when the manifest was not found for the departure message being reported.

Resolution: No action on the part of the filer is required. However, these errors will be captured for monitoring by Customs.

***653 WARN ERR - LATE FILING OF DEPART MESSAGE**

Data Elements: Date, Time (H01 record)

Reason: The warning message is generated when the departure message is more than 24 hours after the actual vessel departure.

Resolution: The carrier must transmit the departure message within 24 hours of the actual vessel departure. No action is required but this message is captured and monitored by Customs.

654 FATAL ERR - BOOKING RECORD NOT FOUND

Data Elements: Control Number (B02 record)

Reason: The fatal error message occurs when the issuer-assigned control number that identifies the booking or house bill is not on file in AES, when attempting to replace or delete a booking.

Resolution: Verify and correct as appropriate.

655 FATAL ERR-BOOKING NOT SENT PRIOR TO MANIF

Data Elements: Control Number (B02 record)

Reason: The warning message occurs when AES attempts to match Bill of Lading to Booking information and the booking was not found.

Resolution: The booking must be sent prior to the manifest. No action required by the filer at this point, but AES captures and Customs monitors these errors.

656 FATAL ERR - INVALID/MISSING COUNTRY CODE

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Data Elements: Destination Country (B02 record, N03 record)

Reason: The fatal error message occurs when the ISO country code representing the foreign country where the carriers responsibility for the shipment ends, is either missing or invalid.

Resolution: Documentation should be reviewed and appropriate correct made. (See Appendix C for a list of valid ISO codes)

657 FATAL ERR-INV L BOOK TYPE CODE-STATUS IND

Data Elements: Control Number Status Indicator (B02 record)

Reason: The fatal error message occurs when the code representing the status of the booking or House BOL is not valid.

Resolution: Valid status codes are: 0 or blank = Regular Bill
1 = Space Charter
2 = Regular Consolidated
3 = Space Charter and Consolidated

658 FATAL ERR - INVALID BOOKING QUALIFIER

Data Elements: Qualifier (B02 record)

Reason: The fatal error message occurs when the qualifier is invalid.

Resolution: Valid Qualifiers are: MB = Master Booking Number
HB = For Future Use
B = For Future Use
SH = For Future Use

659 FATAL ERR - MISSING BOOKING CONTROL NUMB

Data Elements: Control Number (B02 record)

Reason: The fatal error occurs when the booking control number is missing,

Resolution: Verify and report the booking control number.

660 FATAL ERR - INVALID HAZMAT INDICATOR

Data Elements: Hazmat Flag (B02 record)

Reason: The fatal error occurs when the flag indicating that the cargo is or is not

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

potentially hazardous is invalid.

Resolution: Valid values for the hazmat indicator are Y (yes) or N (no). Correct.

661 FATAL ERR - BOOKING ALREADY EXIST

Data Elements: Control Number (B02 record)

Reason: The fatal error occurs when the filer attempts to add a new booking with a booking control number that already exists in AES.

Resolution: Verify and correct as appropriate.

662 FATAL ERR - MISSING CONTAINER/EQUIP TYPE

Data Elements: Container/Equipment Type (B03 record)

Reason: The fatal error message occurs when the code identifying the equipment type is missing, reported as zero or non-numeric.

Resolution: Valid codes are listed in Appendix P of the AESTIR. Correct.

663 FATAL ERR - INVALID CONTAINER/EQ LENGTH

Data Elements: Container Equipment Length (B03 record)

Reason: The fatal error message occurs when the length (in feet and inches) of the container is invalid or missing.

Resolution: Documentation should be reviewed and the length of the container should be corrected or reported.

664 FATAL ERR - MISSING STATE CODE**665 FATAL ERR - INVALID STATE CODE**

Data Elements: State (B03 record, N03 record)

Reason: The fatal error occurs when the U.S. state abbreviation is missing or invalid.

Resolution: The state abbreviation is required with the Place of Receipt.

666 FATAL ERR - MISSING/INVALID PARTY-ID TYPE

Data Elements: Party ID Type (N01 record)

Reason: The fatal error message occurs when the Party ID Type is missing or invalid

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Valid Party ID Types are: D = Duns
S = SSN
E = EIN
O = Other

667 FATAL ERR - MISSING PARTY NAME

Data Elements: Party Name (N01 record)

Reason: The company name is required if the Party is being established for the first time or no Party ID is on file in AES. For Consignees without a Party ID, this field is mandatory.

Resolution: Documentation should be reviewed and the Party Name provided.

668 FATAL ERR - MISSING CONTACT FIRST NAME**669 FATAL ERR - MISSING CONTACT LAST NAME**

Data Elements: Contact First Name, Contact Last Name (N01 record)

Reason: If the Party is being established for the first time or no Party ID is on file in AES, these fields are required for USPPI or forwarder only.

Resolution: Documentation should be reviewed and the contact's name should be provided.

670 FATAL ERR - INVALID/MISSING PARTY TYPE

Data Elements: Party Type (N01 record)

Reason: The fatal error message occurs when the code identifying the type of party in the Booking or Bill is invalid or missing.

Resolution: Valid codes are: SH = Shipper
CN = Consignee
UC = Ultimate Consignee
IC = Intermediate Consignee
CC = Carrier Customer (use only with EB application of Booking data.)

671 FATAL ERR - MISSING PARTY CONTACT PHONE

Data Elements: Contact Phone (N02 record)

Reason: The fatal error message occurs when the Party is being established for the first time or if not Party ID is on file in AES, and the contact phone number is missing.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Resolution: Provide the phone number for the Contact Party. For 1-800 numbers provide 11 numbers without spaces or dashes. For other numbers, provide 10 numbers without spaces or dashes and left justify.

672 FATAL ERR - MISSING PARTY CITY**673 FATAL ERR - MISSING POSTAL (ZIP) CODE**

Data Elements: City, Postal Code (N03 record)

Reason: The fatal error message occurs when the city or zip code is missing and the Party is being established for the first time or no Party ID is on file in AES. Required for shipper and carriers customers only.

Resolution: Provide the city and postal code for the party.

674 FATAL ERR – BOOKING MAY NOT BE DELETED

Data Elements: Action Code (A01 record)

Reason: The fatal error message occurs when an attempt is made to delete a booking that cannot be deleted.

Resolution: Contact your AES Client Representative.

675 FATAL ERR - INVALID HAZMAT FLASHPOINT TEMP

Data Elements: Flash-point Temperature (V02 record)

Reason: The flash-point temperature is the lowest temperature at which the vapor of a hazardous combustible liquid will ignite in the air.

Resolution: Verify and correct the flash-point temperature.

676 FATAL ERR - INVALID HAZMAT UNIT OF MEASURE

Elements: Unit of Measure Code (V02 record)

Reason: The basic unit of measure representing the flash-point temperature should always be CE (Degrees Centigrade/Celsius).

Resolution: Verify the unit of measure used to report the flash-point temperature is CE.

677 FATAL ERR - INVALID HAZMAT NEGATIVE INDICATOR

Elements: Negative Indicator (V02 record)

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Reason: A code of 'N' is used when the flash-point temperature is negative or less than 0 degrees Centigrade/Celsius. If the flash-point temperature is not negative or less than 0 degrees, this field should be space filled.

Resolution: Verify the flash-point temperature and set this indicator appropriately.

678 FATAL ERR - MISSING CITY/PLACE OF RECEIPT

Elements: City Name for Place of Receipt (B03 record)

Reason: The valid city name for the place of receipt by the carrier.

Resolution: Verify and report a valid city name for the place of receipt by the carrier.

679 FATAL ERR - SHIPPER PARTY REQUIRED

Elements: Party Type (N01)

Reason: The fatal error message occurs when the Party Type Shipper (SH) is missing.

Resolution: Verify and provide the required party information as necessary.

680 FATAL ERR - CONSIGNEE OR ULTIMATE CONSIGNEE REQUIRED

Elements: Party Type (N01)

Reason: The fatal error message occurs when the Party Type Consignee (CN) or Ultimate Consignee (UC) is missing.

Resolution: Verify and provide the required party information as necessary.

681 FATAL ERR- INVALID PAPER SED INDICATOR

Elements: SED Flag (B01)

Reason: The SED flag on the B01 record indicates whether or not a paper SED was received by the carrier prior to departure of the vessel. Acceptable values in the SED Flag field are 'Y', 'N', or 'Blank'. If any other values are reported, AES rejects the bill and generates this message.

Resolution: Verify a valid value is reported for the SED flag. A 'Y' indicates that the paper SED was received by the carrier prior to departure of the vessel from the port. A 'N' indicates the paper SED was not received by the carrier prior to departure of the vessel from the port. A 'Blank' indicates either the commodity was filed electronically and

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

therefore no SED is required or the shipment is exempt from SED filing requirements as indicated by the Export Information Code reported.

682 FATAL ERR - MISSING EITHER EIC OR SED FLAG

Elements: Export Information Code, SED Flag (B01 record)

Reason: When the SED Flag is blank, then a valid Export Information Code (EIC) must be reported to identify why a paper SED was not received by the carrier prior to departure of the vessel from the port. If neither the EIC nor the SED Flag is reported (both fields are 'blank'), the bill is rejected and this message is generated.

Resolution: Verify either an SED is required and was reported electronically in AES, (in which case the EIC = AE) or a SED is not required conditional on the type of export identified with the EIC. All valid Export Information Codes are acceptable when reported by the carrier on their post departure manifest transmissions.

683 FATAL ERR - NOT ALLOWED BOTH EIC/SED FLAG

Elements: Export Information Code, SED Flag (B01 record)

Reason: If both the Export Information Code (EIC) and the SED Flag contain data, the bill is rejected and this message is generated.

Resolution: Verify whether the paper SED was required/received prior to departure. If a SED is required and received by the carrier, the SED Flag is set to 'Y', and the EIC is blank. If a SED is required, and not received by the carrier, the SED Flag is set to 'N', and the EIC is blank. If a SED was required but was reported electronically, then the SED Flag should be 'blank' and the EIC should be equal to 'AE' (for filed electronically in AES). If the SED was not required then the SED Flag should be 'blank' and the appropriate EIC reported.

684 FATAL ERR – UNMATCHED BOOKING IN A01 AND B02

Elements: Action Code (A01 record), Control Number (A01 and B02 record)

Reason: When replacing a booking using Action Code 'R' on the A01 record, the Control number on the A01 record must match the Control Number on the B02 record that follows.

Resolution: Verify the Control Numbers (Booking Numbers) are the same on both records.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

685 FATAL ERR – ORIGINAL ESTIMATED DEPARTURE DATE REQUIRED

Elements: Original Estimated Departure Date (A01 record)

Reason: When replacing a booking using Action Code 'R' on the A01 record, the original estimated departure date when the booking was initially created must be reported.

Resolution: Verify and report the original estimated departure date as it was reported when the booking was initially created.

686 FATAL ERR – BOOKING CHANGE/UPDATE NOT ALLOWED

Elements: Action Code and Control Number (A01 record)

Reason: Booking may not be replaced under the following conditions:

- 1.) When status is Seized or Detained.
- 2.) When booking is system-generated.
- 3.) When its manifest/bill of lading is already filed.
- 4.) When booking is linked to more than one Voyage.

Resolution: Verify the correct Action Code and Control Number have been reported.

687 FATAL ERR – VOYAGE NUMBER MAY NOT BE REUSED FOR ONE YEAR

Elements: Voyage Number (M01 record)

Reason: This error occurs when the Carrier tries to reuse a voyage number that has been previously used and that voyage number is less than one year old. Carriers should wait at least one year before reusing a specific voyage number.

Resolution: Verify Voyage Number and correct as appropriate.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

The U.S. Customs Service has incorporated the Bureau of Census export parameters, or statistical averages as commodity price ranges, destination countries, quantity-to-quantity ratios, and more, into the Automated Export System (AES). Census error messages result when discrepancies occur between what Census usually finds for a particular commodity and the data given on an export shipment transaction. Census error messages do not always mean an export shipment transaction is in error, just that the information given is *unlikely* to be true. If the 'unlikely' messages do indicate errors, the export information must be corrected and resubmitted. If, however, the '*unlikely*' information is true, no changes need be made to the export shipment transaction.

Note: Six Census error messages are warnings and require responses.

8L1 VERIFY - VAL/QUANT 1 OUT OF RANGE LOW

8L2 VERIFY - VAL/QUANT 2 OUT OF RANGE LOW

8H1 VERIFY - VAL/QUANT 1 OUT OF RANGE HIGH

8H2 VERIFY - VAL/QUANT 2 OUT OF RANGE HIGH

Data Elements: HS or Schedule B, Quantity 1 and Unit of Measure 1, Quantity 2 and Unit of Measure 2, Value of Goods, Country of Ultimate Destination (SC1; CL1; CL2 records)

For example, VALUE/QUANTITY 1 OUT OF RANGE LOW means the ratio of the value to the first quantity is outside the normal range for that commodity. In this case, the ratio is too low.

Reason: The informational message occurs when the unit value of an item is not within expected parameters. The unit value is determined by dividing the value of goods by the reported quantity. For example, lawn-tennis balls, the number of tennis balls exported must be reported. If the HS number is shown with a value of \$10,000 for 50 tennis balls, the value of each ball equals \$200 (\$10,000 / 50 each = \$200 each). This is an unlikely price for a single tennis ball. Another example would be a guided missile with a unit value of \$200.

Resolution: These error often results because the item has been misclassified. Commercial documentation or other competent information should be examined to verify that the HS or Schedule B classification, reported quantities and value of goods is correct. Make certain the quantities are reported in metric units as required. Check any conversion, such as foreign currency to US dollars or unit conversions such as tons to kilograms. Retransmit with corrected information.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

8PI VERIFY-IMPRB COMMODITY FOR FIXED TRNSPRT

Data Elements: HS or Schedule B, Mode of Transportation (SC1; CL2 records)

Reason: The informational message occurs when the filer attempts to export certain commodities by fixed transport, i.e., pipeline or electrical energy. Only products classified for export under the following first two-digits of the HS or Schedule B are acceptable: 22, 25, 27, 28, 29, 44, 47.

Resolution: Commercial documentation or other competent information should be examined to verify that the HS or Schedule B number is reported correctly. In addition, the MOT should be reviewed to ensure it is correctly reported.

8QR VERIFY - QUANT RELATION OUT OF RANGE

Data Elements: HS or Schedule B, Quantity 1 and Unit of Measure 1, Quantity 2 and Unit of Measure 2 (CL2 record)

Reason: The informational message occurs when the relationship between the two required quantities for the HS or Schedule B number is not within expected parameters. For example, sodium hydroxide in aqueous solution has 'kg' (kilograms) and 'ckg' (content kilograms) as the units of measure. The content kilograms should never be more than the net kilograms (10 ckg for 20 kg is ok but 20 ckg for 10 kg would be wrong.) Typewriter ribbons require net quantity in 'doz' (dozen) and 'kg' (kilograms) as the units of measure. One dozen ribbons are not going to weigh 100 kilograms.

Resolution: Commercial documentation or other competent information should be examined to verify the classification and quantities are correct. Check any unit conversions such as tons to kilograms or ounces to grams. Make certain the quantities are reported in metric units as required by the HS or Schedule B. Retransmit corrected information.

8Q1 WARN ERR - QUANTITY 1 EXCEEDS MAXIMUM**8Q2 WARN ERR - QUANTITY 2 EXCEEDS MAXIMUM**

Data Elements: Quantity 1 and Unit of Measure 1, Quantity 2 and Unit of Measure 2 (CL2 record)

Reason: The warning message occurs when the quantities reported exceed the maximum allowed for the data element. Quantities this large are very unlikely.

Quantity 1 Maximum = 100,000,000

Quantity 2 Maximum = 100,000,000

The filer is expected to respond to these warning messages.

Resolution: Commercial documentation or other competent information should be

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

examined to verify the correct quantity is entered in AES. Check for possible data input error. *Note:* Quantities should be reported in whole numbers only. Retransmit corrected information.

8SO VERIFY - OTH MOT SWT TOO HIGH FOR COMMOD**8SR VERIFY - RAIL SWT TOO HIGH FOR COMMODITY****8ST VERIFY - TRCK SWT TOO HIGH FOR COMMODITY**

Data Elements: HS or Schedule B, Shipping Weight (SWT), Mode of Transportation (MOT), SCAC/IATA (SC1; CL2 records)

Reason: The informational message occurs for rail, truck and other modes of transportation shipments when the gross Shipping Weight reported for the HS or Schedule B is too high based on normal gross shipping weight limitations for the reported method of transportation.

Resolution: Commercial documentation or other competent information should be examined to verify the HS or Schedule B, the MOT, and the gross Shipping Weight is correct. Retransmit corrected information.

8SW WARN ERR - SHIP WEIGHT EXCEEDS MAXIMUM

Data Element: Shipping Weight (CL2 record)

Reason: The warning message occurs when the gross Shipping Weight reported exceeds the maximum allowed for the data element. A shipping weight this large is very unlikely.

Vessel Maximum = 135,000,000 kilograms

Air Maximum = 105,000 kilograms

Truck Maximum = 300,000,000 kilograms

Rail Maximum = 300,000,000 kilograms

Other Maximum = 300,000,000 kilograms

The transmitter is expected to respond to this warning message.

Resolution: Commercial documentation or other competent information should be reviewed to verify the gross Shipping Weight is reported correctly. Check for possible data input error. *Note:* Shipping weight should be reported in kilograms, in whole numbers only. Retransmit corrected information.

8VL WARN ERR - VALUE OF GOODS EXCEEDS MAXIMUM

Data Element: Value of Goods (CL2 record)

Reason: The warning message occurs when the Value of Goods reported exceeds the maximum allowed for the data element, normally \$250,000,000. A value this large is

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

very unlikely.

The transmitter is expected to respond to this warning message.

Resolution: Commercial documentation or other competent information should be examined to verify the Value of Goods reported is correct. Check for possible data input error. Note: Value should be reported in whole dollars only, not dollars and cents. Retransmit corrected information.

8VW VERIFY - VALUE/SHIP WEIGHT OUT OF RANGE

Data Elements: HS or Schedule B, Value of Goods, Shipping Weight (CL2 record)

Reason: The informational message occurs when the Value of Goods is divided by the gross Shipping Weight and the price per kilogram is not within expected parameters for the HS or Schedule B classification.

Resolution: Commercial documentation and other competent information should be examined to verify the HS or Schedule B, Value of Goods and the gross Shipping Weight are correct. Note: This edit is usually performed when the Unit of Measure 1 equals "X" (none required). Retransmit corrected information.

8W1 VERIFY - SHIP WGHT/QUANT 1 OUT OF RANGE**8W2 VERIFY - SHIP WGHT/QUANT 2 OUT OF RANGE**

Data Elements: HS or Schedule B, Shipping Weight, Quantity 1 and Unit of Measure 1, Quantity 2 and Unit of Measure 2 (CL2 record)

Reason: The informational message occurs when the gross Shipping Weight is divided by either Quantity 1 or Quantity 2 and the resulting ratio is not within expected parameters for that HS or Schedule B classification. Note: This edit is usually performed when the Unit of Measure is a net weight like kilograms or tons.

Resolution: Commercial documentation or other competent information should be examined to verify the gross shipping weight, quantities, and units of measure are correct. Note: Shipping weight should always be reported as the *gross* weight, including packing. Quantity Units of Measure always require a *net* weight. Retransmit corrected information.

808 VERIFY - IMPROBABLE CTRY OF DESTINATION

Data Elements: Country of Ultimate Destination, Harmonized Tariff Schedule (HS) or Schedule B (SC1; CL2 records)

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Reason: The informational message occurs when certain commodities are unlikely to be exported to the specific country. For example, crude oil would not be exported to Saudi Arabia (SA), nor would snowmobiles be exported to Jamaica (JM).

Resolution: Commercial documentation or other competent information should be examined to verify that the country of ultimate destination and the HS/ Schedule B are correct. Retransmit corrected information.

809 WARN ERR - ZERO QUANTITY 1 UNACCEPTABLE**810 WARN ERR - ZERO QUANTITY 2 UNACCEPTABLE**

Data Elements: HS or Schedule B, Quantity 1 and Unit of Measure 1, Quantity 2 and Unit of Measure 2 (CL2 record)

Reason: The warning message occurs when the reported Quantity 1 or Quantity 2 is zero and a quantity greater than zero is required by the HS/ Schedule B.

The transmitter is expected to respond to these warning messages.

Resolution: Commercial documentation or other competent information should be examined to verify the HS/Schedule B classification, Quantity 1 and Unit of Measure 1, or Quantity 2 and Unit of Measure 2 are correct. Often the quantity is reported in the wrong units. Note: Occasionally the quantity may be reported as a fraction of a unit. In such cases, change the quantity to the next whole number. Retransmit corrected information.

821 VERIFY - VESS SWT TOO HIGH FOR COMMODITY**826 VERIFY - AIR SWT TOO HIGH FOR COMMODITY**

Data Elements: HS or Schedule B, Shipping Weight, Mode of Transportation, SCAC/IATA (SC1; CL2 record)

Reason: The informational message occurs for vessel and air shipments when the gross Shipping Weight reported for the HS/Schedule B classification is too high based on normal gross vessel and air shipping weight limitations.

Resolution: Commercial documentation or other competent information should be examined to verify the HS/Schedule B classification, the Mode of Transportation, and the gross Shipping Weight are correct. Retransmit corrected information.

835 VERIFY - VALUE TOO HIGH FOR COMMODITY**836 VERIFY - VALUE TOO LOW FOR COMMODITY**

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

Data Elements: HS or Schedule B, Value of Goods (CL2 record)

Reason: The informational message occurs when the Value of Goods reported for the HS/Schedule B classification is too high or too low based on normal expectations. For example, a value of \$5,000 is too low for a passenger transport airplane. The value is too low for the commodity exported.

Resolution: The commodity classification or the reported value may be incorrect. Commercial documentation or other competent information should be examined to verify the HS/Schedule B and Value of Goods. Retransmit corrected information.

837 VERIFY - QUANT 1 TOO HIGH FOR COMMODITY**838 VERIFY - QUANT 1 TOO LOW FOR COMMODITY****839 VERIFY - QUANT 2 TOO HIGH FOR COMMODITY****840 VERIFY - QUANT 2 TOO LOW FOR COMMODITY**

Data Elements: HS or Schedule B, Quantity 1 and Unit of Measure 1, Quantity 2 and Unit of Measure 2 (CL2 record)

Reason: The informational message occurs when the quantities reported for the HS/Schedule B classification are either too high or too low based on normal expectations. For example, warships sailing under their own power are exported one at a time. A quantity greater than 1 would be too high for the commodity.

Resolution: The commodity may be misclassified or the quantity may not be correct. Commercial documentation or other competent information should be examined to verify the HS/Schedule B classification and the reported Quantities and Units of Measure are correct. Retransmit corrected information.

850 VERIFY - IMPROB FOREIGN/DOMESTIC ORIGIN

Data Elements: HS or Schedule B, Foreign/Domestic Code (CL2 record)

Reason: The informational message occurs when the commodity exported is unlikely to be of Domestic origin or Foreign origin. For example, there are no domestic exports of bananas; likewise there are no foreign exports of American pima cotton.

Resolution: Commercial documentation or other competent information should be examined to verify that the HS/Schedule B classification and Foreign/Domestic Code are correct. Retransmit corrected information.

Note: Domestic exports represent merchandise grown, produced or manufactured in the United States (including imported merchandise which has been enhanced in value or changed from the form in which imported by further manufacture or processing in the

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

United States). Foreign exports represent merchandise that has entered the United States and is being re-exported in the same condition as imported.

851 VERIFY - IMPROBABLE AIR/MAIL SHIPMENT

Data Elements: HS or Schedule B, Mode of Transportation, SCAC/IATA
(SC1; CL2 record)

Reason: The informational message occurs when the Mode of Transportation is air or mail, but the commodity is unlikely to be shipped by air or mail. For example, explosive substances, petroleum coke, coal of all classifications, rail locomotives, fire engines, yachts, and floating docks are unlikely to be shipped by air or mail.

Resolution: Commercial documentation or other competent information should be examined to verify that the HS/Schedule B classification and the Mode of Transportation are correct. Retransmit corrected information.

853 VERIFY - IMPROB CTRY FOR MILITARY GOODS

Data Elements: Country of Ultimate Destination, HS or Schedule B
(SC1; CL2 records)

Reason: The informational message occurs when military commodities are exported to the countries of Armenia (AM), Azerbaijan (AZ), Belarus (BY), Cuba (CU), Georgia (GE), Iran (IR), Iraq (IQ), Kazakhstan (KZ), Kyrgyzstan (KG), Libya (LY), Moldova (MD), Mongolia (MN), North Korea (KP), Russia (RU), Syria (SY), Tajikistan (TJ), Turkmenistan (TM), Ukraine (UA), Uzbekistan (UZ), Vietnam (VN), Burma (BU), China (CN), Liberia (LR), Rwanda (RW), Somalia (SO), Sudan (SD), Yugoslavia (YU), or Congo (CD) since trade in these commodities with these countries is unlikely.

Resolution: Commercial documentation or other competent information should be examined to verify the country of ultimate destination and the HS/Schedule B classification are correct. Retransmit corrected information.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

These messages accompany the specific fatal, warning, or verify messages described above alerting the transmitter to status of shipments.

993 FATAL ERRORS - TRANSMISSION REJECTED

Reason: This message occurs due to the submission of inaccurate or incomplete data that results in fatal errors 001 - 020.

Resolution: Follow the instructions in the resolution of the specific fatal error message 001- 020. *The entire transmission must be resent.* Example: If you have a transmission with 50 shipment records and any one of the 50 shipments receives 993, all 50 shipments must be resent after the error is corrected.

994 FATAL ERRORS - MUST RETRANSMIT SHIPMENT

Reason: This message occurs due to the submission of inaccurate or incomplete data that results in fatal errors 021 - 299. The accompanying error message specifies the specific cause of the fatal error. Since it is a fatal error, the shipment data was not accepted in AES. The shipment must be retransmitted.

Resolution: Follow the instructions in the resolution of the specific error message.
Retransmit shipment after correcting errors.

995 SHIPMENT ACCEPTED - MUST CORRECT WARNINGS

Reason: This message occurs due to invalid or missing data. The accompanying error message specifies the specific data item that is missing or invalid. The shipment was accepted, but the data element in question must be corrected and the shipment must be retransmitted within four days of departure. A reminder message will be generated back to the filer until the warning message is corrected.

Resolution: Follow the instructions in the resolution of the specific error message.
Retransmit corrected information.

996 SHIPMENT ACCEPTED - MUST VERIFY DATA

Reason: This message occurs because data is inconsistent. The accompanying error message specifies the specific data item that is unlikely to be valid. The shipment was accepted, but the data element in question must be verified and if found to be incorrect, the shipment must be corrected and retransmitted.

Resolution: Follow the instructions in the resolution of the specific error message.
Retransmit corrected information if necessary.

997 AES DATA RECEIVED WITHOUT ERRORS

Reason: This message notifies the participant that the shipment was accepted as transmitted without errors.

APPENDIX A - AES COMMON ERROR MESSAGES

Version: June 2002

The following reminder messages are sent to filers to alert them of warnings that have not been corrected in the 4-days after exportation of the shipment. Failure to respond to these 4-day reminder messages may delay the exportation of merchandise on future shipments.

R01: ALERT: REMINDER -- UNRESOLVED WARNINGS

Reason: This message alerts the participant that there are unresolved warnings that were not corrected prior to the fourth day after exportation.

Resolution: **Send corrections to the errors specified in the reminder as soon as possible.**

R02: ALERT: MUST VERIFY/CORRECT BY FRIDAY

Reason: This message accompanies R01. It alerts the participant that the unresolved warnings must be corrected by the upcoming Friday.

Resolution: **Send corrections to the errors specified in the reminder by Friday.**

R03: ALERT - FOR FUTURE USE**R04: ALERT - FOR FUTURE USE****R05: ALERT - FOR FUTURE USE**

APPENDIX C - COUNTRY CODES (ISO Codes)

<u>Country and territory designation</u>	<u>ISO Code</u>
Afghanistan	AF
Albania	AL
Algeria	DZ
American Samoa	AS
Andorra	AD
Angola	AO
Anguilla	AI
Antigua and Barbuda	AG
Argentina	AR
Armenia	AM
Aruba	AW
Australia	AU
Austria	AT
Azerbaijan	AZ
Azores	PT
Bahamas	BS
Bahrain	BH
Bangladesh	BD
Barbados	BB
Belgium	BE
Belize	BZ
Benin	BJ
Bermuda	BM
Bhutan	BT
Bolivia	BO
Bosnia-Herzegovina	BA
Botswana	BW
Brazil	BR
British Indian Ocean Territory	IO
British Virgin Islands	VG
Brunei Darussalam	BN
Bulgaria	BG
Burkina	BF
Burma (Myanmar)	BU
Burundi	BI
Belarus	BY
Cambodia	KH
Cameroon	CM
Canada	CA

APPENDIX C - COUNTRY CODES (ISO Codes)

<u>Country and territory designation</u>	<u>ISO Code</u>
Canary Islands	ES
Canton and Enderbury Islands	KI
Cape Verde	CV
Cayman Island	KY
Central African Republic	CF
Chad	TD
Chile	CL
China	CN
Christmas Islands	CX
Cocos (Keeling) Island	CC
Colombia	CO
Comoros	KM
Congo (Brazzaville)	CG
Cook Islands	CK
Costa Rica	CR
Croatia	HR
Cuba	CU
Cyprus	CY
Czech Republic, The	CZ
Democratic Republic of Congo (Kinshasa)	CD
Denmark	DK
Djibouti	DJ
Dominica	DM
Dominican Republic	DO
Ecuador	EC
Egypt	EG
El Salvador	SV
Equatorial Guinea	GQ
Estonia	EE
Ethiopia	ET
Falkland Islands (Malvinas)	FK
Faroe Islands	FO
Fiji	FJ
Finland	FI
France	FR
French Guiana	GF
French Polynesia	PF
French Southern and Antarctic Lands	TF
Gabon	GA

APPENDIX C - COUNTRY CODES (ISO Codes)

<u>Country and territory designation</u>	<u>ISO Code</u>
Gambia, The	GM
Gaza Strip	GZ
Georgia	GE
Germany, Federal Republic of	DE
Ghana	GH
Gibraltar	GI
Greece	GR
Greenland	GL
Grenada	GD
Guadeloupe	GP
Guam	GU
Guatemala	GT
Guinea	GN
Guinea-Bissau	GW
Guyana	GY
Haiti	HT
Heard Island and Mcdonald Islands	HM
Honduras	HN
Hong Kong	HK
Hungary	HU
Iceland	IS
India	IN
Indonesia	ID
Iran	IR
Iraq	IQ
Ireland	IE
Israel	IL
Italy	IT
Ivory Coast	CI
Jamaica	JM
Japan	JP
Johnston Atoll	UM
Jordan	JO
Kampuchea, Democratic	KH
Kazakhstan	KZ
Kenya	KE
Kiribati	KI
Korea, Democratic Peoples Republic of	KP

APPENDIX C - COUNTRY CODES (ISO Codes)

<u>Country and territory designation</u>	<u>ISO Code</u>
Korea, North	KP
Korea, Republic of	KR
Korea, South	KR
Kuwait	KW
Kyrgyzstan	KG
Lao Peoples' Democratic Republic	LA
Latvia	LV
Lebanon	LB
Lesotho	LS
Liberia	LR
Libya	LY
Liechtenstein	LI
Lithuania	LT
Luxembourg	LU
Macau	MO
Macedonia (Skopje)	MK
Madagascar	MG
Madeira Island	PT
Malawi	MW
Malaysia	MY
Maldives	MV
Mali	ML
Malta	MT
Marshall Islands	MH
Martinique	MQ
Mauritania	MR
Mauritius	MU
Mexico	MX
Micronesia, Federated States of	FM
Midway Islands	UM
Moldova	MD
Monaco	MC
Mongolia	MN
Montserat	MS
Morocco	MA
Mozambique	MZ
Myanmar (See Burma)	
Namibia	NA

APPENDIX C - COUNTRY CODES (ISO Codes)

<u>Country and territory designation</u>	<u>ISO Code</u>
Nauru	NR
Nepal	NP
Netherlands	NL
Netherlands Antilles	AN
New Caledonia	NC
New Zealand	NZ
Nicaragua	NI
Niger	NE
Nigeria	NG
Niue	NU
Norfolk Island	NF
North Korea	KP
Northern Mariana Islands	MP
Norway	NO
Oman	OM
Pakistan	PK
Palau	PW
Panama	PA
Papua New Guinea	PG
Paraguay	PY
Peru	PE
Philippines	PH
Pitcairn Island	PN
Poland	PL
Portugal	PT
Puerto Rico	PR
Qatar	QA
Reunion	RE
Romania	RO
Russia	RU
Rwanda	RW
St. Christopher	KN
St. Helena	SH
St. Kitts and Nevis	KN
Saint Lucia	LC
St. Pierre and Miquelon	PM
Saint Vincent and the Grenadines	VC
Samoa	WS

APPENDIX C - COUNTRY CODES (ISO Codes)

<u>Country and territory designation</u>	<u>ISO Code</u>
San Marino	SM
Sao Tome and Principe	ST
Saudi Arabia	SA
Senegal	SN
Seychelles	SC
Sierra Leone	SL
Singapore	SG
Slovakia	SK
Slovenia	SI
Solomon Islands	SB
Somalia	SO
South Africa	ZA
South Korea	KR
Spain	ES
Spanish Africa	ES
Sri Lanka	LK
Sudan	SD
Suriname	SR
Svalbard and Jan Mayen Islands	SJ
Swaziland	SZ
Sweden	SE
Switzerland	CH
Syrian Arab Republic	SY
Taiwan	TW
Tajikistan	TJ
Tanzania, United Republic of	TZ
Thailand	TH
Togo	TG
Tokelau	TK
Tonga	TO
Trinidad and Tobago	TT
Tunisia	TN
Turkey	TR
Turkmenistan	TM
Turks and Caicos Island	TC
Tuvalu	TV
Uganda	UG
Ukraine	UA

APPENDIX C - COUNTRY CODES (ISO Codes)

<u>Country and territory designation</u>	<u>ISO Code</u>
United Arab Emirates	AE
United Kingdom	GB
United States	US
United States Minor Outlying Islands	UM
Uruguay	UY
Uzbekistan	UZ
Vanuatu	VU
Vatican City	VA
Venezuela	VE
Viet Nam	VN
Virgin Islands of the United States	VI
Wake Island	UM
Wallis and Futuna Islands	WF
West Bank	WE
Western Sahara	EH
Western Samoa	WS
Yemen, Republic of	YE
Yugoslavia	YU
Zambia	ZM
Zimbabwe	ZW

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

Customs Port Codes and Locations with valid Methods of Transportation indicated

For the purpose of commodity filings, the port of exportation is defined as the Customs port at which or nearest to which the land surface carrier transporting the merchandise crosses the border of the United States into foreign territory, or, in the case of exportation by vessel or air, the Customs port where the merchandise is loaded on the vessel or aircraft which is to carry the merchandise to a foreign country.

There is a relationship between the port of exportation and the method of transportation reported. Each port code has Yes (Y) or No (N) indicators that designate which specific methods of transportation are acceptable at that port location. For example, if a port location does not have a railroad crossing the U.S. border indicated by an N on the chart below, then that port would not be valid for a commodity filing with the method of transportation reported as Rail.

‘Fixed’ refers to fixed transport installations such as pipelines and powerhouses.

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
0101	PORTLAND, ME	Y	N	N	N	N
0102	BANGOR, ME	Y	N	N	N	N
0103	EASTPORT, ME	Y	N	N	N	N
0104	JACKMAN, ME	N	N	Y	Y	N
0105	VANCEBORO, ME	N	N	Y	Y	N
0106	HOULTON, ME	N	N	Y	Y	N
0107	FORT FAIRFIELD, ME	N	N	Y	Y	N
0108	VAN BUREN, ME	N	N	Y	Y	N
0109	MADAWASKA, ME	N	N	Y	Y	N
0110	FORT KENT, ME	N	N	Y	Y	N
0111	BATH, ME	Y	N	N	N	N
0112	BAR HARBOR, ME	Y	N	N	N	N
0115	CALAIS, ME	Y	N	Y	Y	N
0118	LIMESTONE, ME	N	N	Y	Y	N
0121	ROCKLAND, ME	Y	N	N	N	N
0122	JONESPORT, ME	Y	N	N	N	N
0127	BRIDGEWATER, ME	N	N	Y	Y	N
0131	PORTSMOUTH, NH	Y	N	N	N	N
0132	BELFAST, ME	Y	N	N	N	N
0152	SEARSPORT, ME	Y	N	N	N	N
0181	LEBANON AIRPORT	N	Y	N	N	N
0182	MANCHESTER USER FEE AIRPORT, NH	N	Y	N	N	N
0201	ST. ALBANS, VT	N	N	N	Y	N
0203	RICHFORD, VT	N	N	Y	Y	N
0206	BEECHER FALLS, VT	N	N	Y	Y	N
0207	BURLINGTON, VT	N	Y	N	N	N
0209	DERBY LINE, VT	N	N	Y	Y	N
0211	NORTON, VT	N	N	Y	Y	N
0212	HIGHGATE SPRINGS/ALBURG	N	N	Y	Y	N
0401	BOSTON, MA	Y	N	N	N	N
0402	SPRINGFIELD, MA	Y	N	N	N	N
0403	WORCESTER, MA	Y	N	N	N	N
0404	GLOUCESTER, MA	Y	N	N	N	N
0405	NEW BEDFORD, MA	Y	N	N	N	N
0406	PLYMOUTH, MA	Y	N	N	N	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
0407	FALL RIVER, MA	Y	N	N	N	N
0408	SALEM, MA	Y	N	N	N	N
0409	PROVINCETOWN, MA	Y	N	N	N	N
0410	BRIDGEPORT, CONNECTICUT	Y	N	N	N	N
0411	HARTFORD, CONNECTICUT	Y	Y	N	N	N
0412	NEW HAVEN, CONNECTICUT	Y	N	N	N	N
0413	NEW LONDON, CONNECTICUT	Y	N	N	N	N
0416	LAWRENCE, MA	N	Y	N	N	N
0417	LOGAN AIRPORT, MA	N	Y	N	N	N
0501	NEWPORT, RI	Y	N	N	N	N
0502	PROVIDENCE, RI	Y	N	N	N	N
0503	MELLVILLE, RI	Y	N	N	N	N
0701	OGDENSBURG, NY	Y	N	N	Y	N
0704	MASSENA, NY	Y	N	N	Y	N
0706	CAPE VINCENT, NY	Y	N	N	Y	N
0708	ALEXANDRIA BAY, NY	Y	N	N	Y	N
0712	CHAMPLAIN-ROUSES POINT	Y	N	Y	Y	N
0714	CLAYTON, NY	Y	N	N	N	N
0715	TROUT RIVER, NY	N	N	Y	Y	N
0901	BUFFALO-NIAGARA FALLS NY	Y	Y	Y	Y	N
0903	ROCHESTER, NY	Y	Y	N	N	N
0904	OSWEGO, NY	Y	N	N	N	N
0905	SODUS POINT, NY	Y	N	N	N	N
0906	SYRACUSE, NY	Y	N	N	N	N
0907	UTICA, NY	Y	N	N	N	N
0971	TNT SKYPAK	N	Y	N	N	N
0972	SWIFT SURE COURIER SERVI	N	Y	N	N	N
981	BINGHAMTON REGIONAL AIRPORT, NY	N	Y	N	N	N
1001	NEW YORK, NY	Y	Y	N	N	N
1002	ALBANY, NY	Y	N	N	N	N
1101	PHILADELPHIA, PA	Y	N	N	N	N
1102	CHESTER, PA	Y	N	N	N	N
1103	WILMINGTON, DE	Y	N	N	N	N
1104	PITTSBURGH, PA	Y	Y	N	N	N
1105	PAULSBORO, NJ	Y	N	N	N	N
1106	WILKES-BARRE/SCRANTON PA	N	Y	N	N	N
1107	CAMDEN, NJ	Y	N	N	N	N
1108	PHILA. INTL. AIRPORT, PA	N	Y	N	N	N
1109	HARRISBURG, PA	N	Y	N	N	N
1113	GLOUCESTER CITY, NJ	Y	Y	N	N	N
1119	ALLENTOWN, PENNSYLVANIA	N	Y	N	N	N
1181	ALLENTOWN-BETHLEHEM	N	Y	N	N	N
1182	ATLANTIC CITY REGIONAL AIRPORT, NJ	N	Y	N	N	N
1183	TRENTON/MERCER COUNTY AIRPORT, NJ	N	Y	N	N	N
1195	UPS, PHILADELPHIA, PA	N	Y	N	N	N
1301	ANNAPOLIS, MD	Y	N	N	N	N
1302	CAMBRIDGE, MD	Y	N	N	N	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
1303	BALTIMORE, MD	Y	N	N	N	N
1304	CRISFIELD, MD	Y	N	N	N	N
1305	BWI AIRPORT	N	Y	N	N	N
1401	NORFOLK, VA	Y	Y	N	N	N
1402	NEWPORT NEWS, VA	Y	N	N	N	N
1404	RICHMOND-PETERSBURG, VA	Y	Y	N	N	N
1408	HOPEWELL VA	Y	N	N	N	N
1409	CHARLESTON, WV	N	Y	N	N	N
1410	FRONT ROYAL, VA	N	Y	N	N	N
1481	NEW RIVER VALLEY AIRPORT	N	Y	N	N	N
1501	WILMINGTON, NC	Y	N	N	N	N
1502	WINSTON-SALEM, NC	N	Y	N	N	N
1503	DURHAM, NC	N	Y	N	N	N
1506	REIDSVILLE, NC	N	Y	N	N	N
1511	BEAUFORT-MOREHEAD CTY,NC	Y	N	N	N	N
1512	CHARLOTTE, NC	N	Y	N	N	N
1601	CHARLESTON, SC	Y	N	N	N	N
1602	GEORGETOWN, SC	Y	N	N	N	N
1603	GREENVILLE-SPARTANBRG SC	N	Y	N	N	N
1604	COLUMBIA, SC	N	Y	N	N	N
1701	BRUNSWICK, GA	Y	N	N	N	N
1703	SAVANNAH, GA	Y	N	N	N	N
1704	ATLANTA, GA	N	Y	N	N	N
1801	TAMPA, FL	Y	Y	N	N	N
1803	JACKSONVILLE, FL	Y	N	N	N	N
1805	FERNANDINA BEACH, FL	Y	N	N	N	N
1807	BOCA GRANDE, FL	Y	N	N	N	N
1808	ORLANDO, FL	N	Y	N	N	N
1809	ORLANDO-SANFORD AIRPORT, FL	N	Y	N	N	N
1814	ST. PETERSBURG, FL	Y	N	N	N	N
1816	PORT CANAVERAL, FL	Y	N	N	N	N
1818	PANAMA CITY, FL	Y	N	N	N	N
1819	PENSACOLA, FL	Y	N	N	N	N
1821	PORT MANATEE, FL	Y	N	N	N	N
1822	FORT MYERS AIRPORT	N	Y	N	N	N
1883	SARASOTA BRADENTON AIRPT	N	Y	N	N	N
1884	DAYTONA BEACH INT'L AIRP	N	Y	N	N	N
1885	MELBOURNE REGIONAL AIRPORT, FL	N	Y	N	N	N
1886	OCALA REGIONAL AIRPORT, FL	N	Y	N	N	N
1901	MOBILE, AL	Y	Y	N	N	N
1902	GULFPORT, MS	Y	N	N	N	N
1903	PASCAGOULA, MS	Y	N	N	N	N
1904	BIRMINGHAM, AL	N	Y	N	N	N
1910	HUNTSVILLE, AL	Y	Y	N	N	N
2001	MORGAN CITY, LA	Y	N	N	N	N
2002	NEW ORLEANS, LA	Y	Y	N	N	N
2003	LITTLE ROCK, AR	N	Y	N	N	N
2004	BATON ROUGE, LA	Y	N	N	N	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
2005	PORT SULPHUR, LA	Y	N	N	N	N
2006	MEMPHIS, TN	Y	Y	N	N	N
2007	NASHVILLE, TN	N	Y	N	N	N
2008	CHATTANOOGA, TN	N	Y	N	N	N
2009	DESTREHAN, LA	N	Y	N	N	N
2010	GRAMERCY, LA	Y	N	N	N	N
2011	GREENVILLE, MS	Y	N	N	N	N
2012	AVONDALE, LA	Y	N	N	N	N
2013	ST. ROSE, LA	Y	N	N	N	N
2014	GOOD HOPE, LA	Y	N	N	N	N
2015	VICKSBURG, MS	Y	N	N	N	N
2016	KNOXVILLE, TN	N	Y	N	N	N
2017	LAKE CHARLES, LA	Y	N	N	N	N
2018	SHREVEPORT/BOSSIER CITY	N	Y	N	N	N
2082	TRI CITY USER FEE AIRPOR, TN	N	Y	N	N	N
2083	AKRANSAS AEROPLEX, AR	N	Y	N	N	N
2095	FEDERAL EXPRESS COURIER	N	Y	N	N	N
2101	PORT ARTHUR, TX	Y	N	N	N	N
2102	SABINE, TX	Y	N	N	N	N
2103	ORANGE, TX	Y	N	N	N	N
2104	BEAUMONT, TX	Y	N	N	N	N
2301	BROWNSVILLE, TX	Y	Y	Y	Y	N
2302	DEL RIO, TX	N	N	Y	Y	N
2303	EAGLE PASS, TX	N	Y	Y	Y	N
2304	LAREDO, TX	N	Y	Y	Y	N
2305	HIDALGO, TX	N	Y	Y	Y	Y
2307	RIO GRANDE CITY, TX	N	N	Y	Y	N
2309	PROGRESO, TX	N	N	Y	Y	N
2310	ROMA, TX	N	N	Y	Y	N
2381	Edinberg User Fee Airport	N	Y	N	N	N
2402	EL PASO, TX	N	Y	Y	Y	Y
2403	PRESIDIO, TX	N	N	Y	Y	N
2404	FABENS, TX	N	N	Y	Y	N
2406	COLUMBUS, NM	N	N	Y	Y	N
2407	ALBUQUERQUE, NM	N	Y	N	N	N
2408	SANTA TERESA, NM	N	N	Y	Y	N
2481	SANTA TERESA AIRPORT	N	Y	N	N	N
2501	SAN DIEGO, CA	Y	Y	N	N	N
2502	ANDRADE, CA	N	N	N	Y	N
2503	CALEXICO, CA	N	Y	Y	Y	N
2504	OTAY MESA, CA	N	Y	Y	Y	N
2505	TECATE, CA	N	N	Y	Y	N
2506	OTAY MESA STATION	N	Y	Y	Y	Y
2507	CALEXICO-EAST	N	N	Y	Y	N
2601	DOUGLAS, AZ	N	N	Y	Y	Y
2602	LUKEVILLE, AZ	N	N	Y	Y	N
2603	NACO, AZ	N	N	Y	Y	N
2604	NOGALES, AZ	N	Y	Y	Y	Y
2605	PHOENIX, AZ	N	Y	N	N	N
2606	SASABE, AZ	N	N	Y	Y	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
2608	SAN LUIS, AZ	N	N	Y	Y	N
2609	TUCSON, AZ	N	Y	N	N	N
2704	LOS ANGELES, CA	Y	Y	N	N	N
2707	PORT SAN LUIS HARBOR, CA	Y	N	N	N	N
2709	LONG BEACH, CA	Y	Y	N	N	N
2711	SEGUNDO, CA	Y	N	N	N	N
2712	VENTURA, CA	Y	N	N	N	N
2713	PORT HUENEME, CA	Y	N	N	N	N
2715	CAPITAN, CA	Y	N	N	N	N
2719	MORROW, CA	Y	N	N	N	N
2720	LOS ANGELES INT ARPT	N	Y	N	N	N
2721	ONTARIO INTL AIRPORT	N	Y	N	N	N
2722	LAS VEGAS, NV	N	Y	N	N	N
2770	DHL	N	Y	N	N	N
2772	GATEWAY FREIGHT SER. INC	N	Y	N	N	N
2773	AIR CARGO HANDLING SERV	N	Y	N	N	N
2774	VIRGIN ATLANTIC CARGO	N	Y	N	N	N
2775	TNT EXPRESS, LAX, CA	N	Y	N	N	N
2776	IBC PACIFIC	N	Y	N	N	N
2781	PALM SPRINGS USER FEE, LAX, CA	N	Y	N	N	N
2782	SAN BERNADINO INTERNATIONAL AIRPORT	N	Y	N	N	N
2783	SO. CAL. LOGISTICS AIRPORT	N	Y	N	N	N
2795	UPS-ONTARIO	N	Y	N	N	N
2801	SAN FRANCISCO INTL AIRPT	N	Y	N	N	N
2802	EUREKA, CA	Y	N	N	N	N
2803	FRESNO, CA	N	Y	N	N	N
2805	MONTEREY, CA	Y	N	N	N	N
2809	SAN FRANCISCO, CA	Y	Y	N	N	N
2810	STOCKTON, CA	Y	N	N	N	N
2811	OAKLAND, CA	Y	Y	N	N	N
2812	RICHMOND,CA	Y	N	N	N	N
2813	ALAMEDA,CA	Y	N	N	N	N
2815	CROCKETT,CA	Y	N	N	N	N
2816	SACRAMENTO,CA	Y	Y	N	N	N
2820	MARTINEZ,CA	Y	N	N	N	N
2821	REDWOOD CITY,CA	Y	N	N	N	N
2827	SELBY,CA	Y	N	N	N	N
2828	SAN JUAQUIN RIVER,CA	Y	N	N	N	N
2829	SAN PABLO BAY,CA	Y	N	N	N	N
2830	CARQUINEZ STRAIT,CA	Y	N	N	N	N
2831	SUISUN BAY,CA	Y	N	N	N	N
2833	RENO, NV	N	Y	N	N	N
2834	SAN JOSE INTL AIRPORT	N	Y	N	N	N
2870	DHL WORLDWIDE EXPRESS	N	Y	N	N	N
2871	AIRCARGO HANDLING SERVIC	N	Y	N	N	N
2872	TNT SKYPAK	N	Y	N	N	N
2873	IBC PACIFIC, CA	N	Y	N	N	N
2881	SACRAMENTO INT'L AIRPORT	N	Y	N	N	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
2895	FED EXP COURIER FACILITY	N	Y	N	N	N
2901	ASTORIA, OR	Y	N	N	N	N
2902	NEWPORT, OR	Y	N	N	N	N
2903	COOS BAY, OR	Y	N	N	N	N
2904	COLUMBIA SNAKE RIVER	Y	N	N	N	N
2905	LONGVIEW, WA	Y	N	N	N	N
2907	BOISE, IDAHO	N	Y	N	N	N
2908	VANCOUVER, WA	Y	N	N	N	N
2909	KALAMA, WA	Y	N	N	N	N
2910	PORTLAND INTL AIRPORT	N	Y	N	N	N
2981	KINGSLEY FIELD	N	Y	N	N	N
2982	ROGUE VALLEY/MEDFORD	N	Y	N	N	N
2991	FEDERAL EXPRESS COURIER	N	Y	N	N	N
3001	SEATTLE, WA	Y	Y	N	N	N
3002	TACOMA, WA	Y	N	N	N	N
3003	ABERDEEN, WA	Y	N	N	N	N
3004	BLAINE, WA	Y	N	Y	Y	N
3005	BELLINGHAM, WA	Y	N	N	N	N
3006	EVERETT, WA	Y	Y	N	N	N
3007	PORT ANGELES, WA	Y	N	N	N	N
3008	PORT TOWNSEND, WA	Y	N	N	N	N
3009	SUMAS, WA	N	N	Y	Y	N
3010	ANACORTES, WA	Y	N	N	N	N
3011	NIGHTHAWK, WA	N	N	Y	Y	N
3012	DANVILLE, WA	N	N	Y	Y	N
3013	FERRY, WA	N	N	Y	Y	N
3014	FRIDAY HARBOR, WA	Y	N	N	N	N
3015	BOUNDARY, WA	N	N	Y	Y	N
3016	LAURIER, WA	N	N	Y	Y	N
3017	POINT ROBERTS, WA	Y	N	Y	Y	N
3018	KENMORE AIR HARBOR, WA	N	Y	N	N	N
3019	OROVILLE, WA	N	N	Y	Y	N
3020	FRONTIER, WA	N	N	Y	Y	N
3022	SPOKANE, WA	N	Y	N	N	N
3023	LYNDEN, WA	N	N	Y	Y	N
3025	METALINE FALLS	N	N	Y	Y	N
3026	OLYMPIA, WA	Y	N	N	N	N
3027	NEAH BAY, WA	Y	N	N	N	N
3029	SEATTLE-TACOMA INTL ARPT	N	Y	N	N	N
3071	U.P.S.	N	Y	N	N	N
3072	AVION BROKERS @ SEATAC	N	Y	N	N	N
3073	DHL WORLDWIDE EXPRESS	N	Y	N	N	N
3074	AIRBORNE EXPRESS @SEATAC	N	Y	N	N	N
3081	YAKIMA AIR TERMINAL	N	Y	N	N	N
3082	GRANT COUNTY AIRPORT	N	Y	N	N	N
3095	UPS COURIER HUB	N	Y	N	N	N
3101	JUNEAU, AK	Y	N	N	N	N
3102	KETCHIKAN, AK	Y	N	N	N	N
3103	SKAGWAY, AK	Y	N	Y	Y	N
3104	ALCAN, AK	N	N	Y	Y	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
3105	WRANGELL, AK	Y	N	N	N	N
3106	DALTON CACHE, AK	Y	N	Y	Y	N
3107	VALDEZ, AK	Y	N	N	N	N
3111	FAIRBANKS, AK	Y	N	N	N	N
3112	PETERSBURG, AK	Y	Y	N	N	N
3115	SITKA, AK	Y	N	N	N	N
3124	PELICAN, AK	Y	N	N	N	N
3126	ANCHORAGE, AK	Y	Y	N	N	N
3127	KODIAK, AK	Y	N	Y	Y	N
3181	ST PAUL AIRPORT	N	Y	N	N	N
3195	FEDERAL EXPRESS COURIER	N	Y	N	N	N
3196	UPS COURIER HUB	N	Y	N	N	N
3201	HONOLULU, HI	Y	N	N	N	N
3202	HIL0, HI	Y	N	N	N	N
3203	KAHULUI, HI	Y	N	N	N	N
3204	NAWILIWILI-PORT ALLEN, HI	Y	N	N	N	N
3205	HONOLULU INTL AIRPRT	N	Y	N	N	N
3206	KEAHOLA AIRPORT, HI	N	Y	N	N	N
3295	HONOLULU AIRPORT	N	Y	N	N	N
3301	RAYMOND, MT	N	N	Y	Y	N
3302	EASTPORT, ID	N	N	Y	Y	N
3303	SALT LAKE CITY, UT	N	Y	N	N	N
3304	GREAT FALLS, MT	N	Y	N	N	N
3305	BUTTE, MT	N	Y	N	N	N
3306	TURNER, MT	N	N	Y	Y	N
3307	DENVER, CO	N	Y	N	N	N
3308	PORTHILL, ID	N	N	Y	Y	N
3309	SCOBY, MT	N	N	Y	Y	N
3310	SWEETGRASS, MT	N	N	Y	Y	N
3312	WHITETAIL, MT	N	N	Y	Y	N
3316	PIEGAN, MT	N	N	Y	Y	N
3317	OPHEIM, MT	N	N	Y	Y	N
3318	ROOSVILLE, MT	N	N	Y	Y	N
3319	MORGAN, MT	N	Y	N	N	N
3321	WHITLASH, MT	N	Y	N	N	N
3322	DEL BONITA, MT	N	Y	N	N	N
3323	WILDHORSE, MT	N	N	Y	Y	N
3324	KALISPELL AIRPORT	N	Y	N	N	N
3382	NATRONA COUNTY INT AP	N	Y	N	N	N
3384	ARAPAHOE COUNTY PUBLIC AIRPORT, CO	N	Y	N	N	N
3401	PEMBINA, ND	N	Y	Y	Y	Y
3402	NOYES, MN	N	N	Y	Y	N
3403	PORTAL, ND	N	N	Y	Y	N
3404	NECHE, ND	N	N	Y	Y	N
3405	ST JOHN, ND	N	N	Y	Y	N
3406	NORTHGATE, ND	N	N	Y	Y	N
3407	WALHALLA, ND	N	N	Y	Y	N
3408	HANNAH, ND	N	N	Y	Y	N
3409	SARLES, ND	N	N	Y	Y	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
3410	AMBROSE, ND	N	N	Y	Y	N
3411	FARGO, NORTH DAKOTA	N	Y	N	N	N
3413	ANTLER, ND	N	N	Y	Y	N
3414	SHERWOOD, ND	N	N	Y	Y	N
3415	HANSBORO, ND	N	N	Y	Y	N
3416	MAIDA, ND	N	N	Y	Y	N
3417	FORTUNA, ND	N	N	Y	Y	N
3419	WESTHOPE, ND	N	N	Y	Y	N
3420	NOONAN, ND	N	N	Y	Y	N
3421	CARBURY, ND	N	N	Y	Y	N
3422	DUNSEITH, ND	N	N	Y	Y	N
3423	WARROAD, MN	Y	N	Y	Y	N
3424	BAUDETTE, MN	Y	N	Y	Y	N
3425	PINECREEK, MN	N	N	Y	Y	N
3426	ROSEAU, MN	N	N	Y	Y	N
3427	GRAND FORKS, ND	N	Y	N	N	N
3429	LANCASTER, ND	N	N	N	Y	N
3430	CRANE LAKE, ND	N	N	N	Y	N
3481	HECTOR INTER AIRPORT	N	Y	N	N	N
3501	MINNEAPOLIS-ST. PAUL, MN	N	Y	N	N	N
3502	SOUIX FALLS, SD	N	Y	N	N	N
3581	USER FEE AIRPORT	N	Y	N	N	N
3601	DULUTH, MN	Y	N	N	N	N
3602	ASHLAND, WI	Y	N	N	N	N
3604	INTERNATIONAL FALLS, MN	Y	N	Y	Y	N
3608	SUPERIOR, WI	Y	N	N	N	N
3613	GRAND PORTAGE, MN	Y	N	Y	Y	N
3614	SILVER BAY, MN	Y	N	N	N	N
3701	MILWAUKEE, WI	Y	Y	N	N	N
3702	MARINETTE, WI	Y	N	N	N	N
3703	GREEN BAY, WI	Y	N	N	N	N
3706	MANITOWOC, WI	Y	N	N	N	N
3707	SHEBOYGAN, WI	Y	N	N	N	N
3708	RACINE, WI	Y	N	N	N	N
3801	DETROIT, MI	Y	Y	Y	Y	N
3802	PORT HURON, MI	Y	N	Y	Y	N
3803	SAULT STE. MARIE, MI	Y	N	Y	Y	N
3804	SAGINAW/BAY CITY, MI	Y	N	N	N	N
3805	BATTLE CREEK, MI	Y	N	N	N	N
3806	GRAND RAPIDS, MI	N	Y	N	N	N
3808	ESCANABA, MI	Y	N	N	N	N
3809	MARQUETTE, MI	Y	N	N	N	N
3814	ALGONAC, MI	Y	N	Y	Y	N
3815	MUSKEGON, MI	Y	N	N	N	N
3816	GRAND HAVEN, MI	Y	N	N	N	N
3818	ROGERS CITY, MI	Y	N	N	N	N
3819	DETOUR, MI	Y	N	N	N	N
3820	MACKINAC ISLE, MI	Y	N	N	N	N
3842	PRESQUE ISLE, MI	Y	N	N	N	N
3843	ALPENA, MI	Y	N	N	N	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
3844	FERRYSBURG, MI	Y	N	N	N	N
3881	OAKLAND/PONTIAC AIRPORT	N	Y	N	N	N
3882	WILLOW RUN AIRPORT	N	Y	N	N	N
3901	CHICAGO, IL	Y	Y	N	N	N
3902	PEORIA, IL	N	Y	N	N	N
3903	OMAHA, NE	N	Y	N	N	N
3904	EAST CHICAGO, IN	Y	N	N	N	N
3905	GARY, IN	Y	N	N	N	N
3906	OHARE INTL AIRPORT	N	Y	N	N	N
3907	DES MOINES, IA	N	Y	N	N	N
3908	DAVENPORT-ROCK ISLAND	N	Y	N	N	N
3909	GREATER ROCKFORD AIRPORT	N	Y	N	N	N
3981	WAUKEGAN AIRPORT	N	Y	N	N	N
3983	PAL-WAUKEE MNCPL AIRPORT	N	Y	N	N	N
3985	DECATUR USER FEE AIRPORT	N	Y	N	N	N
3991	NIPPON COURIER HUB	N	Y	N	N	N
4101	CLEVELAND, OH	Y	Y	N	N	N
4102	CINCINNATI, OH	N	Y	N	N	N
4103	COLUMBUS, OH	N	Y	N	N	N
4104	DAYTON, OH	N	Y	N	N	N
4105	TOLEDO, OH	Y	Y	N	N	N
4106	ERIE, PA	Y	N	N	N	N
4108	ASHTABULA, OH	Y	N	N	N	N
4109	CONNEAUT, OH	Y	N	N	N	N
4110	INDIANAPOLIS, IN	N	Y	N	N	N
4111	FAIRPORT HARBOR, OH	Y	N	N	N	N
4112	AKRON, OH	N	Y	N	N	N
4115	LOUISVILLE, KY	N	Y	N	N	N
4116	OWENSBORO, KY	N	Y	N	N	N
4117	HURON, OH	Y	N	N	N	N
4121	LORAIN, OH	Y	Y	N	N	N
4122	ASHTABULA/CONNEAUT, OH	Y	N	N	N	N
4170	BURLINGTON AIR EXPRESS	N	Y	N	N	N
4181	AIRBORNE AIR PARK	N	Y	N	N	N
4182	RICKENBACKER AIRPORT	N	Y	N	N	N
4183	FORT WAYNE AIRPORT	N	Y	N	N	N
4184	BLUE GRASS AIRPORT	N	Y	N	N	N
4185	HULMAN REGIONAL AIRPORT	N	Y	N	N	N
4191	AIRBORNE EXPRESS COURIER	N	Y	N	N	N
4192	BURLINGTON AIR EXPRESS	N	Y	N	N	N
4195	EMERY COURIER	N	Y	N	N	N
4196	UPS COURIER	N	Y	N	N	N
4197	DHL COURIER	N	Y	N	N	N
4198	FEDERAL EXPRESS HUB	N	Y	N	N	N
4501	KANSAS CITY, MO	N	Y	N	N	N
4502	ST JOSEPH, MO	N	Y	N	N	N
4503	ST LOUIS, MO	Y	Y	N	N	N
4504	WICHITA, KS	N	Y	N	N	N
4505	SPRINGFIELD, MO	N	Y	N	N	N
4506	SPIRIT OF ST. LOUIS	N	Y	N	N	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
4601	NEWARK, NJ	Y	Y	N	N	N
4602	PERTH AMBOY, NJ	Y	N	N	N	N
4670	UPS	N	Y	N	N	N
4671	FEDEX ECCF	N	Y	N	N	N
4681	MORRISTOWN AIRPORT, NJ	N	Y	N	N	N
4701	JOHN F KENNEDY AIRPORT	N	Y	N	N	N
4770	FEDERAL EXPRESS CORP.	N	Y	N	N	N
4771	NYACC	N	Y	N	N	N
4772	DHL AIRWAYS	N	Y	N	N	N
4773	EMERY WORLDWIDE	N	Y	N	N	N
4774	AIR FRANCE (MACH PLUS)	N	Y	N	N	N
4775	DWORKIN/COSELL COURIER	N	Y	N	N	N
4776	SWISSAIR (SKYRACER)	N	Y	N	N	N
4777	ALITALIA (ALIEXPRESS)	N	Y	N	N	N
4778	TNT SKYPAK	N	Y	N	N	N
4901	AGUADILLA, PR	Y	Y	N	N	N
4904	FAJARDO, PR	Y	N	N	N	N
4905	GUANICA, PR	Y	N	N	N	N
4906	HUMACAO, PR	Y	N	N	N	N
4907	MAYAGUEZ, PR	Y	N	N	N	N
4908	PONCE, PR	Y	Y	N	N	N
4909	SAN JUAN, PR	Y	Y	N	N	N
4911	JOBOS, PR	Y	N	N	N	N
4912	GUAYANILLA, PR	Y	N	N	N	N
4913	INTL AIRPORT, PR	N	Y	N	N	N
5101	CHARLOTTE AMALIE, VI	Y	N	N	N	N
5102	CRUZ BAY, VI	Y	N	N	N	N
5103	CORAL BAY, VI	Y	N	N	N	N
5104	CHRISTIANSTED, VI	Y	N	N	N	N
5105	FREDERIKSTED, VI	Y	N	N	N	N
5201	MIAMI, FL	Y	Y	N	N	N
5202	KEY WEST, FL	Y	Y	N	N	N
5203	PORT EVERGLADES, FL	Y	N	N	N	N
5204	WEST PALM BEACH, FL	Y	N	N	N	N
5205	FORT PIERCE, FL	Y	Y	N	N	N
5206	MIAMI INTL AIRPORT	N	Y	N	N	N
5210	FT. LAUDERDALE-HOLLYWOOD INTL.	N	Y	N	N	N
5270	INT. COURIER ASS.	N	Y	N	N	N
5271	DHL WORLDWIDE EXPRESS	N	Y	N	N	N
5272	MIA/CFS EXP CONSIG FACIL	N	Y	N	N	N
5273	UPS MIAMI INTL AIRPORT	N	Y	N	N	N
5301	HOUSTON, TX	Y	Y	N	N	N
5306	TEXAS CITY, TX	Y	N	N	N	N
5309	HOUSTON INTERCONTL	N	Y	N	N	N
5310	GALVESTON, TX	Y	N	N	N	N
5311	FREEPORT, TX	Y	N	N	N	N
5312	CORPUS CHRISTI, TX	Y	N	N	N	N
5313	PORT LAVACA, TX	Y	N	N	N	N
5401	WASHINGTON, DC	Y	Y	N	N	N

APPENDIX D – EXPORT PORT CODES

Effective: SEPTEMBER 2002

CODE	Customs Port Location	Vessel	Air	Rail	Road	Fixed
5402	ALEXANDRIA, VA	Y	N	N	N	N
5501	DALLAS/FT. WORTH, TX	N	Y	N	N	N
5502	AMARILLO, TX	N	Y	N	N	N
5503	LUBBOCK, TX	N	Y	N	N	N
5504	OKLAHOMA CITY, OK	N	Y	N	N	N
5505	TULSA, OK	N	Y	N	N	N
5506	AUSTIN, TX	N	Y	N	N	N
5507	SAN ANTONIO, TX	N	Y	N	N	N
5582	MIDLAND INTER AIRPORT	N	Y	N	N	N
5583	FORTH WORTH ALLIANCE AP	N	Y	N	N	N
5584	ADDISON AIRPORT	N	Y	N	N	N
8000	U.S. MAIL EXPORTS	Y	Y	Y	Y	N

APPENDIX E - EXPORT INFORMATION CODES Revised May 2002

EXPORT INFORMATION CODE	COMPLETE SHIPMENT INFORMATION REQUIRED	LIMITED SHIPMENT INFORMATION REQUIRED	FULL EXEMPTION - NO SHIPMENT INFORMATION REQUIRED
CH	X		
CR		X	
DB	X		
DD			X
DP			X
FS	X		
GP		X	
GS			X
HH		X	
HR			X
HV		X	
IC			X
IP	X		
IR	X		
IS		X	
LC	X		
LV			X
MS			X
OS	X		
SC			X
SR		X	
SS			X
TE		X	
TL		X	
TP	X		
UG			X
ZD	X		
FOR USE ON MANIFEST ONLY BY AES CARRIERS			
AE			
RJ			
IT			
NE			

APPENDIX E - EXPORT INFORMATION CODES Revised May 2002**COMPLETE SHIPMENT INFORMATION REQUIRED**

AES filers must report all export information in its proper position when the following Export Information Codes are reported:

Export Information**Code****Description**

- LC** Shipments valued \$2,500 or less per Classification Number, which must be reported if:
- 1) Destined to one of the following countries: Cuba, Iran, Iraq, Libya, North Korea, Sudan, or Syria (Bureau of Industry and Security)
 - 2) Requiring a Department of Commerce validated export license (Individual, Project, Distribution and Service Supply (15CFR, parts 772 and 773)
 - 3) Requiring a Department of State, Office of Defense Trade Controls export license under the International Traffic in Arms Regulations (ITAR-22 CFR, parts 121-130)
 - 4) Subject to the ITAR but exempt from license requirements
 - 5) Requiring a Department of Justice, Drug Enforcement Administration export permit (21 CFR, part 1312)

Note: For situations where a full exemption for low value shipments apply, see Export Information Code **LV**

- TP** Temporary exports of domestic merchandise which are to be processed, manufactured or assembled abroad and returned to the United States
- IP** Shipments of merchandise imported under a Temporary Import Bond (TIB) for further manufacturing or processing
- IR** Shipments of merchandise imported under a Temporary Import Bond (TIB) to be repaired or altered in the United States (Report value of repairs only under Schedule B No. 9801.10.0000)
- DB** Drawback - **FOR FUTURE USE - DO NOT USE AT THIS TIME.**
- CH** Shipments of goods donated for relief or charity provided for in chapters 1 through 97 of Schedule B, Classification of Exports. Specific goods listed below should be reported under the appropriate Chapter 98 numbers as follows:
- 1) Commingled food products--Schedule B No. 9802.10.0000
 - 2) Medicinal and pharmaceutical products--Schedule B No. 9802.20.0000
 - 3) Wearing apparel--Schedule B No. 9802.30.0000

APPENDIX E - EXPORT INFORMATION CODES Revised May 2002**COMPLETE SHIPMENT INFORMATION REQUIRED (Continued):****Export Information****Code****Description**

FS Foreign Military Sales program conducted on the basis of formal contracts or agreements between the U.S. government and an authorized recipient, being shipped on a commercial carrier

ZD North American Free Trade Agreement (NAFTA) duty deferral shipments. Customs entry type "08" filed as entry type "06" with correct reporting of FTZ number and entry summary number from entry summary.

OS ALL OTHER EXPORTS

LIMITED SHIPMENT INFORMATION REQUIRED

The following fields are **not required** when reporting limited shipment information:

HS/Schedule B Numbers, Unit of Measure 1, Quantity 1, Unit of Measure 2, Quantity 2 and the Domestic/Foreign Indicator

All Other Fields are Required.

Note: AES will edit upon HS/Schedule B Numbers, Units of Measure, Quantities, and Domestic/Foreign Indicators if they are reported under these Export Information Codes that require only limited data (HV, HH, SR, TE, TL, IS, CR, and GP).

Export Information**Code****Description**

HV Personally owned vehicles being exported as household effects, provided that the vehicles are:

- 1) Owned by the exporter (USPPI) or his immediate family,
- 2) In the possession of the exporter (USPPI) at the time of or prior to departure,
- 3) Necessary and appropriate for the use of the exporter (USPPI) or his immediate family,
- 4) Intended for the exporter's (USPPI's) use or the use of his immediate family, and
- 5) Not intended for sale.

**Additional Reporting Elements: a) Vehicle Identification Number
b) Vehicle Title Number, and
c) Vehicle Title State**

HH Personal and household effects and tools of trade, including usual and reasonable kinds of furniture, clothing, instruments, tools, and other effects, provided that the articles are:

- 1) Owned by the exporter (USPPI) or his immediate family,

APPENDIX E - EXPORT INFORMATION CODES Revised May 2002

- 2) In the possession of the exporter (USPPI) at the time of or prior to departure,
- 3) Necessary and appropriate for the use of the exporter (USPPI) or his immediate family,
- 4) Intended for the exporter's (USPPI's) use or the use of his immediate family, and
- 5) Not intended for sale.

APPENDIX E - EXPORT INFORMATION CODES Revised May 2002**LIMITED SHIPMENT INFORMATION REQUIRED (Continued)****Export Information****Code****Description**

SR Ship's stores (Shipments of domestic and foreign merchandise exported by a U.S. vessel carrier line, shipped to the vessel carrier line's own overseas warehouse for exclusive use aboard its own carriers.)

TE Temporary exports which are not sold or offered for sale and are at the time of shipment definitely intended to be returned to the United States in the same condition as exported:

- 1) Goods for exhibition or demonstration and return to the United States
- 2) Goods to be tested or evaluated and returned to the United States
- 3) Goods to be inspected, calibrated, etc. and returned to the United States
- 4) Goods to be repaired and returned to the United States
- 5) Goods for participation in races or contests
- 6) Goods for temporary use in international waters or for temporary use in foreign countries for research, exploration, construction and similar projects

TL Merchandise exported under lease where the leasing period is less than one year

IS Shipments of merchandise imported under a Temporary Import Bond (TIB) for return in the same condition as imported:

- 1) Goods previously imported for testing, experimentation, demonstration, review, etc
- 2) Goods previously imported for exhibition, illustrations, etc
- 3) Samples, models previously imported for review or for taking orders
- 4) Goods previously imported for participation in races or contests
- 5) Animals previously imported for breeding, exhibition

CR Goods moving under a carnet (international license--not entered)

GP Shipments under U.S. government contract for the construction of facilities overseas

APPENDIX E - EXPORT INFORMATION CODES Revised May 2002**NO SHIPMENT INFORMATION REQUIRED****FULL EXEMPTION**

AES filers are **not** required to report any information if the commodity falls under Export Information Code LV, SS, MS, GS, DP, HR, UG, IC, SC, and DD. However, if the AES filer opts to report export information under any of these codes, all fields will be edited by AES.

Code **Description**

- LV** Shipments valued \$2,500 or less per Classification Number (15 CFR Sec. 30.55(h)) that are not required to be reported. General License shipments:
- 1) Destined to any Country included in Group B and the country China (Export Administration Regulations)
 - 2) Shipments between the U.S. and Puerto Rico
 - 3) Shipments to the U.S. Virgin Islands

Note: For Cuba, Iran, Iraq, Libya, North Korea, Sudan or Syria, a SED is required regardless of the value of the shipment. See Export Information Code **LC** for other exceptions to this low value exemption.

- SS** Carriers' stores (15 CFR Sec. 30.56(c)) not intended for unloading in a foreign country-- Supplies and equipment for departing vessels, planes, or other carriers, including usual and reasonable kinds and quantities of:

- 1) Bunker fuel
- 2) Provisions
- 3) Medicinal and surgical supplies
- 4) Food stores
- 5) Other supplies, including merchandise for sale to passengers for consumption on board
- 6) Carrier stores shipped by a U.S. airline to its own installations abroad (**includes merchandise unladen in a foreign country**)

- MS** All shipments consigned to the US Armed Forces for their exclusive use (15 CFR Sec. 30.52) including those to:

- 1) Armed services exchange systems
- 2) Military bases for construction and maintenance

APPENDIX E - EXPORT INFORMATION CODES Revised May 2002**NO SHIPMENT INFORMATION REQUIRED - FULL EXEMPTION (Continued):****Export Information****Code****Description**

GS Shipments to US government agencies and their employees for their exclusive use (15 CFR Sec. 30.53):

- a) Office furniture, equipment and supplies
- b) Household goods and personal property
- c) Food, medicine or related items and other commissary supplies
- d) Books, maps, charts, pamphlets, and similar articles shipped by US government offices to U.S. or foreign libraries, government establishments or similar institutions
- e) All commodities shipped to and for the exclusive use of the Panama Canal Zone Government or the Panama Canal Company

DP Diplomatic pouches and their contents (15 CFR Sec. 30.55(a))

HR Human remains with accompanying receptacles and flowers (15 CFR Sec. 30.55(b))

UG Single gift parcels as encompassed by Office of Export Administration General License GIFT (15 CFR Sec. 30.55(g))

IC Interplant correspondence, executed invoices and other documents and other shipments of company business records from a US firm to its subsidiary or affiliate (15 CFR 30.55(i))

SC Instruments of international trade moving, either loaded or empty, without transfer of ownership or title: shipping containers, tanks returning for refilling, paper cores, bobbins, racks, reels, spools, pallets, trailers, lift vans, cargo vans, etc. (15 CFR Sec. 30.33(a))

DD Other exemptions:

- 1) Currency (both US and foreign)
- 2) Airline tickets
- 3) Bank notes
- 4) Internal revenue stamps
- 5) State liquor stamps (strip stamps are same as liquor stamps)
- 6) Advertising literature
- 7) Export of articles imported temporarily for use by representatives of foreign governments of international organizations or members of armed forces of a foreign country

APPENDIX E - EXPORT INFORMATION CODES Revised May 2002**For Manifest Use Only by AES Carriers:****Export Information****Code****Description**

AE Shipment information filed through the Automated Export System (AES).

(FOR FUTURE USE) - Do Not Use at this Time**SHIPMENT INFORMATION REPORT AS IN-TRANSIT****Code Description**

RJ Inadmissible merchandise

IT In-transit (7513) movement

NE Not intended for export (cargo loaded in error)

APPENDIX F - LICENSE CODES Revised September 2002**OTHER LICENSE TYPE CODES**

<u>License Code</u>	<u>Description</u>
OPA	Other Partnership Agency License not listed in tables (i.e., DEA, ATF). AES filers are still required to comply with current paper documentation requirements for agencies not accommodated in AES. Filling in the 'License Number' field for such agencies is optional.

AES-COMMERCE/BIS LICENSE TYPE CODES

<u>License/Exception Code</u>	<u>Description</u>	<u>License Number Required</u>	<u>ECCN Required</u>
C30	Licenses (BIS Regulation)	YES	YES
C31	SCL	YES	YES
C32	NLR (CCL /NS Column 2 & Items Controlled for Chemical Warfare Reasons)	NO	YES
C33	NLR (ALL OTHERS)	NO	NO
C34	(Save for future use)		
C35	LVS	NO	YES
C36	GBS	NO	YES
C37	CIV	NO	YES
C38	TSR	NO	NO
C39	CTP	NO	NO
C40	TMP	NO	NO
C41	RPL	NO	NO
C42	GOV	NO	NO
C43	GFT	NO	NO
C44	TSU	NO	NO
C45	BAG	NO	NO
C46	AVS	NO	NO
C47	APR	NO	NO
C48	KMI	NO	NO
C49	TAPS	NO	NO
C50	ENC	NO	NO
C51	AGR	NO	NO

NOTE: The following license/license exception descriptions are intended to assist in identifying the type of license/exception to be used for an export shipment. The Department of Commerce/Bureau of Industry and Security (BIS), formerly Bureau of Export Administration

APPENDIX F - LICENSE CODES Revised September 2002

(BXA), published these license/exception categories in the Federal Register as a Final Rule on December 4, 1996. BIS should be contacted for more specific details on licensing requirements within their purview.

**DESCRIPTIONS FOR
CUSTOMS/AES-COMMERCE/BIS LICENSE TYPE CODES**

<u>License/Exception Code</u>	<u>Description</u>
C30	Licenses (BIS Regulation)--Authority issued by the Bureau of Industry and Security authorizing an export, re-export, or other regulated activity. The term 'license' does not include authority represented by a 'License Exception.' License Number and ECCN required.
C31	Special Comprehensive License (SCL)--Part 752. License Number and ECCN required.
C32	No License Required (NLR)--those items filed under the 'NLR' provisions of the EAR Part 758 which are covered by entries on the Commerce Control List that have the column identifier 'NS Column 2' controlled for 'NS' reasons and items controlled for chemical warfare (CW) reasons. ECCN required.
C33	No License Required (NLR)--all other NLR items, filed under the 'NLR' provisions of the EAR Part 758, which are not covered by C32 above. <i>(For Census purposes, use C33 for shipments between the U.S. and Puerto Rico, and from the U.S. to the U.S. Virgin Islands.)</i>
C34	(Save for future use)
C35	Limited Value Shipments (LVS) – Part 740.3. ECCN Required.

APPENDIX F - LICENSE CODES Revised September 2002**DESCRIPTIONS FOR CUSTOMS/AES-COMMERCE/BIS LICENSE TYPE CODES****Continued...**

License/Exception

<u>Code</u>	<u>Description</u>
C36	Shipments to B Countries (GBS)--Part 740.4. ECCN required.
C37	Civil End Users (CIV)--Part 740.5. ECCN required.
C38	Restricted Technology and Software (TSR)--Part 740.6.
C39	Computers (CTP)--Part 740.7.
C40	Temporary Imports, Exports, and Re-exports (TMP)--Part 740.9; consolidates the following categories: Temporary Exports and Re-exports; Items Temporarily in the U.S.; Beta Test Software.
C41	Servicing and Replacement of Parts and Equipment (RPL)--Part 740.10; consolidates the following categories: One-for-one Replacement of Parts; Servicing and Replacement of Equipment.
C42	Governments and International Organizations (GOV)--Part 740.11; consolidates the following categories: International Safeguards; Shipments to U.S. Agencies and Personnel, Shipments to Agencies of Cooperating Governments.
C43	Gift Parcels and Humanitarian Donations (GFT)--Part 740.12; consolidates the following categories: Gift Parcels; Humanitarian Donations.

APPENDIX F - LICENSE CODES Revised September 2002**DESCRIPTIONS FOR CUSTOMS/AES-COMMERCE/BIS LICENSE TYPE CODES****Continued...**

License/Exception

<u>Code</u>	<u>Description</u>
C44	Technology and Software - Unrestricted (TSU)--Part 740.13; consolidates the following categories: Operating Technology and Software; Sales Technology and Software; Software Updates; General Software Note. 'Basket' software is now EAR99 ('No License Required').
C45	Baggage (BAG)--Part 740.14.
C46	Aircraft and Vessels (AVS)--Part 740.15. Generally, no license exception symbol is necessary for export clearance purposes. However, when necessary, the symbol 'AVS' may be used.
C47	Additional Permissive Re-exports (APR)--Part 740.16.
C48	Key Management Infrastructure (KMI)--Part 740.8 permits the export and re-export of certain encryption items. This policy will apply to hardware and software.
C49	Trans-Alaska Pipeline Authorization Act (TAPS)--Part 754.2 permits the export of Alaskan North Slope crude oil.
C50	Encryption commodities and software (ENC)--Part 740.17 permits the export and re-export of 56-bit encryption commodities and software worldwide; permits the export and re-export of any key length encryption to U.S. subsidiaries, insurance companies, health and medical end-users, on-line merchants and foreign commercial firms.

APPENDIX F - LICENSE CODES Revised September 2002**DESCRIPTIONS FOR CUSTOMS/AES-COMMERCE/BIS LICENSE TYPE CODES****Continued...**

License/Exception

<u>Code</u>	<u>Description</u>
C51	License Exception Agricultural Commodities (AGR) – Part 740.18 authorizes exports and certain re-exports of agricultural commodities to Cuba and removes requirements for exports of agricultural and medical commodities classified as EAR99 to sanctioned entities located outside of designated terrorist countries.

APPENDIX F - LICENSE CODES Revised September 2002**AES-NUCLEAR REGULATORY COMMISSION (NRC)**
LICENSE TYPE CODES

<u>License Number or General License Code</u>	<u>Description</u>	<u>License Citation Required</u>
N01	NRC Form 250/250A	YES
N02	NRC ‘general’ export License CFR citation	YES

NRC Form 250/250A = ‘Specific’ export license for nuclear material and equipment/conditions requires entry of license number in License Number field

NRC ‘general’ license = NRC ‘general’ licenses require entry of CFR citation in the License Number field

APPENDIX F - LICENSE CODES Revised September 2002**AES-STATE/MUNITIONS LICENSE TYPE CODES**

<u>License Code</u>	<u>Description</u>	<u>Exemption Citation Required</u>
SAG	AGREEMENTS	YES
SCA	Canadian ITAR Exemption	YES
S00	License Exemption Citation	YES
S05	DSP-5	YES
S61	DSP-61	YES
S73	DSP-73	YES
S85	DSP-85	YES
S94	DSP-94	YES
<hr/>		
AG	=	Agreements (i.e., AG, BA, MA, RR, TA and VD Agreements)
DSP-5	=	Permanent Export of Unclassified Defense Articles and Services
DSP-61	=	Temporary Import of Unclassified Articles
DSP-73	=	Temporary Export of Unclassified Articles
DSP-85	=	Temporary or Permanent Import or Export of Classified Articles
DSP-94	=	Foreign Military Sales

APPENDIX F - LICENSE CODES Revised September 2002

AES-TREASURY/OFFICE OF FOREIGN ASSETS CONTROL (OFAC)
LICENSE TYPE CODES

<u>License Code</u>	<u>Description</u>	<u>Exemption Citation Required</u>
T10	OFAC ‘Specific’ license	YES
T11	OFAC ‘General’ export License CFR citation	YES

OFAC ‘Specific’ = ‘Specific’ export license issued, on a case-by-case basis, by OFAC for certain export shipments that would otherwise be barred by sanctions, requires entry of license number in AES ‘Export License Number/CFR Citation’ field.

OFAC ‘General’ = Export shipments permitted under ‘General’ license which conform to criteria set forth in an OFAC authorization published as a regulation (no individual clearance by OFAC) covers certain shipments that would otherwise be barred by sanctions. Requires entry of CFR citation, or if there is no CFR citation, the Federal Register citation (if there is one) or General License number, in AES ‘Export License Number/CFR Citation’ field.

APPENDIX G – TYPE OF TRANSPORTATION SERVICE CODES

Type of Service code specifying extend of transportation service requested

(Used in the B02 Record and the C01 Record)

Valid codes are:

CS = Container Station

BB = Break bulk

CY = Container Yard

NC = Non-containerized

HH = House-to-House

PP = Pier-to-Pier

HP = House-to-Pier

PH = Pier-to-House

HT = House-to-Terminal

TH = Terminal-to-House

TT = Terminal-to-Terminal

PT = Pier-to-Terminal

TP = Terminal-to-Pier

MD = Mixed Delivery

SS = Station-to-Station

SH = Station-to-House

LL = LCL/LCL

FL = FCL/LCL

LF = LCL/FCL

FF = FCL/FCL

WH = Warehouse-to-House

HW = House-to-Warehouse

H1 = Headload or Devanning

APPENDIX J - VALID AMENDMENT CODES

*This appendix provides a complete listing
of AMS valid amendment codes for AES.*

Exporting Carrier

Code	Description
01	Not laden aboard per evidence from foreign shipper, or amended bill of lading.
02	Error in manifesting, not laden on this carrier, laden on subsequent carrier for transportation from United States, per evidence in files.
03	Clerical error in manifesting per bill of lading in files.
04	Pilfered prior to departure in United States per signed statement of master of his agent or vessel log extract in our file.
05	Erroneously duplicated by another bill of lading on the same manifest.
12	Overage - manifest for discharge at another port and inadvertently discharged at this port

Bonded Carrier

Code	Description
14	Merchandise inadvertently delivered to consignee without Customs release. Merchandise will be redelivered intact or liquidated damages paid.
15	Merchandise cannot be located and has apparently been lost. Liquidated damages will be paid.
16	Error in quantity manifested at port of origin. MDR (Manifest Discrepancy Report) will be filed at origin to correct in-bond entry. A copy will be delivered to this port within 90 days or duty and taxes will be paid.
17	Merchandise removed from original container and repacked as part of the in-bond movement.

APPENDIX K – UNIT OF MEASURE CODES

Effective: November 2001

UNIT OF MEASURE CODES reported in commodity filings as prescribed by the
Schedule B or Harmonized Tariff Schedule classification reported

Unit of Measure Description	Unit of Measure Code Proprietary Format	Unit of Measure Code X – 12 Format
Barrels	BBL	BR
Carats	CAR	CD
Clean Yield Kilogram	CYK	58
Content Gram	CGM	GC
Content Kilogram	CKG	50
Content Tons	CTN	26
Cubic Meters	M3 or CBM	CO
Curie	CUR	4D
Dose	DS	US
Dozen	DOZ	DZ
Dozen Pairs	DPR	DP
Dozen Pieces	DPC	DV
Fiber Meters	FBM	LM
Gigabecquerels	GBQ	G4
Gold Content Grams	AUG	G1
Grams	GM or G	GR
Gross	GRS or GR	GS
Gross Containers	GCN	GD
Gross Lines	GRL	N7
Hundred	HUN or HND	HC
Iridium Content Grams	IRG	IR
Jewels	JWL	JA
Kilograms	KG or KG. or KGS	KG
Kilograms Total Sugar	KTS	KT
Kilowatt Hours	MWH	KH
Liters	L or LTR	LT
Megabecquerels	MBQ	4N
Meters	M or MTR or LNM	MR
Millicuries	MC	MU
Number	NO or NO.	N2
Osmium Content Grams	OSG	OS
Ozone Depletion Equivalent	ODE	ZZ
Packs	PK or PKS	PH
Pairs	PRS	PR
Palladium Content Gram	PDG	7P
Pieces	PCS	IN
Proof Liters	PFL	NE
Plutonium Content Grams	PTG	9P
Rhodium Content Grams	RHG	RV
Running Bales	RBA	BA
Ruthemium Content Grams	RUG	R0
Silver Content Grams	AGG	S0
Square	SQ	SQ
Square Centimeters	CM2 or SCM	SC

APPENDIX K – UNIT OF MEASURE CODES

Effective: November 2001

Unit of Measure Description	Unit of Measure Code Proprietary Format	Unit of Measure Code X – 12 Format
Square Meters	M2 or SQM	SM
Thousand	THS or K	TH
Thousand Cubic Meters	TCM or KM3	R9
Thousand Meters	KM or THM	TT
Ton	T or TON	TG
Ton Raw Value	TNV	27
X = No Unit Required	X	UL

APPENDIX L – ODT USML CATEGORY CODES

Effective: Early 2003

This table presents the ODT U. S. Munitions List category codes to be reported on the ODT record.

When reporting an export shipment of articles on the USML the category code of the article, service or related technical data is required on the ODT record.

ODTC USML Category Code	Description
01	Firearms
02	Artillery Projectors
03	Ammunition
04	Launch Vehicles, Guided Missiles, Ballistic Missiles, Rockets, Torpedoes, Bombs, and Mines
05	Explosives, Propellants, Incendiary Agents, and Their Constituents
06	Vessels of War and Special Naval Equipment
07	Tanks and Military Vehicles
08	Aircraft and Associated Equipment
09	Military Training Equipment
10	Protective Personnel Equipment
11	Military Electronics
12	Fire Control, Range Finder, Optical and Guidance and Control Equipment
13	Auxiliary Military Equipment
14	Toxicological Agents and Equipment and Radiological Equipment
15	Space Systems and Associated Equipment
16	Nuclear Weapons Design and Test Equipment
17	Classified Articles, Technical Data and Defense Services Not Otherwise Enumerated
20	Submersible Vessels, Oceanographic and Associated Equipment
21	Miscellaneous Articles

APPENDIX M - METRIC CONVERSIONS

*This appendix provides formulas to convert
U.S. Customary Units to International System (Metric) Units*

With the adoption by the United States of the Harmonized Tariff Schedule (HTS) and its use of metric units, there will be a natural shift to report quantities on invoices in metric units. Where a need to convert exists, the following metric conversion factors should be used. These factors have been taken from the Federal Standard 376A, a Government-wide standard developed through the Government's Interagency Committee on Metric Policy.

The following chart provides the multiplier to be used in converting from U.S. Customary units to Metric units. *Where there is a need to convert from Metric units to U.S. Customary units divide rather than multiply by the factor.*

Metric Conversion Chart - Approximations

Quantity	When You Know	To Find Metric Units	Multiply By
Mass	Ton (Long)	T (Metric Ton) (1T = 1,000 kg)	1.016047
	Ton (Short)	T (Metric Ton)	0.90718474
	Lb (Avdo)	Kg	0.45359237
	Oz (Troy)	G	31.10348
Length	Yd	M	0.9144
	Ft	M	0.3048
	In	Cm	2.54
	In	Mm	25.4
Area	Yd ²	M ²	0.8361274
	Ft ²	M ²	0.09290304
	In ²	Cm ²	6.4516
	In ²	Mm ²	645
Volume	Yd ³	M ³	0.7645549
	Bbl (oil)	M ³	0.1589873
	(42 Gallons)		0.02831685
	Ft ³	M ³	
	Ft ³	L	28.31685
	Gal (Liq)	L	3.785412
	Quart (Liq)	L	0.9463529
	Pint (Liq)	L	0.4731765
	Fl Oz	MI	29.57353
	In ³	Cm ³	

APPENDIX M - METRIC CONVERSIONS

Metric Conversion Chart - Approximations (Continued):

Quantity	When You Know	To Find Metric Units	Multiply By
Frequency	C/S (Formerly Cos. Cycles Per Second)	Hz	1.0
Force	Kip	kN	4.448222
Radioactivity	Curie	MBq (Bq-becquerel)	37000
Stress	Lbl/In ² (formerly PSI)	MPa	0.006894757
	Lbl/In ² (formerly PSI)	KPa	6.894757
Power	Btu/S	kW	1.055056
	hp (electric)	kW	0.746
	Btu/H	W	0.2930711
Celsius	EF	EC	$t_{EC} = (t_E \div 32) \times 1.8$

Note: The following conversion procedure should be followed for beverages, spirits and vinegar classified in Chapter 22 of the *Harmonized Tariff Schedule of the United States Annotated* (HTS):

Multiply the number of proof-liters by 0.264172 to obtain proof-gallons at 15.5EC (60F). For wine, multiply the number of proof-liters by 0.26417. This allows for the calculation of the U.S. excise tax.

APPENDIX N - EQUIPMENT DESCRIPTION CODES

*This appendix provides the container/equipment codes
Used in the Bill Container (C01) record*

<u>CODE</u>	<u>DESCRIPTION</u>
20	20 ft. IL Container (Open Top)
2B	20 ft. IL Container (Closed Top)
2D	Control Unit
2E	Helper Unit
2F	Roadrailer
40	40 ft. IL Container (Open Top)
4B	40 ft. IL Container (Closed Top)
AC	Closed Container
AF	Air Freight (Break Bulk)
AL	Container, Aluminum (Container must be made of aluminum)
AP	Aircraft
AT	Closed Container (Controlled Temperature)
BC	Covered Barge
BE	Bilevel Railcar Fully Open
BF	Bilevel Railcar Fully Enclosed
BG	Bogie
BH	Bilevel Railcar Screen, With Roof
BJ	Bilevel Railcar Screen, No Roof
BK	Container, Bulk
BO	Barge Open
BR	Barge
BX	Boxcar
CA	Caboose
CB	Chassis, Gooseneck
CC	Container Resting on a Chassis
CD	Container with Bag Hangers (Rings or bars located in upper part of container walls to suspend bulk bags within the ocean-type container)
CG	Container, Tank (Gas)
CH	Chassis
CI	Container, Insulated
CJ	Container, Insulated/Ventilated
CK	Container, Heated/Insulated/Ventilated

APPENDIX N - EQUIPMENT DESCRIPTION CODES

<u>CODE</u>	<u>DESCRIPTION</u>
CL	Container (Closed Top - Length Unspecified)
CM	Container, Open-Sided
CN	Container
CP	Coil Car Open
CQ	Container, Tank (Food Grade-Liquid)
CR	Coil-Car Covered
CS	Container-Low Side Open Top
CT	Container-High Side Open Top
CU	Container (Open Top - Length Unspecified)
CV	Close Van
CW	Container, Tank (Chemicals)
CX	Container, Tank
CZ	Refrigerated Container
DD	Double-Drop Trailer (A flatbed with two drop decks)
DF	Container with Flush Doors (Container doors must be flush with the inside walls of the ocean-type container)
DT	Drop Back Trailer
DX	Boxcar, Damage Free Equipped
ET	End of Train Device
FH	Flat Bed Trailer with Headboards
FN	Flat Bed Trailer - Removable Sides
FP	Flatcar with Pedestal
FR	Flat Bed Trailer - Removable Sides
FS	Container with Floor Securing Rings (Appliances at floor level that can be used to secure cargo)
FT	Flat Bed Trailer
FX	Boxcar Cushion Under Frame Of
GS	Generator Set
HB	Container with Hangar Bars (Container must be equipped with hanger beams/bars for garment shipments)
HC	Hopper Car (Covered)
HO	Hopper Car (Open)
HP	Hopper Car (Covered; Pneumatic Discharge)
HT	Head of Train Device
HV	High Cube Van

APPENDIX N - EQUIPMENT DESCRIPTION CODES

<u>CODE</u>	<u>DESCRIPTION</u>
HY	Hydrant-Cart (Used at large airports with installed distribution systems to make into-plane deliveries; distinguished from other types of fueling vehicles)
ID	Idler Car
IX	Boxcar (Insulated)
LO	Locomotive
LS	Half Height Flat Rack
LU	Load\Unload Device on Equipment
NX	Boxcar (Interior Bulkheads)
OB	Ocean Vessel (Break Bulk)
OT	Open-Top/Flatbad Trailer
OV	Open Top Van
PL	Container, Platform
PP	Power Pack (A container holding a motor, generator, and fuel tank; used to provide power for refrigerated containers on a double stack train)
PT	Protected Trailer
PU	Pick-Up Truck
RA	Fixed-Rack, Flatbed Trailer (A Flatbed trailer with A-frame)
RC	Refrigerated (Reefer) Car
RD	Fixed-Rack, Double-Drop Trailer (A double drop flatbed with an A-Frame)
RE	Flat Car (End Bulkheads)
RF	Flat Car
RG	Gondola Covered
RI	Gondola Car (Covered-Interior Bulkheads)
RO	Gondola Car (Open)
RR	Rail Car
RS	Fixed-Rack, Single-Drop Trailer (A single-drop flatbed with an A-frame)
RT	Controlled Temperature Trailer (Reefer)
SA	Saddle (Device to stack containers on a rail car)
SC	Service Car
SD	Single-Drop Trailer (A flatbed trailer with one drop deck)
SK	Stack Car
SL	Container, Steel (Container must be made of steel)

APPENDIX N - EQUIPMENT DESCRIPTION CODES

<u>CODE</u>	<u>DESCRIPTION</u>
SR	STAK-RAK (A device upon which empty chassis may be stacked for movement Aen bloc on a railcar stack train, trailer or water-borne vessel)
SS	Container with Smooth Sides (Walls in ocean container must be flat/smooth)
ST	Removable Side Trailer
SV	Van-Special Inside Length, Width or Height Requirements
TA	Trailer, Heated/Insulated/Ventilated
TB	Trailer, Board
TC	Trailer, Car
TF	Trailer, Dry Freight
TG	Trailer, Tank (Gas)
TH	Truck, Open Top High Side
TI	Trailer, Insulated
TJ	Trailer, Tank (Chemicals)
TK	Trailer, Tank (Food Grade-Liquid)
TL	Trailer (not otherwise specified)
TM	Trailer, Insulated/Ventilated
TN	Tank Car
TO	Truck, Open Top
TP	Trailer, Pneumatic (A specialized trailer with a pneumatic device for loading or unloading)
TQ	Trailer, Electric Heat (A trailer with electric heat to keep product from freezing)
TR	Tractor
TT	Telescoping Trailer
TU	Truck, Open Top Low Side
TV	Truck, Van
TW	Trailer, Refrigerated (A refrigerated trailer capable of keeping product cold. Different from a temperature controlled trailer which is able to keep product at a constant temperature.)
UA	Trilevel Railcar 20 Feet
UB	Trilevel Railcar Screened, Fully Enclosed
UC	Trilevel Railcar Screened, With Roof
UD	Trilevel Railcar Screened, No Roof
UE	Trilevel Railcar Screened, With Doors, No Roof

APPENDIX N - EQUIPMENT DESCRIPTION CODES

<u>CODE</u>	<u>DESCRIPTION</u>
UL	Unit Load Device (ULD)
UP	Container, Upgraded (Container must be upgraded for higher weights)
VA	Container, Vented (Dry container must be vent openings for air exchange)
VE	Vessel, Ocean
VL	Vessel, Lake
VR	Vessel, Ocean, Roll-on/Roll-off
VS	Vessel, Ocean, Lash
VT	Vessel, Ocean, Containership
WR	Container with Wavy or Ripple Sides (Walls must be wavy or ripples type)
WY	Railroad Maintenance of Way Car

APPENDIX O – ODTIC ITAR Exemption Codes

Effective: Early 2003

This table presents the specific citation (exemption number) under the International Traffic in Arms Regulations (ITAR) from the Code of Federal Register (see CFR 120-130) that exempts the shipment from the requirement for a license or other written authorization from the ODTIC.

ODTIC ITAR Exemption Codes	ODTIC ITAR EXEMPTION CODE DESCRIPTION
123.4A1	22 CFR 123.4 (a) (1) Temporary import of U. S. – origin defense items for servicing, inspection, testing, calibration, repair, overhaul, reconditioning, or one-to-one replacement of defective items.
123.4A2	22 CFR 123.4 (a) (2) Temporary import of U.S. – origin defense item to be enhanced, upgraded, or incorporated into another item which the permanent export has been authorized by Office of Defense Controls.
123.4A3	22 CFR 123.4 (a) (3) Temporary import of U.S. – origin defense items for demonstration or marketing.
123.4A4	22 CFR 123.4 (a) (4) Temporary import of U.S. – origin defense items which have been rejected for permanent import by Treasury.
123.4A5	22 CFR 123.4 (a) (5) Temporary import of U.S. – origin defense items approved for import under Foreign Military Sales Program.
123.4B	22 CFR 123.4 (b) Temporary import but not subsequent export of item incorporated into another article.
123.6	22 CFR 123.6 From the United States to foreign trade zone or Customs bonded warehouse.
123.9E	22 CFR 123.9 (e) Re-export or retransfer of U.S. – origin items incorporated into foreign defense article to a government of a NATO country or the governments of Australia or Japan.
123.11B	22 CFR 123.11 (b) Vessel or aircraft does not enter territorial waters or airspace if no defense articles are carried as cargo.
123.12	22 CFR 123.12 Shipments between U.S. possessions.
123.13	22 CFR 123.13 Defense article on domestic air shipment via foreign country.
123.16B1	22 CFR 123.16 (b) (1) Defense articles in support of agreements.
123.16B2	22 CFR 123.16 (b) (2) Components or spare parts less than \$500.
123.16B3	22 CFR 123.16 (b) (3) Packing cases for defense articles.
123.16B4	22 CFR 123.16 (b) (4) Models and mock-ups.
123.16B5	22 CFR 123.16 (b) (5) Temporary export for public exhibition, trade show, air show or related event if that article was previously licensed for public exhibition.
123.16B9	22 CFR 123.16 (b) (9) Temporary export of unclassified component, part, tool or test equipment to affiliate or facility owned or controlled by the U.S. person.
123.17A	22 CFR 123.17 (a) Components and parts for Category I (a) firearms not exceeding \$100 wholesale.
123.17B	22 CFR 123.17 (b) Non-automatic Category I (a) firearms manufactured in or before 1898 or replica.
123.17C	22 CFR 123.17 (c) Temporary export of no more than three non-automatic Category I (a) firearms and no more than 1,000 cartridges.
123.17D	22 CFR 123.17 (d) Firearms for foreign persons brought in under 27 CFR 178.115 (d).
123.17E	22 CFR 123.17 (e) Not more than 1,000 cartridges of ammunition for non-automatic firearms for personal use.
123.18A1	22 CFR 123.18 (a) (1) Non-automatic firearms for servicemen's clubs for members of U.S. Armed Forces.

APPENDIX O – ODTIC ITAR Exemption Codes**Effective: Early 2003**

ODTIC ITAR Exemption Codes	ODTIC ITAR EXEMPTION CODE DESCRIPTION
123.18A2	22 CFR 123.18 (a) (2) Non-automatic firearms for personal use by member of U.S. Armed Forces or civilian employee of Department of Defense.
123.18A3	22 CFR 123.18 (a) (3) Non-automatic firearms for personal use by U.S. Government employees.
123.18B	22 CFR 123.18 (b) Not more than 1,000 cartridges of ammunition for personal use by U.S. Government employees.
123.19	22 CFR 123.19 Canadian and Mexican border shipments.
124.2A	22 CFR 124.2 (a) Training in basic operation and maintenance of defense articles authorized for export to the same recipient.
124.2B	22 CFR 124.2 (b) Defense services performed by U.S. persons drafted into military force of a foreign nation.
124.2C	22 CFR 124.2 (c) Maintenance training or performance training to NATO countries, Australia, Japan, and Sweden.
124.3A	22 CFR 124.3 (a) Unclassified technical data within scope of an approved manufacturing license or technical assistance agreement.
124.3B	22 CFR 124.3 (b) Classified technical data in furtherance of an approved manufacturing license or technical assistance agreement.
125.2B	22 CFR 125.2 (b) Technical data for foreign filing of patent application not exceeding its domestic patent filing.
125.4B1	22 CFR 125.4 (b) (1) Technical data pursuant to official written request or directive of Department of Defense.
125.4B2	22 CFR 125.4 (b) (2) Technical data in furtherance of agreement approved under 22 CFR Part 124.
125.4B3	22 CFR 125.4 (b) (3) Technical data in furtherance of contract between exporter and U.S. Government agency that does not disclose design, development, production, or manufacture details of defense article.
125.4B4	22 CFR 125.4 (b) (4) Copies of technical data previously authorized for export to same recipient.
125.4B5	22 CFR 125.4 (b) (5) Technical data regarding basic operations, maintenance, and training to same recipient of lawfully exported defense article.
125.4B6	22 CFR 125.4 (b) (6) Technical data related to firearms not in excess of .50 caliber and ammunition not in excess of .50 caliber, not including design, development, production, or manufacturing details.
125.4B7	22 CFR 125.4 (b) (7) Technical data being returned to original source of import.
125.4B8	22 CFR 125.4 (b) (8) Technical data directly related to classified information which has been previously authorized for export to same recipient, not including design, development, production, or manufacturing details.
125.4B9	22 CFR 125.4 (b) (9) Technical data sent by U.S. corporation to a U.S. person employed by that corporation overseas or to a U.S. Government agency.
125.4B10	22 CFR 125.4 (b) (10) Unclassified technical data in U.S. by U.S. institution of higher learning to full-time foreign employee.
125.4B11	22 CFR 125.4 (b) (11) Exporter granted written exemption from Office of Defense Trade Controls for technical data pursuant to arrangement with Department of Defense, Department of Energy, or NASA.
125.4B12	22 CFR 125.4 (b) (12) Technical data exempt under 22 CFR 126.
125.4B13	22 CFR 125.4 (b) (13) Technical data for public release by cognizant U.S. Government agency or Directorate for Freedom of Information and Security Review.
125.4C	22 CFR 125.4 (c) Defense services and unclassified technical data to nationals of NATO countries, Australia, Japan, and Sweden for responding to written request from Department of Defense for quote or bid proposal.

APPENDIX O – ODTIC ITAR Exemption Codes**Effective: Early 2003**

ODTIC ITAR Exemption Codes	ODTIC ITAR EXEMPTION CODE DESCRIPTION
125.5A	22 CFR 125.5 (a) Unclassified technical data during classified plant visit authorized by Office of Defense Trade Controls; approved government-to-government program; or U.S. Government agency having classification jurisdiction over classified defense article or classified technical data.
125.5B	22 CFR 125.5 (b) Classified technical data during plant visit approved by appropriate U.S. Government agency.
125.5C	22 CFR 125.5 (c) Unclassified technical data during plant visit approved by the Office of Defense Trade Controls.
126.3	22 CFR 126.3 Exceptional or undue hardship.
126.4A	22 CFR 126.4 (a) Temporary import or temporary export of defense article, technical data, or defense service by or for agency of U.S. Government.
126.4C	22 CFR 126.4 (c) Temporary import, temporary export, or permanent export of defense article, technical data, or defense service for end-use by U.S. Government agency in foreign country.
126.5A	22 CFR 126.5 (a) Temporary import and return to Canada of unclassified defense articles originating from Canada.
126.5B	22 CFR 126.5 (b) Permanent or temporary export of certain defense articles, related technical data, and defense services for end-use in Canada.
126.5C	22 CFR 126.5 (c) Defense service or technical data to Canada.
126.6A	22 CFR 126.6 (a) Defense article or technical data sold, leased, or loaned by Department of Defense to a foreign country or international organization.
126.6B	22 CFR 126.6 (b) Foreign military aircraft or naval vessel.
126.6C	22 CFR 126.6 (c) Defense article, technical data, or defense service sold, leased, or loaned by Department of Defense under Foreign Military Sales Program.

APPENDIX P - CONTAINER/EQUIPMENT TYPE

This appendix provides the tables used to devise the container/equipment type codes for the Bill Container (C01) record

The code consists of four separate characters used to identify a type of container or equipment. One example of a container/equipment type code is 4EV0.

4 = 12,192 mm or 40 feet in length

E = 2,895 (9'6") x >2,438 mm but <2,500 mm in width

V0 = a non-mechanical system with vents at lower and upper parts of cargo space

The codes are broken out in the following tables.

The first character of the code identifies the *length*.

CODE	LENGTH	
	mm	ft in
1	2,991	10'
2	8,058	20'
3	9,125	30'
4	12,192	40'
5	Spare	
6	Spare	
7	Spare	
8	Spare	
9	Spare	
A	7,150	
B	7,316	24'
C	7,420	
D	7,430	24'6"
E	7,800	
F	8,100	
G	12,500	41'
H	13,106	43'
K	13,600	
L	13,716	45'
M	14,630	48'
N	14,935	49'
P	15,154	
R	Spare	
A	Spare	

APPENDIX P - CONTAINER/EQUIPMENT TYPE

The second character of the code identifies the *width* and *height*.

width mm (ft,in) height mm (ft, in)	2,438 (8')	>2,438 (>8') <=2,500 (8', 2.5"0)	>2,500 (>8'2.5")
2,438 (8')	0		
2,592 (8'6")	2	C	L
2,743 (9')	4	D	M
2,895 (9'6")	5	E	N
>2,895 (9'6")	6	F	P
1,295 (4'3")	8		
<=1,219 (4')			

The third and fourth characters of the code identify the *type of container/equipment*.

GENERAL PURPOSE CONTAINER/EQUIPMENT	
CODE	DESCRIPTION
G0	Opening(s) at one end or both ends.
G1	Passive vents at upper part of cargo space.
G2	Opening(s) at one or both ends plus Apartial≡ opening(s) on one or both sides.
G3	Opening(s) at one or both ends plus Apartial opening(s) on one or both sides.
G4	(Spare)
G5	(Spare)
G6	(Spare)
G7	(Spare)
G8	(Spare)
G9	(Spare)
V0	Non-mechanical system vents at lower and upper parts of cargo space.
V1	(Spare)
V2	Mechanical ventilation system located internally.
V4	(Spare)

APPENDIX P - CONTAINER/EQUIPMENT TYPE

V5	(Spare)
V6	(Spare)
V7	(Spare)
V8	(Spare)
V9	(Spare)

DRY BULK CONTAINER	
CODE	DESCRIPTION
B0	Closed
B1	Airtight
B2	(Spare)
B3	Horizontal discharge, test pressure 1,5 bar.
B4	Horizontal discharge, test pressure 2,65 bar.
B5	Tipping discharge, test pressure 1,5 bar.
B6	Tipping discharge, test pressure 2,65 bar.
B7	(Spare)
B8	(Spare)
B9	(Spare)
NAMED CARGO CONTAINERS	
S0	Livestock carrier
S1	Automobile carrier
S2	Live fish carrier
S3	(Spare)
S4	(Spare)
S5	(Spare)
S6	(Spare)

APPENDIX P - CONTAINER/EQUIPMENT TYPE

S7	(Spare)
S8	(Spare)
S9	(Spare)

APPENDIX P - CONTAINER/EQUIPMENT TYPE

THERMAL CONTAINERS	
CODE	DESCRIPTION
R0	Mechanically refrigerated.
R1	Mechanically refrigerated and heated.
R2	Mechanically refrigerated.
R3	Mechanically refrigerated and heated.
R4	(Spare)
R5	(Spare)
R6	(Spare)
R7	(Spare)
R8	(Spare)
R9	(Spare)
H0	Refrigerated and/or heated with removable equipment appliance located EXTERNALLY. Heat transfer $k=0.4 \text{ W/(m}^2\text{.K)}$.
H1	Refrigerated and/or heated with removable equipment appliance equipment appliance located INTERNALLY.
H2	Refrigerated and/or heated with removable equipment appliance located EXTERNALLY. Heat transfer $K=0.7 \text{ W/(m}^2\text{.K)}$.
H3	(Spare)
H4	(Spare)
H5	Insulated. Heat transfer $K=0.4 \text{ W/(m}^2\text{,K)}$.
H6	Insulated. Heat transfer $K=0.7 \text{ W/(m}^2\text{,K)}$.
H7	(Spare)
H8	(Spare)
H9	(Spare)

APPENDIX P - CONTAINER/EQUIPMENT TYPE

OPEN-TOP CONTAINERS	
CODE	DESCRIPTION
U0	Opening(s) at one or both ends.
U1	Opening(s) at one or both ends, plus removable top member(s) in end frame(s).
U2	Opening(s) at one or both ends, plus opening(s) on one or both sides.
U3	Opening(s) at one or both ends, plus opening(s) on one or both sides plus removable top member(s) in end frame(s).
U4	Opening(s) at one or both ends, plus A partial opening on one side and A full opening on the other side.
U5	Open top - no doors.
U6	(Spare)
U7	(Spare)
U8	(Spare)
U9	(Spare)
PLATFORM (CONTAINER)	
P0	Platform (container).
P1	With two complete and fixed ends.
P2	With fixed posts, either freestanding or with removable top member.
P3	With folding complete end structure.
P4	With folding posts, with free-standing or with removable top member.
P5	With open top, open ends (skeletal).
P6	(Spare)
P7	(Spare)
P8	(Spare)
P9	(Spare)

APPENDIX P - CONTAINER/EQUIPMENT TYPE

TANK CONTAINER	
CODE	DESCRIPTION
T0	Minimum pressure 0,45 bar.
T1	Minimum pressure 1,5 bar.
T2	Minimum pressure 2,65 bar.
T3	Minimum pressure 1,5 bar.
T4	Minimum pressure 2,65 bar.
T5	Minimum pressure 4,0 bar.
T6	Minimum pressure 6,0 bar.
T7	Minimum pressure 9,1 bar.
T8	Minimum pressure 22 bar.
T9	Minimum pressure (to be developed).

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

*The following edits are required to be incorporation into
software developed for AES*

Flag for Correction:

Any numeric field that contains an alpha character

Any alphabetic field that contains a numeric character

Filer ID Type field when it contains a code that is not a valid 1-character code

Valid Filer ID Type codes are: D = DUNS

E = EIN

S = SSN

USPPI ID Type field when it contains a code that is not a valid 1-character code

Valid USPPI ID Type codes are: D = DUNS

E = EIN

S = SSN

T = Foreign Entity

Application Identifier field when it contains a code that is not a valid 2-character code

Valid Application ID codes are: XP = USPPI Data Input

XT = Output from Customs

XN = Transaction Status

Batch Control Number field when the batch control number in the A record does not match the batch control number reported in the Z record

Party ID Type field when it contains a code that is not a valid 1-character code

Valid Party ID Type codes are: D = DUNS

E = EIN

S = SSN

T = Foreign Entity

X = DEC

Party Type field when it contains a code that is not a valid 1-character code

Valid Party Type codes are: E = Exporter (USPPI)

F = Forwarding Agent

D = DEC

C = Consignee

I = Intermediate Consignee

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

Related Company Indicator Code field when it contains a code that is not a valid 1-digit code. Valid Related Company Indicator codes are: 1 = Related 2 = Non-related

Mode of Transportation (MOT) field when it contains a code that is not a valid 2-digit code. If using X12 formats, this field could be one or two alphanumeric code.

NOTE: Valid MOT codes as listed in Appendix T of the AESTIR

Mode of Transportation field when it contains MOT = 70 Fixed Transport Installations (Pipeline and Powerhouse) and the first two digits of the HS or Schedule B are not 22, 25, 27, 28, 29, 44, or 47.

If the first two digits of the HS or Schedule B are anything other than those listed, you would not be able to use MOT code =70, since it would be an "Improbable Commodity For Fixed Transportation"

Country of Ultimate Destination field when it contains a code that is not a valid 2-character International Standards Organization (ISO) country code
Valid ISO codes are listed in Appendix C of the AESTIR

State/Province Code field when it contains a code that is not a valid standard USPS abbreviation for the states, territories and possessions of the US where the USPPI, forwarder or DEC resides.

NOTE: When the USPPI is identified as a foreign entity, report his/her temporary residence in the United States at the time of export.

Report Mexican state codes for consignees residing in Mexico.

(Valid Mexican state codes are displayed with the N03 record.)

SCAC/IATA (Carrier Code) field when it contains a code that is not a valid 4-character Standard Carrier Alpha Code (SCAC) identifying the exporting carrier for vessel, rail or truck shipments **or** it is not a valid 2-character or 3-character International Air Transport Association (IATA) code identifying exporting carrier for air shipments

NOTE: IATA codes *F or 99F (unknown foreign)

*C or 99C(unknown Canadian)

*U or 99U(unknown US) will be accepted for air shipments

** or 99O(flyaway aircraft, HS begins with '8802')

SCAC code *M or 99M (unknown Mexican) will be accepted for Mexican trucking companies

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

Correction Flag field on the SC1 record when it contains a code that is not a valid 1-character code. This flag is often referred to as the Header correction or the Shipment correction, which indicates the action AES is to perform on the shipment.

Valid Correction Flag codes for Option 2, Post-departure Option 3 and Option 4 filings are:

Blank or A = ADD (default)

C = Change

D = Delete

Valid Correction Flag codes for *pre-departure Option 3 only*:

P = ADD

Q = Change

R = Delete

Conveyance Name field when it contains an unacceptable name for the conveyance

Report the name of the vessel for MOT 10, 11, 12;

Report the name of the carrier for MOT 20, 21 (rail); for MOT 30, 31(truck or international footbridge); or for MOT 40, 41(air)

NOTE: Rail, Truck, Airplane, Footbridge are NOT valid conveyance names. Edits should prevent them from being reported here.

Vessel Flag field when it contains a code that is not a valid 2-character ISO country code for the country under whose flag the ship is registered.

Required only for MOT = Sea (10, 11, & 12)

Valid ISO country codes are listed in Appendix C of the AESTIR

Port of Unlading field when it contains a code that is not a valid 5-digit Schedule K Code and the *MOT = Sea (10, 11, & 12) ONLY* and the shipment does not involve trade between the United States and Puerto Rico.

For sea or air shipments between the US and Puerto Rico, use a valid 4-digit Schedule D code (left justify). See logic rules for shipments from the US to Puerto Rico or shipments from Puerto Rico to the US

Valid Schedule K foreign port codes are available from the Maritime Administration

<http://marad.dot.gov/statistics/>

Port of Exportation field when it contains a code that is not a valid 4-digit Schedule D Code. Report the Schedule D Code designating the port from which merchandise is last loaded for MOTs vessel and air; for land borders, report the actual border crossing port

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

Valid Schedule D codes are listed in Appendix D of the AESTIR

Estimated Date of Departure/Arrival Date field when the date format is invalid or missing. The Date must be numeric and the format must be in century/year/month/day (YYYYMMDD)

The estimated Date of Departure/Arrival can not be more than 120 in the future from the current system date. (Questions: contact your Client Representative)

Hazmat Indicator field when it contains a value other than Y for yes or N for no

Filing Option Indicator field when it contains an invalid 1-position code

NOTE: Valid Filing Option Codes are: Blank = Option 2

3 = Option 3

4 = Option 4

Transportation Reference Number field when the MOT = 10, 11, 12 (Sea) and the booking number is missing

Export Information Code field when it contains a code that is not a valid 2-character export information code. Valid codes are listed in Appendix E of the AESTIR

Line Correction Flag field in the CL1 record when it contains a code that is not a valid 1-character code. The Line Correction flag identifies the action AES is to perform against the commodity line item.

Valid Line Correction flag codes for all filing options are:

Blank or A = ADD (default)

C = Change

D = Delete

Harmonized Schedule Code field when it contains a number that is not a valid 10-digit number in the current **Schedule B** or the **Harmonized Tariff Schedule**

NOTE: Only Schedule B numbers are valid for Chapter 98; do not use the Harmonized Tariff Schedule numbers for Chapter 98.

Certain valid Harmonized Tariff Schedule numbers can not be reported for exports in AES. The list of these HTS numbers is displayed on the Census web site and is available as Appendix V of the AESTIR

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

Schedule numbers 0000.00.0001 - 0000.00.0009 can only be reported for merchandise returned to the US from Puerto Rico

Unit of Measure 1 or Unit of Measure 2 field if it contains a code that is not a valid Unit of Measure abbreviation as require for the commodity classification being reported.

NOTE: Unit of Measure reported **must** match that unit required for the Schedule B or Harmonized Tariff Schedule number reported. The Unit of Measure is included in the Schedule B and the Tariff Schedule. Appendix K of the AESTIR list the abbreviations preferred in AES.

Quantity 1 or Quantity 2 field if it contains a quantity greater than 100,000,000
If the Unit of Measure is 'X', zero-fill this field.
Use whole numbers with no commas.

Quantity 1/Quantity 2 relationship edit if the quantities required are net and content weights. The content weight **cannot** be greater than the net weight (Example: When content kilograms and kilograms are required by a Schedule B or HS number, the content kilograms can not be large than the kilograms reported . Therefore 20 ckg reported with 10 kg as the second quantity would be wrong. However, 10 ckg reported with 20 kg would be correct)

Value of Goods field when it contains a value of zero or a value greater than \$250,000,000, reported in US dollars. Value is reported in whole US dollars, no cents. The filer's software should prohibit unlikely values from being entered in AES. A frequent problem encountered involves a data capture of the HS/Schedule B number in the value field. Edits have been added the AES to disallow values of \$1,000,000,000 or greater for HS/Schedule B Chapter 88, and \$500,000,000 or greater for any other classification from being accepted to prevent such keying error.

Shipping Weight field when it contains a shipping weight of zero or a shipping weight greater than:
200,000,000 kilograms for vessel;
105,000 kilograms for air and;
300,000,000 kilograms for truck, rail and other MOTs

Shipping Weight/Quantity relationship edit if the unit of measure required is kilograms. The quantity **cannot** be greater than the shipping weight, which is also reported in kilograms (Example: A quantity of 25 KG with a shipping weight of 18 KG would be wrong.)

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

License Code field when it contains a code that is not a valid License Code.

Valid License Codes are listed in Appendix F of the AESTIR

License Code/ECCN relationship edit when the License Code requires an ECCN, verify presents of ECCN

Export Control Classification Number field when it contains a code that is not a valid ECCN as provided by the Bureau of Industry and Security (BIS phone 202-482-4255)

Foreign/Domestic Code field when it contains a value that is not a valid code

Valid codes are: D = Domestic F = Foreign

Vehicle ID Qualifier field when it contains an invalid code

Valid Codes are: V = VIN P = Product ID

Vehicle Title State field when it contains an invalid USPS state or territory code

Vehicle Correction Flag field when it contains an invalid code

Valid vehicle correction flags are: Blank or A = Add a vehicle

D = Delete a vehicle

C = Change a vehicle

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

Logic Rules

The following logic rules, by mode of transportation, are recommended for incorporation into software developed for AES

SHIPMENTS TO FOREIGN COUNTRIES:**By VESSEL**

MOT Code	Transportation Reference Number	SCAC /IATA	Conveyance Name	Vessel Flag Optional	Port of Export	Port of Unlading	Shipping Weight
10 = Sea (For X12 VE= Vessel, Ocean)	Booking number	valid SCAC code	Name of Ship *	ISO of country where ship registry	valid Sch D vessel port	valid 5-digit Schedule K foreign port code	gross shipping weight in kilograms
11 = Sea Container (For X12 O= Container Ocean)	"	"	"	"	"	"	"
12 =Barge (For X12 B =Barge)	"	"	BARGE	(NR)	"	"	"
<p>Notes: SCAC - Standard Carrier Alpha Code * VESSEL= is not a valid Conveyance Name ISO - International Standards Organization (see Appendix C) Schedule D, U.S. Customs Port of Exportation (see Appendix D) Schedule K, Foreign Port of Unlading (see MarAD website: http://marad.dot.gov/statistics)</p> <p>(NR) - Not Required (") - Same Requirement as MOT Above</p>							

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

SHIPMENTS TO FOREIGN COUNTRIES**By RAIL**

MOT Code	Transportation Reference Number	SCAC/IATA	Conveyance Name	Equipment Number	Port of Export	Port of Unlading	Shipping Weight
20 = Rail (For X12 R = Rail)	(NR)	valid SCAC code	Name of Exporting Carrier *	optional	valid Sch D land border port	(NR)	gross shipping weight in kilograms
21 = Rail Container (For X12 TT = Rail Container)	(NR)	"	"	container number	"	(NR)	"
<p>Notes: SCAC - Standard Carrier Alpha Code * RAIL is not a valid conveyance name Schedule D, U.S. Customs Port of Exportation (see Appendix D) <u>Valid LAND BORDER Ports include:</u> a) To or Through Canada - port codes that begin with 01, 02, 07, 09, 30, 31, 33, 34, 36, 38 b) To or Through Mexico - port codes that begin with 23, 24, 25, 26</p> <p>(NR) - Not Required (") - Same Requirement as Above</p>							

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

SHIPMENTS TO FOREIGN COUNTRIES:**By TRUCK**

MOT Code	Transport Reference Number	SCAC /IATA	Conveyance Name	Equipment number	Port of Export	Port of Unlading	Shipping Weight
30 = Truck (For X12 J = Motor)	(NR)	valid SCAC code	Name of Exporting Carrier *	optional	valid Sch D land border port	(NR)	gross shipping weight in kilograms
31 = Truck Container (For X12 MP = Truck Container)	(NR)	"	"	container number	"	(NR)	"
<p>Notes: SCAC - Standard Carrier Alpha Code * TRUCK or INTERNATIONAL FOOTBRIDGE is not a valid conveyance name</p> <p>Schedule D U.S. Customs Port of Exportation (see Appendix D)</p> <p><u>Valid LAND BORDER Ports include:</u></p> <p>a) To or Through Canada - port codes that begin with 01, 02, 07, 09, 30, 31, 33, 34, 36, 38</p> <p>b) To or Through Mexico - port codes that begin with 23, 24, 25, 26</p> <p>(NR) - Not Required (") - Same Requirement as Above</p>							

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

SHIPMENTS TO FOREIGN COUNTRIES:**By AIR**

MOT Code	Transport Reference Number	SCAC /IATA	Conveyance Name	Equipment number	Port of Export	Port of Unlading	Shipping Weight
40 = Air (For X12 A = Air)	(NR)	valid IATA code	Name of Exporting Carrier *	optional	valid Sch D air port	(NR)	gross shipping weight in kilograms
41 = Air Container (For X12 HH = Air Container)	(NR)	"	"	container number	"	(NR)	"
Notes: IATA - International Air Transport Association * AIR is not valid conveyance name (NR) - Not Required (") - Same Requirement as Above							

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

SHIPMENTS TO FOREIGN COUNTRIES:**By OTHER MOTs**

MOT Code	Transport Reference Number	SCAC/IATA	Conveyance Name	Vessel Flag	Port of Export	Port of Unlading	Shipping Weight
32 = Auto (For X12 VA = Motor)	(NR)	(NR)	(NR)	(NR)	valid Sch D land border port	(NR)	Zero Fill Shipping Weight
33 = Pedestrian (For X12 P = Private Carrier)	(NR)	(NR)	(NR)	(NR)	"	(NR)	"
34 = Road, Other (For X12 ZZ = Road)	(NR)	(NR)	(NR)	(NR)	"	(NR)	"
50 = Mail (For X12 7 = Mail)	(NR)	(NR)	(NR)	(NR)	code 8000 or any valid Sch D port	(NR)	"
60 = Passenger Hand Carried (For X12 H = Customer pickup)	(NR)	(NR)	(NR)	(NR)	valid Sch D port	(NR)	"

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

By OTHER MOTs**Continued...**

MOT Code	Transport Reference Number	SCAC/IATA	Conveyance Name	Vessel Flag	Port of Export	Port of Unlading	Shipping Weight
70 = Fixed Transport Installation Pipeline/ Power house (For X12 PL = Pipeline)	(NR)	(NR)	(NR)	(NR)	"	(NR)	"
<p>Notes: Schedule D U.S. Customs Port of Exportation (see Appendix D)</p> <p><u>Valid LAND BORDER Ports include:</u></p> <p>a) To or Through Canada - port codes that begin with 01, 02, 07, 09, 30, 31, 33, 34, 36, 38</p> <p>b) To or Through Mexico - port codes that begin with 23, 24, 25, 26</p> <p>(NR) - Not Required (") - Same Requirement as Above</p>							

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

Shipments from the US to PUERTO RICO

Country of Ultimate Destination = PR

Important Note: For shipments from the US to Puerto, the *Port of Unlading Field* is used differently than for shipments to other countries

MOT Code	Transport Ref. #	SCAC /IATA	Conveyance Name	Vessel Flag	Port of Export	Port of Unlading	Shipping Weight
VESSEL 10 = Sea 11 = Sea Container (For X12 VE; O)	Booking number	valid SCAC code	Name of Ship	ISO of country where ship registry optional	valid US Sch D vessel port	valid PR 4-digit vessel Schedule D port where goods were unladen in PR (left justify)	gross shipping weight in kilograms
AIR 40 = Air 41 = Air Container (For X12 A; HH)	(NR)	valid IATA code	Name of Exporting Carrier	(NR)	valid Sch D air port	valid PR 4-digit air Schedule D port where goods were unladen in PR (left justify)	"
Notes: If the MOT code is not listed above, that MOT is not acceptable for this movement. SCAC - Standard Carrier Alpha Code IATA - International Air Transport Association ISO - International Standards Organization (NR) - Not Required (") - Same Requirement as Above							

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

Shipments from PUERTO RICO to the US

Country of Ultimate Destination = US

Important Note: For shipments where the Country of destination is the United States, the Port of Unlading Field is used differently than for shipments to other countries

MOT Code	Transport Ref #	SCAC /IATA	Conveyance Name	Vessel Flag	Port of Export	Port of Unlading	Shipping Weight
VESSEL 10 = Sea 11 = Sea Container (For X12 VE; O)	Booking number	valid SCAC code	Name of Ship	ISO of country where ship registry Optional	valid PR Sch D vessel port	valid US 4-digit vessel Schedule D port where goods were unladen in the US (left justify)	gross shipping weight in kilograms
AIR 40 = Air 41 = Air Container (For X12 A; HH)	(NR)	valid IATA code	Name of Exporting Carrier	(NR)	valid PR Sch D air port	valid US 4-digit air Schedule D port where goods were unladen in the US (left justify)	"
Notes: If the MOT code is not listed above, that MOT is not acceptable for this movement. SCAC - Standard Carrier Alpha Code IATA - International Air Transport Association ISO - International Standards Organization (NR) - Not Required (") - Same Requirement as Above							

APPENDIX Q - REQUIRED AES EDITS

Revised MAY 2002

Blank page for Notes

APPENDIX R – EXEMPTION STATEMENTS

Annotated the proper exemption statement for shipments transmitted electronically Foreign Trade Statistical Regulations (15 CFR Part 30, Subpart E, Section 30.65)

The USPPI or the authorized forwarding agent is responsible for annotating the proper exemption statement on the bill of lading, air waybill, or other commercial loading document for presentation to the carrier prior to export. The exemption statement will identify that the shipment information has been transmitted electronically using AES. The exemption statement must be clearly visible on the first page of the bill of lading, air waybill, or other commercial loading document. Failure to properly report an exemption statement could result in shipment being delayed, detained and/or penalized.

AES Filing Option	AES EXEMPTION STATEMENT
Option 2 or 3	NO SED REQUIRED-AES-Filer ID-SRN NO SED AES- Filer ID-SRN NDR AES- Filer ID-SRN AES- Filer ID-SRN AES- ITN
Option 4, when filed by the USPPI	NO SED Required AES OPT 4 USPPI ID NO SED- AES4-USPPI ID NDR -AES4- USPPI ID AES4- USPPI ID
Option 4, when filed by a forwarding agent	NO SED Required AES OPT 4 USPPI ID – Filer ID(Forwarding agent) NO SED- AES4-USPPI ID-Filer ID NDR -AES4- USPPI ID-Filer ID AES4- USPPI ID-Filer ID

SED = Shipper's Export Declaration

NDR = No Shipper's Export Declaration Required.

Filer ID = **9-digit** identification number of the party filing the commodity shipment information in AES.

SRN = Shipment Reference Number, uniquely identifying the shipment. SRN can be up to 17 alphanumeric characters. Must be unique for five years.

ITN = Internal Transaction Number, unique confirmation number generated by AES to the filer when the complete commodity shipment data has been accepted by AES.

NOTE: When using Option 4, it is critical that the filing option (4) is indicated in the exemption statement as shown in the table.

APPENDIX S - AES ACRONYM LIST AND DEFINITIONS Revised MAY 2002**Acronyms**

ABI.....	Automated Broker Interface
ACE.....	Automated Commercial Environment
AERP.....	Automated Export Reporting Program
ACS.....	Automated Commercial System
AES.....	Automated Export System
AES-PASS.....	Post-departure Authorized Special Status
AESTIR.....	Automated Export System Trade Interface Requirements
AMS.....	Automated Manifest System
ANS.....	American National Standard
ASCII.....	American Standard Code for Information Interchange
ATF.....	Alcohol, Tobacco and Firearms (Treasury)
BOL.....	Bill of Lading
BIS.....	Bureau of Industry and Security (Commerce), formerly Bureau of Export Administration (BXA)
CASE.....	Computer Aided Software Engineering
CEBB.....	Customs Electronic Bulletin Board
CFR.....	Code of Federal Regulations
COAC.....	Customs Oversight Activities Committee
COOPS.....	Contingency of Operations Plans
CUSDEC.....	Customs Declaration
CUSRES.....	Customs Response
DEA.....	Drug Enforcement Administration
DEC.....	Data Entry Center
DUNS.....	Data Universal Numbering System
EAR.....	Export Administration Regulations
ECCN.....	Export Control Classification Number
EDI.....	Electronic Data Interchange
EDIFACT.....	Electronic Data Interchange for Administration, Commerce and Transport
EIC.....	Export Information Code
EIN.....	Employer Identification Number
EMAIL.....	Electronic Mail
FTD.....	Foreign Trade Division (Census)
FTSR.....	Foreign Trade Statistical Regulations
FTZ.....	Foreign Trade Zone
GATT.....	General Agreement on Tariff and Trade
GUI.....	Graphic User Interface
HAZMAT.....	Hazardous Material
HHMMSS.....	Hours (2 digits), Minutes (2 digits), Seconds (2 digits)
HMF.....	Harbor Maintenance Fees
HTS.....	Harmonized Tariff Schedule
IATA.....	International Air Transport Association
IBN.....	Interest-Based Negotiations
IEF.....	Information Engineering Facility
ITDS.....	International Trade Data System
ITN.....	Internal Transaction Number
JAD.....	Joint Application Development
JCL.....	Job Control Language
LOI.....	Letter of Intent
MarAD.....	Maritime Administration (Transportation)
MOT.....	Method of Transportation

APPENDIX S - AES ACRONYM LIST AND DEFINITIONS Revised MAY 2002

MMDDYYYY	Month (2 digits), Day (2 digits), Year (4 digits)
NAFTA	North American Free Trade Agreement
NDC	Newington Data Center (US Customs)
NLR	No license required
NPR	National Performance Review
NRC	Nuclear Regulatory Commission
NVOCC	Non-Vessel Operating Common Carrier
ODTC	Office of Defense Trade Controls (State)
OFAC	Office of Foreign Assets Control (Treasury)
OGA	Other Government Agency
OMB	Office of Management and Budget
OPA	Other Partnership Agency
PIN	Product Identification Number
POE	Port of Entry
PROD	Production
QA	Quality Assurance
RJE	Remote Job Entry
ROSCOE	Real-time, On-line Source Code Editor
SCAC	Standard Carrier Alpha Code
SDLC	System Development Life Cycle
SED	Shipper's Export Declaration
SSN	Social Security Number
SQL	Structural Query Language
TECS	Treasury Enforcement Communication System
TPX	Terminal Processing Executive
TRG	Trade Resource Group
UN/EDIFACT	United Nations Electronic Data Exchange For Administration, Commerce and Transport
URD	User Requirement Document
URL	Universal Resource Locator
USCS	United States Customs Service
USPPI	United States Principal Party in Interest (Exporter)
VAN	Value Added Network
VIN	Vehicle Identification Number
XTN	External Transaction Number

APPENDIX S - AES ACRONYM LIST AND DEFINITIONS Revised MAY 2002**DEFINITIONS**

ABEND	Abnormal program termination
AERP	Automated Export Reporting Program - Export program established by the Bureau of the Census to provide an automated system for reporting export commodity data electronically directly to Census from the approved exporter, forwarding agent or carrier. The AERP expired on December 31, 1999.
AES	Automated Export System is an export information gathering and processing system developed through cooperative efforts between the U.S. Customs Service, the Bureau of the Census, other Federal agencies and the export community.
AESDirect	A free Internet application supported by the Bureau of the Census that allows USPPIs or their agents to file Shipper's Export Declarations in AES via the Internet. (www.aesdirect.gov)
AESWebLink	The application on AESDirect that receives data from another Internet application and facilitates the transmission of the data to AES via AESDirect. (www.aesdirect.gov)
AESTIR	Automated Export System Trade Interface Requirements – Document describing the operational usage of the Automated Export System. The AESTIR serves as a reference manual for the AES process.
BOL	Bill of Lading is a negotiable instrument that allows the carrier to transport a merchandise shipment from a shipper to a consignee.
Bill of Lading Number	An alphanumeric code issued by a carrier that references to an individual cargo shipment on a manifest.
Commodity	Information from the USPPi or his authorized agent that is commonly reported on the Shipper's Export Declaration describing the merchandise being exported and the parties of interest.
Consignee	Person, party, or designee on the export license who is located abroad and actually receives the export shipment.
Consign	To send or deliver goods to be sold.
CFR-30.12	Time and Place Shippers Export Declarations Required to be Presented
Criteria	Customs developed standards used to determine the degree to which a shipment will be examined.
Data Element	User-entered or system generated data that collectively make up a record or report.
Data Entry Center	AES participant that has a signed agreement with Census to entry shipment data in AES.
Downtime Policy	Standard guidelines for processing of automated export transactions during downtime of AES

APPENDIX S - AES ACRONYM LIST AND DEFINITIONS Revised MAY 2002

and/or downtime of the AES participant's computer system (see elsewhere in this document)

DUNS	Data Universal Numbering System, registered trademark of the Dun & Bradstreet Corporation. Provides consistent/unique ID scheme for business databases. US government uses it as an ID code. May be reported in AES but not required by AES.
Edit Criteria	User-developed programs must contain the necessary logic for utilizing edit criteria and the related logical editing when submitting export transactions into AES. AES encourages the trade to program as many edits as necessary to ensure that the data is error-free before transmission into AES. Recommended edits have been provided by the AES Development Team and the Bureau of the Census in Appendix Q (Recommended AES Edits) of this document. Appendix A - Common Error Messages can also be of value when programming edits.
Embassy Code	The 2-position ISO code of the country followed by the word 'EMBASSY' used when an embassy is the USPPI.
End-user	The person abroad that receives and ultimately uses the exported or re-exported items. The end-user is not a forwarding agent or intermediary, but may be the purchaser or ultimate consignee.
Equipment	Container and seal information provided by the shipper on containerized cargo moving under Modes of Transportation Codes 11, 12, 31, and 41.
Error Correction Capabilities	The filer's system must be designed to add, change, and delete information on export transactions transmitted to AES. It is essential that the filer's system be designed to make changes that may be needed to prevent repetitive errors. The ability to add, change and delete export shipments is part of the AES certification process. Failure to successfully complete this part of the certification test will keep a participant from AES production status.
Examination	Customs inspection of a shipment and/or its documents based on criteria
Exemption Legend	The exporter (USPPI) or their authorized forwarding agent is responsible for annotating the proper exemption legend on the bill of lading, airway bill, or other commercial document for presentation to the carrier, either on paper or electronically prior to export. The exemption legend will identify that the shipment information has been transmitted electronically using the AES. (See FTSR Letter 168 (Amendment 1) on the AES web site)
Exporter	The person in the United States who has the authority of a principal party in interest to determine and control the sending of items out of the United States. Note that the Foreign Trade Statistics Regulations have a different definition for the term 'exporter'. Under the FTSR, the 'exporter' is the US principal party in interest.
Fatal Error	If AES edits identify an error in a core data element during the initial transmission of the data, commodity data processing ceases and a fatal error message is issued to the participant.
Filing Options	Four ways to file Shipper's Export Declarations. Option 1 is filing paper SEDs; Option 2 is filing full pre-departure data electronically in AES. Option 3 requires specific information to be filed pre-departure followed within 5 days by full post-departure data filed electronically in AES. Option 4 allows for full post-departure filing within 10 days of export, by approved USPPIs only, electronically in AES.

APPENDIX S - AES ACRONYM LIST AND DEFINITIONS Revised MAY 2002

Firms Code	Facilities Information and Resources Management System Code identifying the U.S. Customs Service facility where merchandise is located.
Foreign Entity	A non-U.S. national who is under U.S. jurisdiction at the time of shipment, shown as the exporter (US principal party in interest) in AES. Since a foreign entity does not possess an EIN, SSN, or DUNs identification, the foreign entity may be identified by their passport number, border crossing card or other official document number in AES.
Foreign Trade Zone	Secured areas legally outside of a nation's customs territory.
Form 7513	Shipper's Export Declaration For In-Transit Goods
Form 7525	Shipper's Export Declaration
Form 7525-V-ALT	Shipper's Export Declaration-Vessel-Alternate
Forwarder agent	The person in the United States who is authorized by a principal party in interest to perform the services required to facilitate the export of the goods from the United States. This may include air couriers or carriers. In routed export transactions, the forwarding agent and the exporter may be the same for compliance purposes under the EAR.
Harmonized Tariff Schedule (HTS)	The 10-digit classification system used by the United States to classify goods for import. The HTS is an international classification system up to the 6-digit level. The seventh and eighth digits represent duty rates and the ninth and tenth digits are for statistical breakouts on a national basis.
Schedule B	<p>The 10-digit classification system used by the United States to classify domestic or foreign goods for export. Schedule B is an international classification system up to the 6-digit level. The seventh through tenth digits represent statistical breakouts at the national level.</p> <p>NOTE: AES will accept commodity numbers from either classification system. The decision to use one system over the other is left solely up to the AES filer. However, the information associated with the classification number reported is essential and must be accurate. The filer must report the quantity in the unit of measure as indicated in the Schedule B or Harmonized Tariff Schedule for the classification chosen.</p> <p>There are certain valid HTS numbers that can not be reported for exports in AES. These numbers are listed on the AES web site and in Appendix V of the AESTIR.</p>
House Bill Number	An alphanumeric identifier that references an individual cargo shipment consolidated under a master bill of lading.
IATA	Refers to the International Air Transport Association code used to identify the exporting air carrier.

APPENDIX S - AES ACRONYM LIST AND DEFINITIONS Revised MAY 2002

Intermediate consignee	The person that acts as an agent for a principal party in interest for the purpose of effecting delivery of items to the ultimate consignee. The intermediate consignee may be a bank, forwarding agent, or other person who acts as an agent for a principal party in interest.
Information message	It is the filer's responsibility to ensure timely and complete reporting in AES. AES sends informational messages to the participant to inform them of compliance issues, like late reporting.
IOU	Brief pre-departure message to be followed by full post-departure message
ISO Code	A 2-position alphabetic International Organization for Standardization Code for countries.
ITN	<p>Internal Transaction Number - A number generated by AES that uniquely identifies a shipment. The ITN is comprised of:</p> <ul style="list-style-type: none">- The letter X- 8 position Date- 6 position Sequence Number <p>When AES has accepted a shipment from a filer, the ITN is generated and returned to the filer as confirmation that the shipment was received in AES.</p>
LOI	The Letter of Intent is a written statement of a company's desire to participate in AES. It sets forth a commitment to develop, maintain, and adhere to Customs and Census performance requirements and operations standards.
License	A legal permit to export specific commodities.
Line Item	<p>Refers to an individual commodity listed on an export transaction which includes a domestic/foreign code, HTS or Schedule B number, commercial description of the merchandise, net quantity, value of goods, shipping weight, etc. A line item can only include one HTS or Schedule B classification number.</p> <p>The line item number facilitates locating errors when the error messages related to a line of data are returned to the filer. The USPPPI or freight forwarder at their option may wish to report invoice level data. In a paper environment, USPPPIs and forwarders were required to combine all the information for commodities classified under the same HS number in order to report an HS number only once on the SED. In AES, USPPPIs and forwarders are permitted to provide the commodity information at the invoice level, which may result in the reporting of many duplicate HS numbers. When the Bureau of the Census extracts the AES data for publication in the monthly trade statistics, Census will combine the like HS numbers together as an added benefit for AES filers.</p>
Manifest	True listing of the cargo on a conveyance. Form and detail are specified by regulation.
Master Bill Number	Bill of Lading number created by the consolidator or carrier (or share-charter) which is passed to the ultimate carrier to protect the consolidator (or share-charter) from the need to giving information on their individual clients to other carriers.

APPENDIX S - AES ACRONYM LIST AND DEFINITIONS Revised MAY 2002

NVOCC	Non-Vessel Operating Common Carrier
Open Shipment	Export shipment that resulted in criteria hits when processed by AES. AES places holds on these shipments, which a Customs Inspector can override if necessary.
Option 1	Pre-departure filing of the paper Shipper's Export Declaration.
Option 2	Pre-departure electronic filing of complete commodity data in AES.
Option 3	Two-step electronic filing in AES where up to 14-data elements are reported by the filer prior to departure with the complete export information transmitted within 5 working days from the date of exportation.
Option 4	Post-departure filing in AES available only to approved USPPIs. No shipment data is reported prior to the exportation. Complete shipment data transmitted to AES within 10 working days of the exportation.
Order Party	The person in the United States who conducts the direct negotiations or correspondence with the foreign purchaser or ultimate consignee and who, as a result of these negotiations, received the order from the foreign purchaser or ultimate consignee.
Principal parties in interest	Those persons in a transaction that receive the primary benefit, monetary or otherwise, of the transaction. Generally, the principals in a transaction are the seller and the buyer. In most cases, the forwarding or other agent is not the principal party in interest.
Purchaser	The person aboard who has entered into a transaction to purchase an item for delivery to the ultimate consignee. In most cases, the purchaser is not a bank, forwarding agent, or intermediary. The purchaser and ultimate consignee may be the same entity.
Reminder Message	AES sends reminder messages to alert participants that additional action is required to complete an AES shipment.
Route Export Transaction	A transaction where the foreign principal party in interest authorizes a US forwarding or other agent to facilitate export of items from the United States.
SCAC	Standard Carrier Alpha Code - Identifier issued by the National Motor Freight Traffic Association. The filer of export commodity information should report the SCAC of the carrier issuing the bill of lading. If that actual carrier is unknown, the filer should report the carrier that they have booked the cargo with. If all else fails, the filer may report UNKN for unknown carrier.
Schedule K	Five-digit foreign port codes maintained by the Maritime Administration, Department of Transportation (http://marad/.dot.gov/statistics)

APPENDIX S - AES ACRONYM LIST AND DEFINITIONS Revised MAY 2002

SED	Shipper's Exporter Declaration - U.S. Department of Commerce form required by law, that enables Census to compile export statistics and enables other agencies to enforce US export laws and regulations. The USPPI or his agent must deliver the paper SED to the carrier prior to export.
Service Bureau	An independent business concern that files electronic data on behalf of USPPIs for a fee.
Shipment Reference Number	Unique identification number AES filers create in their system to identify individual shipments reported in AES. This number must remain unique for five years.
Transportation Reference Number	For shipments made by sea, this is the booking number.
Ultimate consignee	The principal party in interest located aboard who receives the exported or reexported items. The ultimate consignee is not a forwarding agent or other intermediary, but may be the end-user.
USPPI	United States Principal Party in Interest is the term used to identify the person in the United States that receives the primary benefit, monetary or otherwise, of the export transaction. Generally, that person is the US seller, manufacturer, order party, or foreign entity. The foreign entity must be listed as the USPPI on the AES record, if it is in the United States when the items are purchased or obtained for export. In most case, the forwarding or other agent is not a principal party in interest. The USPPI replaces the term 'exporter' as stated in Federal Register/Vol. 65, No. 132/July 10, 2000.
VAN	Value Added Network - Connects trade participant's to Customs mainframe.
Verify messages	Verify messages result when discrepancies occur between what Census usually expects to see reported for a particular commodity and the data reported for that commodity in the export shipment.
XTN	External Transaction Number - A number that uniquely identifies an export shipment. It is comprised of the filer's EIN, SSN, or DUNs number plus the shipment reference number that uniquely identifies the shipment in the filer's system.

APPENDIX T - VALID TRANSPORTATION CODES

*This appendix provides a complete list of
the valid method of transportation codes used in AES.*

MODE OF TRANSPORTATION CODES:

<u>CATAIR</u>	<u>X 12</u>
10 = SEA, NON-CONTAINERIZED	VE = VESSEL, OCEAN
11 = SEA, CONTAINERIZED	O = CONTAINERIZED OCEAN
12 = SEA (BARGE)	B = BARGE
20 = RAIL	R = RAIL
21 = RAIL, CONTAINERIZED	TT = RAIL, CONTAINERIZED
30 = TRUCK	J = MOTOR
31 = TRUCK, CONTAINERIZED	MP = TRUCK, CONTAINERIZED
32 = AUTO	VA = MOTOR
33 = PEDESTRIAN	P = PRIVATE CARRIER
34 = ROAD, OTHER	ZZ = ROAD
40 = AIR	A = AIR
41 = AIR, CONTAINERIZED	HH = AIR, CONTAINERIZED
50 = MAIL	7 = MAIL
60 = PASSENGER, HAND-CARRIED	H = CUSTOMER PICKUP
70 = FIXED TRANSPORT INSTALLATIONS (PIPELINE AND POWERHOUSE)	PL = PIPELINE

APPENDIX V

Revised June 2001

**VALID HTSUSA NUMBERS THAT CAN NOT BE REPORTED FOR
EXPORTS (Current as of July 2000)**

NOTE: The Harmonized Tariff Schedule numbers for Chapter 98 are not valid for reporting exports (Use Only Schedule B Chapter 98 numbers)

0206.29.0000	2401.20.3520	2401.30.3550	8525.10.7045
0206.49.0000	2401.20.8510	2401.30.3560	8525.10.7065
0207.14.0020	2401.20.8520	2401.30.3590	8525.10.7085
0207.14.0040	2401.20.8710	2401.30.3710	8525.10.7090
0207.27.0020	2401.20.8720	2401.30.3720	8525.10.9025
0207.27.0040	2401.30.0300	2401.30.3735	8525.10.9045
0303.79.4070	2401.30.0600	2401.30.3740	8525.10.9065
0303.79.4097	2401.30.0900	2401.30.3750	8525.10.9085
0504.00.0060	2401.30.1300	2401.30.3760	8525.10.9090
0713.33.2090	2401.30.1600	2401.30.3790	8525.20.3010
0713.33.4090	2401.30.1900	2401.30.7010	8525.20.3015
1005.90.2000	2401.30.2310	2401.30.7020	8525.20.3025
1209.29.0079	2401.30.2320	2401.30.7035	8525.20.3065
1209.30.0090	2401.30.2335	2401.30.7040	8525.20.3070
1601.00.6060	2401.30.2340	2401.30.7050	8525.20.3080
1601.00.6080	2401.30.2350	2401.30.7060	8526.10.0040
1602.32.0040	2401.30.2360	2401.30.7090	8526.91.0020
1701.99.0500	2401.30.2390	2704.00.0011	
		2704.00.0025	
		2716.00.0000	8526.91.0040
1701.99.1000	2401.30.2510	5201.00.0500	8527.90.9520
1701.99.5000	2401.30.2520	5201.00.1200	8527.90.9530
1901.20.0200	2401.30.2535	5201.00.1400	8527.90.9540
1901.20.0500	2401.30.2540	5201.00.1800	8527.90.9550
1901.20.1500	2401.30.2550	5201.00.2200	8527.90.9560
1901.20.2000	2401.30.2560	5201.00.2400	8803.10.0015
1901.20.2500	2401.30.2590	5201.00.2800	8803.10.0030
1901.20.3000	2401.30.2710	5201.00.3400	8803.10.0060
1901.20.3500	2401.30.2720	5201.00.3800	8803.20.0015
1901.20.4000	2401.30.2735	5201.00.5500	8803.20.0030
1901.20.4200	2401.30.2740	5201.00.6000	8803.30.0015
1901.20.4500	2401.30.2750	5201.00.8000	8803.30.0030
1901.20.5000	2401.30.2760	8411.11.4000	8803.90.9015
1901.20.5500	2401.30.2790	8411.12.4000	8803.90.9030
1901.20.6000	2401.30.3310	8411.21.4000	8803.90.9060
1901.20.6500	2401.30.3320	8411.22.4000	9014.10.1000
1901.20.7000	2401.30.3335	8411.81.4000	9014.10.6000
1901.20.8000	2401.30.3340	8411.82.4000	9014.10.7030
2401.10.6330	2401.30.3350	8411.91.9080	9014.10.7060
2401.10.6360	2401.30.3360	8411.99.1080	9014.10.9000
2401.10.6530	2401.30.3390	8411.99.9090	9027.80.4530
2401.10.6560	2401.30.3510	8427.20.8000	
2401.20.3310	2401.30.3520	8427.90.0000	
2401.20.3320	2401.30.3535	8480.71.8020	
2401.20.3510	2401.30.3540	8525.10.7025	

APPENDIX W – ODT UNIT OF MEASURE CODES

Effective: Early 2003

This table presents unit of measure codes to be used on the ODT record ONLY.

When reporting an export shipment of articles on the U.S. Munitions List (USML) the unit of measure as it relates to the export authorization for the article being shipped is required on the ODT record.

ODTC Unit of Measure Description	ODTC Unit of Measure Code Proprietary Format	ODTC Unit of Measure Code X – 12 Format
Barrels/Drums	BBL	BR
Bags	BAG	BG
Boxes	BOX	BX
Bullets/Rounds	RND	RO
Bundles	BND	BD
Cases	CSE	CA
Containers	CN	CH
Copies	COP	QB
Dozen	DOZ	DZ
Dozen Pairs	DPR	DP
Feet	FT	FT
Forgings	FRG	SA
Gallon	GAL	GA
Grams	GM	GR
Gross	GR, GRS	GS
Gross Containers	GCN	GD
Gross Line	GRL	N7
Inches	IN	LI
Items	ITM	PC
Kilograms	KG, KGS	KG
Kits	KT	12
Line	LN	AL
Lots	LOT	LO
Liters	LTR	LT
Magazines	MGZ	TY
Man Years	MAN	81
Meters	MTR, LNM	MR
Number	NUM	N2
Other	OTH	1A
Ounces	OZ	OZ
Packs/Packets	PKS	PH
Pairs	PRS	PR
Pallets	PLT	PF
Pieces	PCS	IN
Pounds	LBS	01
Quarts	QTS	QT
Roll	RLS	RL
Sets	SET	ST
Sheets	SHT	SH
Ship Set	SST	2D
Squares	SQ	SQ
Systems	SYS	AY
Tins	TIN	JT

APPENDIX W – ODTc UNIT OF MEASURE CODES**Effective: Early 2003**

ODTC Unit of Measure Description	ODTC Unit of Measure Code Proprietary Format	ODTC Unit of Measure Code X – 12 Format
Tons	TON	TG
Tubes	TBE	TB
Units	UNT	UN
Visits	VST	VS
Wafers	WFR	UQ
Yards	YD	YD

APPENDIX Z – MISCELLANEOUS INFORMATION**◆ AES Job Control Language (JCL) Requirements:**

<http://www.customs.gov/aes>

◆ Effective October 1, 1998, the Maritime Administration (MarAD), Department of Transportation, took responsibility for maintaining and providing quarterly updates to the “**Schedule K**, **Classification of Foreign Ports by Geographic Trade Area and Country**” used for export foreign port reporting.

The MarAD website address is:

<http://marad.dot.gov/statistics/>

To keep abreast of new foreign port codes or other changes, check the MarAD website quarterly: February 1; April 1; July 1 and October 1.

◆ For up-to-date requirements concerning the **Shipper’s Export Declaration (SED)** and access to the “**Foreign Trade Statistics Regulations**” and the “**Correct Way to Fill Out the Shipper’s Export Declaration**” a link is provide to the Bureau of the Census, Foreign Trade Division’s website.

<http://www.census.gov/foreign-trade>

◆ FTSR Letter No. 168 (Amendment 1) provides a complete list of **SED Exemption Statements** to be reported on the Bill of Lading or other loading documentation and is included on the website.

◆ Information concerning **Schedule B** is available from the Foreign Trade Division website. There is also a link to the list of valid HTS numbers that can not be reported for exports in AES.

◆ Your Census Client Representative is available to provide assistance in these areas of concern.

◆ Questions concerning Communications definitions and specifications should be directed to your Customs Client Representative.